The XLDB Group at CLEF 2004

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Abstract. This paper describes the participation of the XLDB Group in the CLEF monolingual ad hoc task for Portuguese. We present tumba!, a Portuguese search engine and describe its architecture and the underlying assumptions. We discuss the way we used tumba! in CLEF, providing details on our runs and our experiments with ranking algorithms.

1   Introduction

In 2004, for the first time, CLEF included Portuguese document collections for monolingual & bilingual ad hoc retrieval and question answering tasks. This collection [14] was based on news of several categories taken from Publico [13], a Portuguese newspaper, and compiled by Linguateca [7]. This year, the XLDB Group, from the University of Lisbon, made its debut in CLEF.

This paper is organized as follows: in Section 2, we introduce the XLDB Group. In Section 3, we describe tumba!, our IR system, and the modifications we made to it to handle the CLEF 2004 data set. Section 4 describes our official runs with the algorithms implemented for CLEF 2004, and Section 5 presents our results. Section 6 summarizes the conclusions we drew from this first participation in CLEF.

2   The XLDB Group

The XLDB Group is a research unit of LaSIGE (Large Scale Information Systems Laboratory) at FCUL - Faculdade de Ciências da Universidade de Lisboa. We study data management systems for data analysis, information integration and user access to large quantities of complex data from heterogeneous platforms. Current research lines span Web search, mobile data access, temporal web data management and bioinformatics.

The XLDB Group is involved in several projects and activities. One of our main projects is tumba! [8, 15], a Portuguese Web search engine. tumba! is described in Section 3.

Since January 2004, the XLDB Group hosts a node of Linguateca, a distributed language resource center for Portuguese [6].

The participation of the XLDB Group in the monolingual task for Portuguese with the tumba! search engine was motivated by two main reasons:

1. Although we had previous experiences in evaluation contests, namely in the bio-text task of the KDD Cup 02 [4] and in the BioCreative workshop [5], this was our first opportunity to evaluate tumba! jointly with other IR systems, with the advantage of the evaluation being conducted on a Portuguese collection.
2. Although we were aware that our system was out of its natural environment, the Web, we could take the opportunity to tune the indexing and ranking engines of tumba!, by submitting our results using different ranking configurations and then analyzing the results.

3   tumba! in the Monolingual Task

3.1   Overview of tumba!

The tumba! search engine has been specifically designed to archive and provide search services to a Web community formed by those interested in subjects related to Portugal and the Portuguese people [8]. tumba! has been offered as a public service since November 2002.

tumba is mainly written in Java and built on open-source software: the Linux operating system. It has an index of over 3.5 million Web documents and a daily traffic of up to 20,000 queries per day. Its response time is less than 0.5 seconds for 95% of the requests. It is also a platform for PhD and MSc research projects at our university.
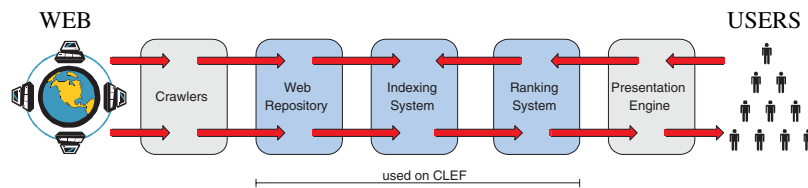

Fig. 1. tumba's architecture

The architecture of tumba! is similar to that of global search engines and adopts many of the algorithms used by them [1]. However, its configuration data is much richer in its domain of specialisation. tumba! has a better knowledge of the location and organization of Portuguese Web sites (both in qualitative and quantitative terms) [15].

The data flows from the Web to the user through a pipeline of the following tumba! sub-systems (See Figure 1):

Crawlers: collect documents from the Web, given an initial URL list. They parse and extract URLs from each document, and use these to collect new documents. These steps are performed recursively until a stop condition is met [10].

Web Repository: The Web data collected by the crawlers is stored in Versus, a repository of Web documents and associated meta-data [9].

Indexing system: the indexing system Sidra creates indexes over the documents in the Web Repository [3], so that when a query is received, Sidra uses the indexes built to find the documents that match that query.

Ranking system: computes, for each document d returned by the indexing system, a similarity value between d and the submitted query using a set of heuristics. It then sorts the documents by these similarities.

Presentation Engine: formats the result sets received from the ranking engine for the user's access platforms such as Web browsers, PDA devices or WAP phones.

3.2   The Portuguese Monolingual Task

The previous editions of CLEF showed that the top performing groups for the monolingual ad hoc tasks were systems that performed robust stemming, well-known weighting schemes (BM25, Lnu.ltn or Berkeley ranking) and blind feedback or query expansion [12]. tumba's system does not have a stemmer and a blind feedback or query expansion system, and the term weighting scheme is tuned for Web searches. However, we decided not to make any changes to the architecture of tumba! for this evaluation. We wanted to evaluate tumba!'s performance with its current components, so that we could have a baseline for comparison on future CLEF tasks. Nonetheless, we felt that our participation in CLEF would provide us with valuable ideas to optimize our search engine results, and resources to evaluate our system performance.

