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# **Search for heavy Majorana or Dirac neutrinos and right-handed $W$ gauge bosons in final states with two charged leptons and two jets at $\sqrt{s} = 13$ TeV with the ATLAS detector**

The ATLAS Collaboration

A search for heavy right-handed Majorana or Dirac neutrinos  $N_R$  and heavy right-handed gauge bosons  $W_R$  is performed in events with a pair of energetic electrons or muons, with the same or opposite electric charge, and two energetic jets. The events are selected from  $pp$  collision data with an integrated luminosity of  $36.1 \text{ fb}^{-1}$  collected by the ATLAS detector at  $\sqrt{s} = 13$  TeV. No significant deviations from the Standard Model are observed. The results are interpreted within the theoretical framework of a left–right symmetric model and lower limits are set on masses in the heavy right-handed  $W$  boson and neutrino mass plane. The excluded region extends to  $m_{W_R} = 4.7$  TeV for both Majorana and Dirac  $N_R$  neutrinos.

## Contents

|          |                                            |           |
|----------|--------------------------------------------|-----------|
| <b>1</b> | <b>Introduction</b>                        | <b>3</b>  |
| <b>2</b> | <b>ATLAS detector</b>                      | <b>4</b>  |
| <b>3</b> | <b>Dataset and simulated event samples</b> | <b>5</b>  |
| <b>4</b> | <b>Object reconstruction</b>               | <b>7</b>  |
| <b>5</b> | <b>Event selection</b>                     | <b>8</b>  |
| <b>6</b> | <b>Background estimation</b>               | <b>10</b> |
| <b>7</b> | <b>Systematic uncertainties</b>            | <b>13</b> |
| <b>8</b> | <b>Statistical analysis and results</b>    | <b>15</b> |
| <b>9</b> | <b>Conclusion</b>                          | <b>23</b> |

# 1 Introduction

Left–right symmetric models [1–9] (LRSMs) attempt to explain the broken parity symmetry of the weak interaction in the Standard Model (SM) and can introduce, depending on the form of the LRSM, right-handed counterparts to the  $W$  and  $Z$  bosons ( $W_R$  and  $Z_R$ ), and right-handed heavy neutrinos ( $N_R$ ). A search for  $W_R$  boson and  $N_R$  neutrino production in a final state containing two charged leptons and two jets ( $\ell\ell jj$ ) with  $\ell = e, \mu$  is presented here. The exact process of interest is the Keung–Senjanović (KS) process [10], shown in Figure 1. When the  $W_R$  boson is heavier than the  $N_R$  neutrino ( $m_{W_R} > m_{N_R}$ ), the on-shell  $W_R$  mass can be reconstructed from the invariant mass of the  $\ell\ell jj$  system, whereas, when  $m_{N_R} > m_{W_R}$ , the on-shell  $W_R$  mass can be reconstructed from the invariant mass of the  $jj$  system. Only  $ee$  and  $\mu\mu$  lepton pairs, coupling respectively to  $N_R^e$  and  $N_R^\mu$ , are considered as part of the  $\ell\ell jj$  final state, since no mixing between flavours is assumed. Left- and right-handed weak gauge couplings are also defined to be equivalent ( $g_L = g_R$ ).

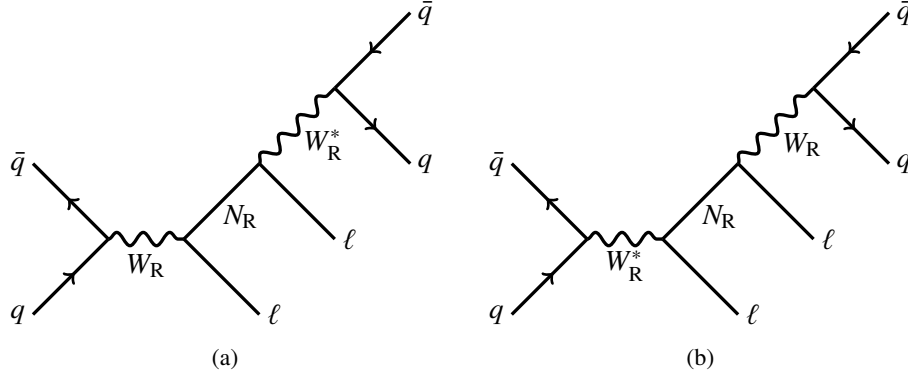


Figure 1: The KS process, for (a) the  $m_{W_R} > m_{N_R}$  case and (b) the  $m_{N_R} > m_{W_R}$  case.

In the minimal LRSM containing the type-I seesaw mechanism [6–9],  $N_R$  neutrinos are Majorana particles. The type-I seesaw mechanism accounts for the masses of the SM neutrinos by linking (heavy)  $N_R$  neutrinos and the SM neutrino masses through a mixing matrix. In this case, both the SM neutrinos and the hypothetical  $N_R$  neutrinos are required to be Majorana particles, allowing lepton-number-violating processes, such as the KS process, to occur. In LRSM variants, including the inverse seesaw mechanism [11–14],  $N_R$  neutrinos are pseudo-Dirac particles<sup>1</sup> (referred to in this paper as “Dirac” particles for simplicity). For minimal versions of LRSMs containing the inverse seesaw mechanism, lepton-number-violating processes are not expected [16]. The Majorana or Dirac nature of the  $N_R$  neutrino can be established by comparing the charges of the two final-state leptons. If the  $N_R$  neutrinos are Dirac particles, the leptons will always have opposite-sign (OS) charges. However, if they are Majorana particles, the  $N_R$  neutrinos are their own anti-particles,

<sup>1</sup> A pseudo-Dirac particle is formed by two Majorana particles with identical masses [15].

and their decay will give rise to an experimental signature of both opposite- and same-sign (SS) dileptons in a 50%-50% admixture.

The KS process resulting in an  $\ell\ell jj$  final state in the electron and muon channels has been studied by both the ATLAS and CMS collaborations using  $\sqrt{s} = 7$  TeV [17, 18],  $\sqrt{s} = 8$  TeV [19, 20] and  $\sqrt{s} = 13$  TeV [21] collision data. CMS also has results for the hadronic  $\tau\tau jj$  final state at  $\sqrt{s} = 13$  TeV [22, 23]. No evidence of a  $W_R$  boson or a  $N_R$  neutrino has emerged from these studies. The current most stringent exclusion limits on  $W_R$  boson and Majorana  $N_R$  neutrino masses are derived by the CMS experiment [21] at  $\sqrt{s} = 13$  TeV, in both the electron and muon channels, regions extending to  $m_{W_R} \sim 4.4$  TeV (for a range of  $m_{N_R}$  values) are excluded, whilst the  $m_{N_R}$  limits reach  $\sim 2.9$  TeV in the electron channel (for  $m_{W_R} \sim 3.8$  TeV) and  $\sim 3$  TeV in the muon channel (for  $m_{W_R} \sim 3.6$  TeV). The analysis presented here extends the ATLAS searches to  $36.1 \text{ fb}^{-1}$  of data at  $\sqrt{s} = 13$  TeV and also provides lower limits on the masses for the Dirac case of the  $N_R$  neutrino. The analysis uses a new MADGRAPH generator model [24, 25] which overcomes known limitations of PYTHIA [26] as employed in previous searches by ATLAS and CMS, and also allows the exploration of the scenario in which the  $N_R$  neutrino is heavier than the  $W_R$  boson for the first time.