One of the difficulties we encountered in the CLEF monolingual task was related to the SGML-format used on the collection of Portuguese documents. The documents have tags for associated metadata like author, category and date of publication. The contents are in plain text, with no additional tags. tumba! was not conceived to work with document collections organized like this. Its ranking system was developed to profit from annotations extracted from the Web documents, such as:

- Information obtained from the Web graph, like links and anchor text, which are a valuable resource to find related pages that might interest the user;
- Documents' structural elements like titles and headings, which provide valuable information on the subject of the document.

We used the same alghorithms as those designed for the Web in CLEF, despite the different search context. The lack of this kind of "light semantic" annotation in the collection was a major handicap for the tumba! system, since the only semantic information we managed to extract from the documents was the title of the news. Our heuristic for extracting document titles consisted in finding paragraphs in the collection with a maximum of 15 terms and ending with no punctuation.

We disabled the query-independent ranking calculations and most of the emphasis ranking augmenters of the Indexing and Ranking system, since there was no information of this type on the collection.

tumba's Crawlers and Presentation Engine were not used for the CLEF Portuguese monolingual ad hoc task. We loaded the document collection directly into the Web Repository, bypassing the system's crawlers. The collection was then indexed by the Sidra Indexing system. Queries were sent directly to Sidra, bypassing the Presentation Engine, and the matching documents were then ranked according to some heuristics to compute document relevance.

4   Runs

In the monolingual ad hoc task, the number of runs that could be submitted was limited to 4.

4.1   Manual Run (XLDBTumba01)

Since this was the first time that CLEF used Portuguese collections in an evaluation campaign, this task didn't have previous relevant judgements and training collections. In order to have a prior evaluation of tumba!, we created our own baseline against which we could compare our runs to measure how much we were improving our system.

For each one of the 50 given topics, we created several different queries related to the topic and we used them to retrieve documents matching the query terms. Then, the returned results were manually examined by two doctoral students, with some IR system usage experience but unfamiliar with the tumba! system, and classified the documents as relevant or irrelevant according to the topic criteria. This was time-consuming work, which consumed most of the time for this task.

After that, we compiled a list of the relevant documents and submitted it to CLEF as our run XLDBTumba01, to measure the offset of our baseline compared with the CLEF solutions.

When the relevant judgements were released by CLEF, we observed that we had many errors in our manual experiment; from incorrect topic interpretation to bad query formulation. In the end, this was the run that had the worst performance. Yet, this run clearly showed us how difficult it is to formulate queries that correctly match an information need.

4.2   Flat Ranking Run (XLDBTumba02)

For subsequent runs, we chose among the different queries used to create the XLDB-Tumba01 run to select which 50 queries would be used on the remaining runs. Note that we didn't use more than one query per topic, neither did we do any kind of query expansion.

This run was produced by submitting the 50 queries directly to the Sidra Indexing and Ranking system, configured to perform an exact matching (flat-ranking algorithm), returning only the documents that match all the query terms.

We see this run as our automatic baseline run, and we were anticipating that the other runs would improve precision and recall compared to this run. Yet, this run outperformed all the other runs.

4.3   Distances Run (XLDBTumba05)

This run was generated using the following ranking algorithm:

- *distMinTerms(d,q)* - uses the minimum distances between any pair of query terms q in documents d, *minDist*, to increase the ranking of documents whose query terms are closer in the document. For distances above 10, the function gives similarity 0 to the document. If all query terms are adjacent on a document, their *minDist* value equals 1.

$$distMinTerms(d,q) = \begin{cases} 1 & minDist = 1 \\ 1 - \frac{minDist-1}{9} & 1 < minDist < 10 \\ 0 & minDist \geq 10 \end{cases}$$

This function indeed improved the results accordingly to our own evaluation, as the queries with more than one term we used for the topic tend to be adjacent.

4.4   Distances + Titles Run (XLDBTumba04)

This run was generated by using two ranking algorithms in Sidra:

– *distMinTerms(d,q)*
– *termsInTitle(d,q)* - this is a similarity function between the terms in the title of each document *d*, denoted *T*, and the query terms in a query *q*, denoted *Q*.

$$termsInTitle(d,q) = \frac{|T \cap Q|}{max(|T|,|Q|)}$$

This run evaluated the importance of the title in the document ranking, and turned out as the one with the worst performance in our self-evaluation. This was probably caused by the heuristic used to extract titles from the documents, which was a very naive approach and may have mislead the ranking engine. The tumba! search engine gives great importance to title texts, as many people search named entities on search engines and these are usually clearly stated in the titles.