## 2 ATLAS detector

The ATLAS experiment [27] is a multipurpose particle detector with a forward–backward symmetric cylindrical geometry and a near  $4\pi$  coverage in solid angle.<sup>2</sup> It consists of an inner tracking detector (ID) surrounded by a thin superconducting solenoid providing a 2 T axial magnetic field, electromagnetic (EM) and hadron calorimeters, and a muon spectrometer (MS). The ID covers the pseudorapidity range  $|\eta| < 2.5$ . It consists of silicon pixel, silicon microstrip, and straw-tube transition-radiation tracking detectors. A new innermost layer of pixel detectors [28, 29] was installed prior to the start of data taking in 2015. Lead/liquid-argon (LAr) sampling calorimeters provide electromagnetic energy measurements with high granularity and cover  $|\eta| < 3.2$ . A hadron (steel/scintillator-tile) calorimeter covers the central pseudorapidity range ( $|\eta| < 1.7$ ). The endcap and forward regions are instrumented with LAr calorimeters for EM and hadronic energy measurements up to  $|\eta| = 4.9$ . The MS surrounds the calorimeters and is based on three large air-core toroidal superconducting magnets with eight coils each. The field integral of the toroidal magnets ranges between 2.0 and 6.0 T m across most of the detector. The MS includes a system of precision tracking chambers covering  $|\eta| < 2.7$  and fast detectors ( $|\eta| < 2.4$ ) for triggering. A two-level trigger system [30] is used to select events for offline physics analyses. The first-level

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<sup>2</sup> ATLAS uses a right-handed coordinate system with its origin at the nominal interaction point (IP) in the centre of the detector and the  $z$ -axis along the beam pipe. The  $x$ -axis points from the IP to the centre of the LHC ring, and the  $y$ -axis points upwards. Cylindrical coordinates  $(r, \phi)$  are used in the transverse plane,  $\phi$  being the azimuthal angle around the  $z$ -axis. The pseudorapidity is defined in terms of the polar angle  $\theta$  as  $\eta = -\ln \tan(\theta/2)$ . The angular distance is measured in units of  $\Delta R \equiv \sqrt{(\Delta\eta)^2 + (\Delta\phi)^2}$ .

trigger is implemented in hardware and uses a subset of the detector information. This is followed by the software-based high-level trigger, reducing the event rate to about 1 kHz.

### 3 Dataset and simulated event samples

The data used in this analysis were collected at a centre-of-mass energy of 13 TeV during 2015 and 2016, and correspond to an integrated luminosity of  $36.1 \text{ fb}^{-1}$ . Only high-quality data with all detectors in normal operating conditions are analysed.

Simulated signal and background events are used to optimise the event selection and to estimate the background contributions.

Signal events with matrix elements calculated by MG5\_aMC@NLO v2.2.2 [24, 25] were simulated by PYTHIA8.186 [26] using the NNPDF2.3 [31] parton distribution function (PDF) set and the A14 set of tuned parton shower parameters [32]. A version of an LRSM model produced with FeynRules [33] was implemented in MG5\_aMC@NLO [34] and further modified as described in Ref. [35]. Events were generated containing only Majorana  $N_R$  neutrinos. For the Dirac neutrino case, only the OS events are used in the analysis. Signal samples were generated for different  $W_R$  boson and  $N_R$  neutrino mass hypotheses, covering a range from 600 GeV to 5800 GeV for  $m_{W_R}$  and 50 GeV to 8000 GeV for  $m_{N_R}$ . Only samples with  $m_{N_R} \leq 2m_{W_R}$  are used as the cross-section for the KS process drops off rapidly with increasing  $N_R$  neutrino mass.

For the OS channel, the dominant SM backgrounds are  $Z + \text{jet(s)}$  and  $t\bar{t}$  processes. In the SS channel, the main backgrounds arise from misidentified leptons, electron charge misidentification, and leptons from diboson processes such as  $ZW$ ,  $ZZ$ , or  $WW$  (including  $W^\pm W^\pm jj$ ) production. Other backgrounds taken into the account are  $t\bar{t}V$  ( $V = W, Z, H$ ) and single-top production. Additional rare backgrounds such as the production of four or three top quarks as well as  $t\bar{t}WW$ ,  $t\bar{t}WZ$ ,  $tZ$ , and  $tWZ$  production, were found to be negligible in both the OS and SS channels.

Various SM backgrounds were simulated using different generators and the dominant backgrounds are evaluated using data-driven techniques, as described in Section 6.

The  $Z + \text{jet(s)}$  process (with  $Z/\gamma^* \rightarrow ee/\mu\mu/\tau\tau$ ) was modelled using SHERPA 2.2.1 [36] with the NNPDF3.0 [37] PDF set. The matrix element (ME) was calculated for up to two partons with next-to-leading-order (NLO) accuracy in QCD and up to four partons with leading-order (LO) accuracy using Comix [38] and OpenLoops [39].

For the generation of  $t\bar{t}$  events, POWHEG-Box v2 [40–42] was used with the NNPDF3.0 PDF set in the ME calculation. The parton shower was modelled with PYTHIA8.186 [26] with the NNPDF2.3 [31] PDF set and the A14 set of tuned parameters [32]. Single-top-quark events produced in  $Wt$  final states were generated with POWHEG-Box v2 with the CT10 PDF set [43] used in the ME calculations. Single top-quark production via  $s$ - or  $t$ -channels was generated by POWHEG-Box v1 [40–42]. This

generator uses the four-flavour scheme for the NLO QCD matrix element calculations together with the fixed four-flavour PDF set CT10f4 [43]. The parton shower, fragmentation, and underlying event were simulated with PYTHIA6.428 [44] using the CTEQ6L1 PDF sets [45] and the Perugia 2012 set of tuned parameters [46]. The top-quark mass was set to 172.5 GeV. The EvtGen v1.2.0 [47] program was used to model bottom and charm hadron decays. The  $t\bar{t}V$  ( $V = W, Z$ ) processes were generated at LO with MG5\_aMC@NLO v2.2.2 [24] and the  $t\bar{t}H$  process was generated at LO with MG5\_aMC@NLO v2.3.2. For both, the NNPDF2.3 PDF set was used and the parton shower was modelled using PYTHIA8.186, configured with the A14 tune.