5   Results

For a prior evaluation of our automatic runs, we compared the results with the manual run XLDBTumba01. We used precision@1, precision@3, precision@10, recall and F-Measure ($\beta = 1$) metrics in our self-evaluation. The results are summarized in Table 1.

The results obtained in CLEF are presented on Table 2 and Figure 2. The average precision (non-interpolated) for all relevant documents and the R-Precision (precision after R documents retrieved) are the measures presented by the trec_eval program. [2, 11]
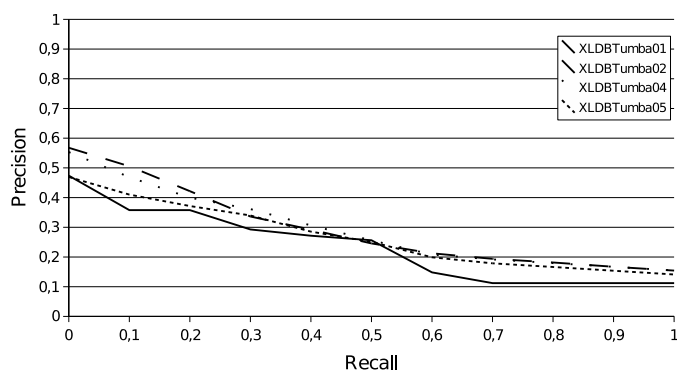
Table 1. Automatic Submitted Runs, compared to the Manual Run XLDBTumba01

Run	Description	Precision@			Recall	F-Measure
		1	3	10		
XLDBTumba02	flat ranking	53.2%	47.2%	40.6%	89.6%	44.4%
XLDBTumba05	Distances	46.8%	53.5%	44.9%	89.6%	44.4%
XLDBTumba04	Distances & Titles	48.9%	45.0%	41.1%	89.6%	44.4%

Table 2. XLDB official runs evaluated by CLEF

Run	Manual Run (XLDBTumba01)	Flat ranking XLDBTumba02	Distances XLDBTumba05	Distances + titles XLDBTumba04
Nr. Docs Retrieved	209	2350	2350	2350
Nr. Relevant Docs	678	678	678	678
Relevant Docs Retrieved	79	168	168	168
Overall Precision	37,8%	7,1%	7,1%	7,1%
Overall Recall	11,6%	24,8%	24,8%	24,8%
Average Precision	21,84%	28,10%	25,13%	27,75%
R-Precision	22,41%	26,28%	26,73%	27,26%

The XLDBTumba02, XLDBTumba05 and XLDBTumba04 runs have the same overall precision and recall values, because we used the same queries which retrieved the same documents, differing only in the order in which the documents were submitted for each topic.

Fig. 2. Recall-Precision Values for our runs, according to CLEF results

6   Conclusion

We used the Web search engine tumba! in the CLEF 2004 monolingual task for Portuguese. Our main objective was to test, compare, and improve the quality of tumba's results, and gather ideas on how to do it. However, the enviroment that we work on, the Web, is different from the flat and small collection of document texts that we used in the CLEF task.

As we didn't have a baseline of relevant judgements, we manually annotated relevant and non relevant documents for the 50 topics. We found that this task is not easy. It is time consuming and requires experienced human annotators to review hundreds of documents, compare the results and eliminate erroneous judgements. The other runs

submitted used combinations of two algorithms used on the tumba! ranking engine. We did our own evaluation with several metrics based on our own relevance judgements, and submitted 4 runs for CLEF evaluation. We presented both evaluations in this paper.

tumba! does not perform stemming or query expansion and relies heavily on detecting the presence of query terms in document titles and URLs. As these were not available for this evaluation, the performance of tumba! was below average when compared to other systems.

During the creation of the XLDBTumba01 run and while analysing our results together with the CLEF relevant judgements, we realized that in many cases, a simple query could not retrieve all the relevant documents. Take for instance, topic #204, looking for documents concerning avalanche victims. In the Portuguese monolingual task, this topic had 7 relevant judgements, which contained the relevant words of the 'avalanche' noun and the 'morrer' verb (*to die*) / 'morte' (*death*) family shown in Table 3.

Table 3. Relevant words in the relevant documents of the topic 204

Word	Rel #1	Rel #2	Rel #3	Rel #4	Rel #5	Rel #6	Rel #7
avalanche	x	x	x				
avalanches	x		x				x
avalancha				x	x	x	
mortos	x		x	x	x		
mortas							x
morte	x		x		x	x	x
morreu						x	
morreram		x	x				
morrido		x					
mata		x					

We can see that it would be impossible on a system like tumba! to achieve a good recall value with a query containing 'avalanche' 'morte' terms only. This is a situation that is not uncommon and systems must be able to deal with it. We intend to extend our Web search system to provide much better results in situations where the documents are not rich in HTML features, such as hyperlinks and meta-tags. tumba! is effective in named-page finding tasks, in particular when these have appropriate titles and have multiple links, but needs to become more effective in supporting other queries as well.

Acknowledgements

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