Diboson processes with four charged leptons ( $4\ell$ ), three charged leptons and one neutrino ( $3\ell+1\nu$ ), or two charged leptons and two neutrinos ( $2\ell+2\nu$ ) in the final state were generated using SHERPA 2.2.2 with the NNPDF3.0 PDF set. The matrix elements considered contained all diagrams with four electroweak vertices. The various processes were evaluated for up to three partons at LO accuracy and up to one ( $4\ell$ ,  $2\ell+2\nu$ ) or zero partons ( $3\ell+1\nu$ ) at NLO in QCD using the Comix and OpenLoops matrix element generators. Diboson processes with one boson decaying hadronically and the other one decaying leptonically were generated with SHERPA 2.2.1 using the NNPDF3.0 PDF set. The various processes were calculated for up to three additional partons at LO accuracy and up to one ( $ZZ$ ) or zero ( $WW$ ,  $WZ$ ) additional partons at NLO using the Comix and OpenLoops matrix element generators. Loop-induced and electroweak processes with two weak gauge bosons ( $W/Z$ ) plus two jets were simulated with SHERPA 2.1.1. The calculation is made at LO accuracy in QCD while up to one additional parton is merged with the matrix element. The CT10 PDF set was used in conjunction with a dedicated parton shower tuning. The SHERPA 2.1.1 diboson prediction was scaled by a factor of 0.91 to account for differences between the internal electroweak scheme used in this SHERPA version and the default scheme.

A single event recorded by the ATLAS detector consists of the superposition of a hard-scattering  $pp$  collision and several additional  $pp$  interaction vertices referred to as ‘pile-up.’ The effect of the pile-up was included by overlaying minimum-bias collisions, simulated by PYTHIA 8.186 with a set of tuned parameters referred to as the A2 tune [48] and the MSTW2008LO PDF [49], on each generated signal and background event. The average number of interactions per  $pp$  bunch crossing is 24 and simulated samples are weighted to reproduce the distribution observed in data.

The detector response for background events was simulated within a framework [50] based on GEANT4 [51]. Monte Carlo signal samples were instead processed with a fast simulation [50] which relies on a parameterisation of the calorimeter response [52]. Furthermore, simulated events were processed with the same reconstruction software used for data. In order to account for the different particle reconstruction efficiencies measured in data and simulation, correction factors are derived in dedicated measurements and applied to simulated events.

## 4 Object reconstruction

The electron and muon selection criteria are summarised in Table 1.

Table 1: Object reconstruction selection in the OS and the SS channels. The requirements corresponding to the identification and isolation working points are described in Ref. [53] under the same names.

| Lepton flavour                       | Electrons                                       |                                 | Muons                           |                                 |
|--------------------------------------|-------------------------------------------------|---------------------------------|---------------------------------|---------------------------------|
| Channel                              | OS                                              | SS                              | OS                              | SS                              |
| Identification                       | LHMedium                                        |                                 | Medium                          |                                 |
| Isolation                            | LooseTrackOnly                                  | Loose                           | LooseTrackOnly                  | FixedCutTightTrackOnly          |
| $p_{\text{T}}(\mu), E_{\text{T}}(e)$ | $E_{\text{T}} > 25 \text{ GeV}$                 | $E_{\text{T}} > 30 \text{ GeV}$ | $p_{\text{T}} > 25 \text{ GeV}$ | $p_{\text{T}} > 30 \text{ GeV}$ |
| $\eta$                               | $ \eta  < 2.47$ and veto $1.37 <  \eta  < 1.52$ |                                 | $ \eta  < 2.5$                  |                                 |
| $ d_0 /\sigma_{d_0}$                 | $ d_0 /\sigma_{d_0} < 5$                        |                                 | $ d_0 /\sigma_{d_0} < 3$        |                                 |
| $ z_0 \sin \theta $                  | $ z_0 \sin \theta  < 0.5 \text{ mm}$            |                                 |                                 |                                 |

Electron candidates are reconstructed from energy deposits in the EM calorimeter which are matched to a track reconstructed in the ID [54]. Candidate electrons must have transverse energy  $E_T > 25$  (30) GeV in the OS (SS) channel,  $|\eta| < 2.47$  and satisfy the LHMedium identification criterion based on a multivariate likelihood discriminant [53, 55]. Electrons falling in the transition region between the barrel and endcap EM calorimeters ( $1.37 < |\eta| < 1.52$ ) are excluded. The transverse impact parameter ( $d_0$ ) of the track associated with the electron must have a significance  $|d_0|/\sigma(d_0) < 5$  relative to the beam line [56], where  $\sigma(d_0)$  is the uncertainty on  $d_0$ . All tracks with transverse momentum  $p_T > 400$  MeV associated with electron candidates must have a longitudinal impact parameter multiplied by the sine of the polar angle  $|z_0 \sin \theta|$  of less than 0.5 mm. Electrons are further required to satisfy the track-based (track- and calorimeter-based) isolation criteria for the OS (SS) channel. The isolation selection efficiencies are found to exceed 99% using  $Z \rightarrow e^+e^-$  events [53].

Muons are reconstructed from a combined fit of a track in the ID matched to a track in the MS. The Medium quality criterion, described in Ref. [57], is applied. Candidate muons must have  $p_T > 25$  (30) GeV in the OS (SS) channel,  $|\eta| < 2.5$ ,  $|d_0|/\sigma(d_0) < 3$ , and  $|z_0 \sin \theta| < 0.5$  mm. Muon isolation in the OS channel is based on track isolation and has a 99% efficiency, independent of the muon  $p_T$  and  $|\eta|$ . In the SS channel, the track isolation provides an efficiency exceeding 99% for high- $p_T$  muons and decreasing to  $\sim 95\%$  for muons with  $p_T < 40$  GeV [57].

The tighter selection criteria applied to leptons used in the SS channel are designed to suppress the contribution from background events with misidentified leptons (as discussed in Section 6), which are negligible in the OS channel.

Jets are reconstructed with the anti- $k_t$  algorithm [58] using a radius parameter of 0.4 from energy deposits in the topological clusters of the calorimeter, calibrated as described in Ref. [59]. Jets must satisfy  $p_T > 20$  GeV and  $|\eta| < 2.5$ . The majority of jets from pile-up are rejected using the jet-vertex-tagger [60], a likelihood discriminant combining information from several track-based variables, which is only applied to jets with  $p_T < 60$  GeV and  $|\eta| < 2.4$ . The tagging efficiency of pile-up jets with the jet-vertex-tagger is determined in simulated  $Z \rightarrow \mu\mu + \text{jet(s)}$  events to be 95%.

The identification of jets containing  $b$ -hadrons ( $b$ -jets) is based on a multivariate tagging algorithm [61]. This algorithm uses a set of tracks with loose impact parameter constraints in a region of interest around each jet axis to enable the reconstruction of the  $b$ -hadron decay vertex. The  $b$ -tagging working point used in the OS (SS) channel results in an efficiency of 70% (77%) for jets containing  $b$ -hadrons. The expected rejection factors against light-quark and gluon jets,  $c$ -quark jets and hadronically decaying tau leptons are respectively 381, 12, 55 for a 70% efficiency and 134, 6, 22 for a 77% efficiency. These efficiencies and rejection factors are determined in a sample of simulated  $t\bar{t}$  events [62].

After the electron, muon and jet reconstruction and selection, possible overlaps between reconstructed objects are resolved. First, electrons are removed if they share a track with a muon. Next, ambiguities between electrons and jets are resolved by removing jets with an angular distance  $\Delta R < 0.2$  from electrons; if  $0.2 < \Delta R < 0.4$ , the electron is removed. Finally, if a muon and a jet have  $\Delta R < 0.4$ , the jet is removed if it has less than three associated tracks, otherwise the muon is discarded.

## 5 Event selection

Events were collected using single-lepton or dilepton triggers during the 2015 and 2016 data-taking periods. For data collected in 2015, the lowest  $E_T$  and lowest  $p_T$  trigger thresholds are 24 GeV and 20 GeV for single-electron and single-muon triggers, respectively. For 2016 data, the thresholds are 26 GeV and 24 GeV for electron and muon triggers, respectively. Dielectron triggers with a  $E_T$  threshold of 17 GeV are used in the  $e^\pm e^\pm$  case since a different background strategy is considered for the SS channel (see Section 6). After satisfying the offline selections, the signal efficiency of the employed triggers is higher than 99% (95%) for the  $ee$  ( $\mu\mu$ ) channel. Events are required to have at least one reconstructed primary vertex with at least two associated tracks with  $p_T > 400$  MeV. Among all the vertices in the event, the one with the highest sum of squared transverse momenta of the associated tracks is chosen as the primary vertex. Events containing  $b$ -jets are vetoed to reduce contamination from top-quark background.

All selected events are required to have exactly two same-flavour leptons ( $ee$ ,  $\mu\mu$ ) and two jets with  $p_T > 100$  GeV and  $|\eta| < 2.0$ . The main SM background after these requirements is the  $Z + \text{jet(s)}$  process in the OS and electron SS channels, while diboson production is the main background in



the muon SS channel. The  $Z + \text{jet(s)}$  process enters the SS channel due to misidentification of electron charge.

Selected events are classified into orthogonal categories, called analysis regions, which serve different purposes. Signal regions (SR) are designed to contain the majority of expected signal events and are used to extract the signal yields. Control regions (CR) and validation regions (VR) are defined by reversing some of the SR event selection criteria and are used to constrain and validate background predictions respectively. The analysis region selection criteria are summarised in Table 2. To suppress background from  $Z + \text{jet(s)}$  processes in the  $\text{SR}(e^\pm e^\mp)$ ,  $\text{SR}(\mu^\pm \mu^\mp)$ ,  $\text{SR}(e^\pm e^\pm)$  and  $\text{SR}(\mu^\pm \mu^\pm)$  signal regions, the invariant masses of the two leptons ( $m_{\ell\ell}$ ) and the two jets ( $m_{jj}$ ) must be larger than 400 GeV and 110 GeV, respectively. In addition, the scalar  $p_T$  sum of the selected two leptons ( $E_T$  for electrons) and the two most energetic jets ( $H_T$ ) must be larger than 400 GeV to further reject the SM background, which exhibits lower transverse energies than the signal. Since the expected event yields in the OS channel are larger than those in the SS channel, the CR and VR definitions differ slightly, as shown in Table 2. The variable used to ensure the orthogonality between CRs, VRs and SRs is  $m_{\ell\ell}$ , except in the  $\text{CR}(e^\pm \mu^\mp)$  which has the same kinematic selection as the SRs, but with different-flavour leptons. The  $\text{CR}(e^\pm e^\mp)$  and  $\text{CR}(\mu^\pm \mu^\mp)$  provide a high-purity sample of  $Z + \text{jet(s)}$  events and are used to constrain the yields from this process. Similarly, the  $\text{CR}(e^\pm \mu^\mp)$  is used to constrain events from top-quark processes. For the SS channel, the main prompt SM background is diboson production and its yield is constrained in both the  $\text{CR}(e^\pm e^\pm)$  and  $\text{CR}(\mu^\pm \mu^\pm)$ . The rate of  $Z + \text{jet(s)}$  events, which enter the analysis regions only through charge misidentification, as described in Section 6, is constrained only in the  $\text{CR}(e^\pm e^\pm)$ .<sup>3</sup> The invariant mass window used to define the  $\text{CR}(e^\pm e^\pm)$  is reduced to the interval [110, 300] GeV, to avoid overlap with the region used to estimate the electron charge misidentification probability.

Once all selections are applied, the signal acceptance times efficiency – evaluated with simulated signal events – varies from 54% in the  $(W_R, N_R)$  high-mass regime to  $\sim 30\%$  at the edge of the already excluded  $(W_R, N_R)$  low-mass region.

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<sup>3</sup> The probability of muon charge misidentification is negligible because muon bremsstrahlung radiation is greatly reduced compared to electron, and muon tracks are measured in both the inner detector and the muon spectrometer, which provides a much larger lever arm for the curvature measurement.

Table 2: Summary of all regions defined in the analysis divided into the OS and SS channels. The table is split into two blocks: the top half indicates the mass range of the dilepton final state, whereas the bottom half indicates the event selection criteria used for a given region. The flavour combinations are summarised as follows:  $\ell^\pm \ell^\mp = \{e^\pm e^\mp, \mu^\pm \mu^\mp\}$ ,  $\ell^\pm \ell^\pm = \{e^\pm e^\pm, \mu^\pm \mu^\pm\}$ ,  $\ell^\pm \ell'^\mp = \{e^\pm \mu^\mp\}$ . Pairs of values  $[X, Y]$  indicate the minimum and maximum values the quantity in question may take in the analysis region in question.

| Region             | Control region            |                            |                           | Validation region         |                           | Signal region             |                           |
|--------------------|---------------------------|----------------------------|---------------------------|---------------------------|---------------------------|---------------------------|---------------------------|
| Channel            | CR( $\ell^\pm \ell^\mp$ ) | CR( $\ell^\pm \ell'^\mp$ ) | CR( $\ell^\pm \ell^\pm$ ) | VR( $\ell^\pm \ell^\mp$ ) | VR( $\ell^\pm \ell^\pm$ ) | SR( $\ell^\pm \ell^\mp$ ) | SR( $\ell^\pm \ell^\pm$ ) |
| $m_{ee}$ [GeV]     | [60, 110]                 | —                          | [110, 300]                | [110, 400]                | [300, 400]                | > 400                     | > 400                     |
| $m_{\mu\mu}$ [GeV] | [60, 110]                 | —                          | [60, 300]                 | [110, 400]                | [300, 400]                | > 400                     | > 400                     |
| $m_{e\mu}$ [GeV]   | —                         | > 400                      | —                         | —                         | —                         | —                         | —                         |
| $H_T$ [GeV]        | > 400                     | > 400                      | —                         | > 400                     | —                         | > 400                     | > 400                     |
| $m_{jj}$ [GeV]     | > 110                     | > 110                      | —                         | > 110                     | —                         | > 110                     | > 110                     |
| Jet $p_T$ [GeV]    | > 100                     | > 100                      | > 50                      | > 100                     | > 50                      | > 100                     | > 100                     |

## 6 Background estimation

The composition of the SM background is substantially different in the OS and SS channels, requiring different background estimation techniques in the two cases, although prompt SM backgrounds are estimated using simulations in both channels. Prompt leptons are defined as leptons originating from  $Z$ ,  $W$ , and  $H$  boson decays, or leptons from  $\tau$  decays if the  $\tau$ -lepton originates from a prompt decay (e.g.  $Z \rightarrow \tau^+ \tau^-$ ). Unless otherwise stated, background processes are found to be well modelled by simulation.

The main prompt SM backgrounds contributing to the OS channel are from top-quark events (mainly  $t\bar{t}$ ) and  $Z + \text{jet(s)}$  production, with contributions of  $\sim 49\%$  and  $\sim 35\%$  respectively in the SR( $e^\pm e^\mp$ ) and  $\sim 55\%$  and  $\sim 37\%$  in the SR( $\mu^\pm \mu^\mp$ ). Minor contributions arise from diboson (mainly  $ZW \rightarrow \ell^+ \ell^- jj$  and  $ZZ \rightarrow \ell^+ \ell^- jj$ ) and  $W + \text{jet(s)}$  events. The  $m_{jj}$  spectrum of simulated  $Z + \text{jet(s)}$  events is not correctly modelled by the simulation samples in the CR( $e^\pm e^\mp$ ) and CR( $\mu^\pm \mu^\mp$ ). The effect is not visible in the SS channel because  $Z + \text{jet(s)}$  production is a less dominant background and therefore the statistical uncertainty is much larger. A data-driven procedure is applied to the simulated  $Z + \text{jet(s)}$  events to correct for this mismodelling. A reweighting factor is derived from the regions CR( $e^\pm e^\mp$ ) and CR( $\mu^\pm \mu^\mp$ ) and applied to all OS regions. It ranges from 1.1 in the low- $m_{jj}$  region to 0.4 in the high- $m_{jj}$  region above 3 TeV. The reweighting function is evaluated by fitting the ratio between data and simulation, after subtracting the non- $Z + \text{jet(s)}$  contributions from both of them. The function is a Novosibirsk-type function with three free parameters (peak and width, measured in TeV, and tail, unitless) and related to the weight by

$$k_1 = \ln \left[ 1.0 - \frac{(m_{jj} - \text{peak}) \cdot \text{tail}}{\text{width}} \right], \quad (1a)$$

$$k_2 = \frac{\sinh^{-1}(\sqrt{\ln 4} \cdot \text{tail})}{\sqrt{\ln 4}}, \quad (1b)$$

$$\text{weight} = \exp \left[ -\frac{0.5}{k_2^2} k_1^2 - 0.5 k_2^2 \right]. \quad (1c)$$

The resulting reweighting factor with the best fit function is shown in Figure 2.

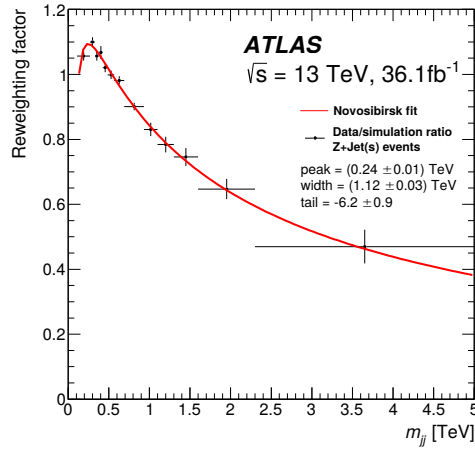


Figure 2: Ratio between data and simulation for  $Z + \text{jet(s)}$  events collected in  $\text{CR}(e^\pm e^\mp)$  and  $\text{CR}(\mu^\pm \mu^\mp)$  as a function of the dijet invariant mass, with the result of the Novosibirsk fit superimposed. The fitted values for the peak and width in TeV, and tail (unitless) are shown. The binning is adjusted to minimise statistical fluctuations with  $36.1 \text{ fb}^{-1}$  of data.

In the SS channel, prompt SM processes such as  $Z + \text{jet(s)}$  ( $\sim 18\%$ ) and diboson ( $\sim 22\%$ ) production contribute in the  $\text{SR}(e^\pm e^\pm)$ . Diboson production also contributes significantly ( $\sim 37\%$ ) to the  $\text{SR}(\mu^\pm \mu^\pm)$ . A small fraction of top-quark events is present in both  $\text{SR}(e^\pm e^\pm)$  ( $\sim 7\%$ ) and  $\text{SR}(\mu^\pm \mu^\pm)$  ( $\sim 3\%$ ). The largest contribution,  $\sim 53\%$  in  $\text{SR}(e^\pm e^\pm)$  and  $\sim 60\%$  in  $\text{SR}(\mu^\pm \mu^\pm)$ , is a reducible background arising from events with misidentified or non-prompt electrons and muons, collectively called ‘fakes’. A data-driven approach is used to assess the fake-lepton contributions as described in the following.

In the electron SS channel, electron charge misidentification is an additional source of SM background events mainly coming from  $Z + \text{jet(s)}$  and top-quark production. A discrepancy between data and simulation is observed in these events, and data-driven correction factors are applied to

simulated events. The charge misidentification of electrons is mainly due to bremsstrahlung radiation, with subsequent photon conversion into an electron–positron pair. The probability of charge misidentification is measured in data using  $Z \rightarrow e^+e^-$  events by comparing the numbers of OS and SS events. The probability is parameterised in terms of the electron  $E_T$  and  $|\eta|$  [63]. It is measured in narrow  $E_T$  bins with the last bin extending above 250 GeV.

The misidentified-lepton background is estimated with the ‘fake-factor’ method, implemented in the same way as in Ref. [63]. The implementation of the method requires two different lepton samples. The first one is defined by the leptons entering any analysis region, selected as in Table 1, and called ‘tight’ ( $T$ ). The second one is orthogonal to the tight selection and is called ‘loose’ ( $L$ ). It is constructed by loosening some of the tight lepton requirements and by requiring that these leptons fail to satisfy the tight lepton criteria. The identification criteria for electrons are loosened to LHLoose [53, 55] and the isolation criteria are not imposed. Similarly, loose muons have a relaxed impact parameter requirement,  $|d_0|/\sigma_{d_0} < 10$ , and no isolation requirement. The fake-factor ( $F$ ), quantifying the probability for a misidentified lepton to be reconstructed as a prompt lepton, is defined as the ratio of the number of tight to loose leptons  $F = N_T/N_L$  and measured in dedicated ‘fake-enriched’ regions.

The regions, summarised in Table 3, are enriched in fake leptons originating from dijet and  $W$  + jet(s) production. They are selected using single-lepton triggers and must satisfy low missing transverse momentum ( $E_T^{\text{miss}}$ ) requirements, to suppress  $W$  + jet(s) production where a real lepton from a  $W$  boson decay is present. One charged lepton and at least one (two) jet(s) must be present in the event in the muon (electron) channel. Furthermore, contamination from prompt leptons in fake-enriched regions is subtracted using simulated events. This contamination is up to 40% in the electron channel, and in the muon channel for  $p_T$  ( $< 100$  GeV), while it becomes dominant for high  $p_T$  muons. The selection criteria for the electron fake-enriched region are designed to mimic as much as possible the signal region selection in order to ensure a similar composition of various sources of fake electrons: decays of light-flavour hadrons into light leptons, photon conversions, and jets faking electrons. In the muon channel, the major source of fake muons originates from decays of heavy-flavour hadrons and the muon fake-enriched region is optimised to maximise the number of such events. The fake-factors are parameterised in terms of lepton flavour ( $e$  or  $\mu$ ),  $E_T$  ( $p_T$ ) for electrons (muons), and  $\eta$ . For electrons, they vary from 0.3 to 0.5 in the barrel region and from 0.4 to 0.9 in the endcap region. For muons, they are about 0.4 for  $p_T < 50$  GeV and rise to 1.0 for  $p_T > 50$  GeV.

Once the factor  $F$  is measured, the contribution of the number of events with at least one misidentified lepton in the analysis regions ( $N^{\text{fake}}$ ) is evaluated using:

$$N^{\text{fake}} = [F(N_{TL}^{\text{data}} + N_{LT}^{\text{data}}) - F^2 N_{LL}^{\text{data}}] - [F(N_{TL}^{\text{MC}} + N_{LT}^{\text{MC}}) - F^2 N_{LL}^{\text{MC}}]^{\text{prompt only}}. \quad (2)$$

In these regions the factor  $F$  is applied to events containing at least one loose lepton,  $N_{TL}$ ,  $N_{LT}$  and  $N_{LL}$ , populating the ‘sideband’ regions, namely  $TL$ ,  $LT$  and  $LL$ . In these events, either the

Table 3: Selection criteria defining the dedicated fake-enriched regions, used to measure the electron and muon fake-factors as described in the text.

| Selection for fake-enriched regions  |                                      |
|--------------------------------------|--------------------------------------|
| Electrons                            | Muons                                |
| Single-electron trigger              | Single-muon trigger                  |
| $b$ -jet veto                        | $b$ -jet veto                        |
| One electron and at least two jets   | One muon and one jet                 |
| $p_T(\text{jet}) > 50 \text{ GeV}$   | $p_T(\text{jet}) > 35 \text{ GeV}$   |
| –                                    | $\Delta\phi(\mu, \text{jet}) > 2.7$  |
| $E_T^{\text{miss}} < 25 \text{ GeV}$ | $E_T^{\text{miss}} < 40 \text{ GeV}$ |

subleading lepton is loose ( $TL$ ), or the leading lepton is loose ( $LT$ ), or both leptons are loose ( $LL$ ). The residual prompt-lepton components in the sideband regions are subtracted using simulated events. The estimated backgrounds due to fakes and electron charge misidentification are validated in the dedicated VRs defined in Table 2.

## 7 Systematic uncertainties

Several sources of systematic uncertainty are considered. They correspond to experimental and theoretical sources affecting both the background and signal predictions. All the sources of systematic uncertainty considered here affect the total event yield. They also alter the distributions of the variables used in the statistical analysis (Section 8) with the exception of the uncertainties in the luminosity and cross-sections.

The uncertainty in the 2015 and 2016 integrated luminosity is 2.1%, derived with the methodology described in Ref. [64], and using the LUCID-2 detector for the baseline luminosity measurements [65], from a calibration of the luminosity scale using  $x$ – $y$  beam-separation scans.

A set of experimental systematic uncertainties arise from the calibrations of the lepton and jet energy and momentum, the lepton detection efficiencies and isolation, and the trigger efficiency. The largest uncertainty in the total SM yield arises from the energy calibration and smearing of jets, derived in Ref. [59], and is between 5% and 10% depending on the invariant mass of the  $\ell\ell jj$  system. Experimental systematic uncertainties associated with lepton reconstruction, identification, isolation and trigger efficiencies, as well as energy or momentum calibration and  $b$ -jet tagging, vary between 0.5% and 4% of the total SM yield.

There are two additional experimental sources of systematic uncertainty in the SS channel due to the data-driven background estimation techniques. The uncertainty related to the charge misid-

entification probability of electrons arises from the statistical uncertainty of both the data and the simulated samples of  $Z + \text{jet(s)}$  events used to measure this probability. The uncertainty ranges between 10% and 20% as a function of the electron  $E_T$  and  $\eta$ . Next, the uncertainty on the fake estimation arises from the unknown composition of fakes, as well as from statistical uncertainty and prompt lepton subtraction used to derive  $F$  in the fake-enriched regions. The uncertainty due to the composition of fakes is estimated by varying the criteria to select the nominal sample for the fake-factor measurement (Table 3). In the electron channel, the  $E_T^{\text{miss}}$  requirement is increased to  $<100$  GeV, the two-jet requirement is dropped and one jet recoiling against the electron (analogous to the nominal muon fake-factor measurement) is required. In the muon channel, the nominal  $|d_0|/\sigma_{d_0}$  and  $E_T^{\text{miss}}$  requirements are varied up and down respectively by one unit and 10 GeV. Furthermore, the uncertainty in the yield of prompt leptons from  $W$  and  $Z$  boson decays is estimated by varying the total SM prediction of the simulated samples by  $\pm 10\%$  in the muon channel and  $\pm 30\%$  in the electron channel. These values represent the size of the uncertainty due to the choice of QCD renormalisation ( $\mu_R$ ) and factorisation ( $\mu_F$ ) scales,  $\alpha_S$ , and PDF uncertainties. The different size of the uncertainty between channels arises from the different fake-enriched region definitions (Table 3), requiring exactly one jet and at least two jets for the muon and electron channels respectively. The total uncertainty in the fake-factors ranges between 10% and 50% across all  $E_T(e, \mu)$  and  $\eta$  bins for both flavours.

In the OS channel, an additional uncertainty is taken into account for the  $m_{jj}$  reweighting of the  $Z + \text{jet(s)}$  process. This is evaluated by comparing the shape difference between the simulated and reweighted  $m_{jj}$  distribution and that measured in data, using the corresponding OS VR: the uncertainty in the reweighting factor is found to be between 5% and 20%, depending on the dijet invariant mass.

The theory uncertainties estimated for the  $Z + \text{jet(s)}$  and diboson background processes include the choice of QCD renormalisation ( $\mu_R$ ) and factorisation ( $\mu_F$ ) scales, choice of the PDF set,  $\alpha_S$ , and PDF uncertainty. The QCD scale uncertainty is estimated by varying  $\mu_R$  and  $\mu_F$  to half and twice their nominal values. The PDF uncertainty is estimated using the envelope of the NNPDF3.0 PDF set, as recommended in Ref. [66]. In addition, the MMHT2014 [67] and CT14NNLO [68] PDF sets are used to estimate the uncertainty due to the PDF choice. Moreover, the uncertainty due to  $\alpha_S$  is evaluated by varying its nominal value of 0.118 by  $\pm 0.001$ . The largest theory uncertainty generally originates from the QCD scale variation, and is between 20% and 40%, depending on the simulated process and the invariant mass of the  $\ell\ell jj$  system.

The theory uncertainties estimated for  $t\bar{t}$  processes are as follows. The uncertainty from hard-scatter generation is evaluated by comparing the POWHEG-Box and MG5\_aMC@NLO generators, both interfaced to the PYTHIA8.186 parton shower model, as recommended in Ref. [66]. The uncertainty due to the hadronisation and fragmentation model is determined by comparing the nominal POWHEG-Box + PYTHIA8.186 generated sample with the one generated by POWHEG-Box interfaced to HERWIG [69] (version 7.0.1). The uncertainty related to the amount of initial- and final-state radiation is assessed by varying parton shower settings. The largest theory uncertainty

generally originates from the amount of initial- and final-state radiation and is between 2% and 5% of the  $t\bar{t}$  process yield.

Finally, the theory uncertainty of the signal efficiency times acceptance amounts to 10%. It is evaluated by varying renormalisation and factorisation scales as described above and by using alternative PDF sets, CTEQ6 [45] and MSTW [49]. The  $\alpha_S$  emission scale factor is also varied to half and twice the nominal value. The uncertainty is dominated by the variation in factorisation scale. The variations are performed using SysCALC [70].

## 8 Statistical analysis and results

The statistical analysis package HISTFITTER [71] is used to implement a binned maximum-likelihood fit of the data distributions in the control and signal regions for the evaluation of the numbers of signal and background events. For the scenario in which the  $N_R$  neutrino is a Majorana particle, the OS and SS channels are fitted simultaneously, whereas for the Dirac neutrino, only the OS channel is used in the fit. The analysis regions are optimised for the high-mass regime, where the CRs and the VRs have negligible signal contribution. On the other hand, it is found that lower mass points yield some signal events in the CRs. This effect is accounted for in the statistical analysis when evaluating the signal strength by simultaneously fitting the CRs and SRs.

In the OS channel, different distributions are used in the likelihood fit depending on the mass hierarchy of the signal sample. The  $m_{\ell\ell jj}$  distribution is used as the discriminant for the  $m_{W_R} > m_{N_R}$  hypothesis, and the  $m_{jj}$  spectrum is used as the discriminant for the  $m_{W_R} < m_{N_R}$  hypothesis<sup>4</sup>. In both cases, the same discriminant variable is used in the control region and the signal region. In the SS channel,  $m_{jj}$  is used in the CRs and  $H_T$  is used in the SR, regardless of the mass hierarchy, as it yields a better separation between the signal and the background. Additional mass hypotheses are added by interpolating the discriminating distributions obtained for existing signal simulation samples using a moment morphing technique [72].

The likelihood is the product of a Poisson probability density function describing the observed number of events, and Gaussian distributions to constrain the nuisance parameters associated with the systematic uncertainties. The widths of the Gaussian distributions correspond to the magnitudes of these uncertainties, whereas Poisson distributions are used for the simulation statistical uncertainties. Additional free parameters are introduced to normalise the contributions of  $Z + \text{jet(s)}$ , diboson, and top-quark backgrounds to the data in the analysis control regions. The fitted normalisation parameters are applied simultaneously in SRs. The fitted normalisations are consistent with their SM predictions within the uncertainties.

Due to the different object definitions and background components in the OS and SS channels, separate nuisance parameters are used for all sources of uncertainties and fitted yields for the largest

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<sup>4</sup> For the CR( $e^\pm e^\mp$ ) and CR( $\mu^\pm \mu^\mp$ ), a single-bin fit is employed.

Table 4: Summary of the control regions used to fit the yields of the dominant SM background predictions. CRs used to fit a certain SM prediction yield are marked with a check-mark (✓) and CRs not used for this SM prediction are marked with a dash (-).

| SM background yield | CR( $e^\pm e^\mp$ ) | CR( $e^\pm \mu^\mp$ ) | CR( $\mu^\pm \mu^\mp$ ) | CR( $e^\pm e^\pm$ ) | CR( $\mu^\pm \mu^\pm$ ) |
|---------------------|---------------------|-----------------------|-------------------------|---------------------|-------------------------|
| OS Z + jet(s)       | ✓                   | -                     | ✓                       | -                   | -                       |
| SS Z + jet(s)       | -                   | -                     | -                       | ✓                   | -                       |
| OS Top              | -                   | ✓                     | -                       | -                   | -                       |
| SS Diboson          | -                   | -                     | -                       | ✓                   | ✓                       |

Table 5: Numbers of expected background events in control and validation regions after the background-only fit in the opposite-charge channel, compared to the data. The quoted uncertainties correspond to the total uncertainty in the predicted event yield including correlations between the various sources of systematic uncertainties. Due to rounding the total background can differ from the sums of components. Top-quark and Z + jet(s) yields are floating in the fit.

|                                        | CR ( $e^\pm e^\mp$ ) | CR ( $e^\pm \mu^\mp$ ) | CR ( $\mu^\pm \mu^\mp$ ) | VR ( $\mu^\pm \mu^\mp$ ) | VR ( $\mu^\pm \mu^\pm$ ) |
|----------------------------------------|----------------------|------------------------|--------------------------|--------------------------|--------------------------|
| Data                                   | 29178                | 201                    | 37378                    | 2794                     | 3327                     |
| Total background                       | $29200 \pm 170$      | $202 \pm 14$           | $37360 \pm 190$          | $2700 \pm 130$           | $3290 \pm 140$           |
| Z + jet(s)                             | $27900 \pm 190$      | $1.2 \pm 0.1$          | $35790 \pm 220$          | $1306 \pm 28$            | $1729 \pm 36$            |
| $t\bar{t}$ , single- $t$ , $t\bar{t}V$ | $524 \pm 71$         | $162 \pm 14$           | $628 \pm 88$             | $1250 \pm 130$           | $1400 \pm 140$           |
| Diboson and W+jets                     | $775 \pm 28$         | $39.1 \pm 2.0$         | $937 \pm 35$             | $149.1 \pm 6.5$          | $160.9 \pm 5.9$          |

Table 6: Numbers of expected background events in signal regions after the background-only fit in the opposite-charge channel, compared to the data. The quoted uncertainties correspond to the total uncertainty in the predicted event yield including correlations between the various sources of systematic uncertainties. Due to rounding the total background can differ from the sums of components. Top-quark and Z + jet(s) yields are floating in the fit.

|                                        | SR ( $e^\pm e^\mp$ ) | SR ( $\mu^\pm \mu^\mp$ ) |
|----------------------------------------|----------------------|--------------------------|
| Data                                   | 156                  | 169                      |
| Total background                       | $152.2 \pm 8.4$      | $165.9 \pm 8.9$          |
| Z + jet(s)                             | $53.9 \pm 5.1$       | $61.8 \pm 4.8$           |
| $t\bar{t}$ , single- $t$ , $t\bar{t}V$ | $74.0 \pm 7.6$       | $89.6 \pm 8.3$           |
| Diboson and W + jet(s)                 | $24.5 \pm 1.6$       | $12.8 \pm 0.7$           |



Table 7: Numbers of expected background events in analysis regions after the background-only fit in the same-charge channel, compared to the data. The quoted uncertainties correspond to the total uncertainty in the predicted event yield including correlations between the various sources of systematic uncertainties. Due to rounding the total background can differ from the sums of components. Diboson and Z + jet(s) yields are floating in the fit. Background processes with a negligible yield are marked with the dash (–).

|                                        | CR ( $e^\pm e^\pm$ ) | CR ( $\mu^\pm \mu^\pm$ ) | VR ( $e^\pm e^\pm$ ) | VR ( $\mu^\pm \mu^\pm$ ) | SR ( $e^\pm e^\pm$ ) | SR ( $\mu^\pm \mu^\pm$ ) |
|----------------------------------------|----------------------|--------------------------|----------------------|--------------------------|----------------------|--------------------------|
| Data                                   | 304                  | 119                      | 33                   | 11                       | 11                   | 5                        |
| Total background                       | 306 $\pm$ 17         | 119 $\pm$ 11             | 31.1 $\pm$ 5.5       | 10.3 $\pm$ 2.4           | 11.2 $\pm$ 2.0       | 5.5 $\pm$ 1.7            |
| Z + jet(s)                             | 100 $\pm$ 31         | –                        | 4.3 $\pm$ 2.0        | –                        | 2.0 $\pm$ 0.9        | –                        |
| Fakes                                  | 119 $\pm$ 23         | 40.4 $\pm$ 9.6           | 14.1 $\pm$ 4.6       | 4.1 $\pm$ 2.0            | 5.9 $\pm$ 1.9        | 3.3 $\pm$ 1.7            |
| Diboson                                | 61 $\pm$ 13          | 74 $\pm$ 14              | 7.3 $\pm$ 1.6        | 5.8 $\pm$ 1.4            | 2.6 $\pm$ 0.6        | 2.0 $\pm$ 0.5            |
| $t\bar{t}$ , single- $t$ , $t\bar{t}V$ | 25.8 $\pm$ 5.9       | 4.3 $\pm$ 0.8            | 5.4 $\pm$ 1.7        | 0.35 $\pm$ 0.08          | 0.8 $\pm$ 0.3        | 0.14 $\pm$ 0.05          |

backgrounds, as summarised in Table 4. Observed and predicted event yields and the corresponding uncertainties of the dominant backgrounds in all analysis regions are presented in Tables 5, 6 and 7. The information on observed and expected yields, along with their ratio for pre- (black filled markers) and post-fit (red hollow markers) yields, is presented in Figure 3 in all the analysis regions. The CR( $e^\pm e^\pm$ ) and CR( $\mu^\pm \mu^\pm$ ) are used to extract the yield of Z + jet(s) background, as the purity is above 95%. The CR( $e^\pm \mu^\mp$ ) is mostly populated by top-quark background events (80% purity) and used to extract their normalisation. In the SS channel, the Z + jet(s) contribution is estimated to be  $\sim 33\%$ , determined in a fit using the CR( $e^\pm e^\pm$ ) only, and with the diboson normalisation factor free to vary. The data-driven fake background normalisation is fixed, which allows for the measurement of the Z + jet(s) normalisation despite its low contribution in the control region.

To check the validity of the background prediction in the validation regions and to estimate the SM background in the signal regions, a background-only fit is performed where the nuisance parameters are constrained only in the CRs and are extrapolated to VRs after the fit. Figures 4 and 5 show the distributions in the CRs and VRs after this background-only fit (the binning used in Figures corresponds to the one used in the fit). When performing the CR+SR fit to obtain the upper limit on the signal strength, the nuisance parameters are constrained both in CRs and SRs. For example, the SS diboson normalisation is constrained using both CR( $e^\pm e^\pm$ ) and CR( $\mu^\pm \mu^\pm$ ). This diboson normalisation factor is validated in the VR( $\mu^\pm \mu^\pm$ ), as shown in Figure 5(f), where the expected background and observed number of events agree within the uncertainties. In the fit, the Majorana and the Dirac case are distinguished by using both the OS and SS regions or only the OS regions, respectively. The SR distributions after the CR+SR fit are shown in Figure 6.

No significant deviation from the SM predictions is observed in any of the signal regions. The most significant local excess is observed in the  $m_{\ell\ell jj}$  spectrum of the  $e^\pm e^\mp$  channel, with a  $\sim 2\sigma$  local significance ( $\sim 1\sigma$  global significance) between 3.5 and 4 TeV. After the fit, the compatibility between the data and the expected background is assessed. The global  $p$ -values for the background-

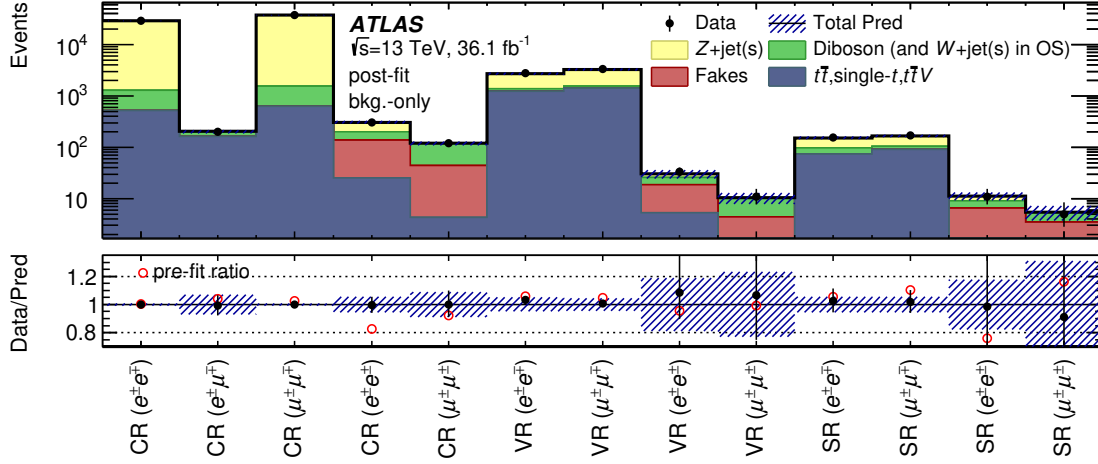


Figure 3: Number of observed and expected events in the control (CR), validation (VR), and signal (SR) regions for all channels considered after the background-only fit. The background expectation is the result of the fit described in the text using both OS and SS control regions. The hatched bands include all post-fit systematic uncertainties. The correlation between sources of systematic uncertainties are taken into account. The pre-fit ratio is indicated with the red hollow markers in the ratio plot.

only hypothesis are 0.67 and 0.62 for the  $e^\pm e^\pm$  and  $\mu^\pm \mu^\pm$  channels respectively and 0.16 and 0.59 for the  $e^\pm e^\mp$  and  $\mu^\pm \mu^\mp$  channels. Upper limits at 95% CL are calculated for the KS process cross-section using the  $\text{CL}_s$  method [73] and the profile likelihood-ratio [74] as the test statistic. Exclusion limits are calculated in separate fits for the  $ee$  and  $\mu\mu$  channels since there is no theoretical requirement that  $N_R^e$  and  $N_R^\mu$  have the same mass.

When setting exclusion limits for the two models the Dirac case is assumed to give twice as many OS events as the Majorana case. Results are shown in Figure 7 for both Majorana and Dirac  $N_R$  neutrino cases. In both cases,  $W_R$  bosons with masses up to 4.7 TeV are excluded at 95% CL, for  $m_{N_R}$  in the 0.5 – 3.0 TeV region. The two jets in the  $W_R$  boson decay chain, for  $m_{W_R} \sim 3 - 3.5$  TeV and  $m_{N_R}$  neutrino masses below 0.5 TeV, tend to overlap, resulting in a rapidly changing sensitivity and narrow  $1\sigma$  and  $2\sigma$  uncertainty bands in the exclusion limit plot, as visible in Figures 7(a) and 7(c). Figure 8 shows the exclusion limits separately for the OS and SS analyses in the Majorana  $N_R$  neutrino case. The two analyses generally exhibit a similar sensitivity across the two-dimensional mass plane. Under the inverted hierarchy hypothesis ( $m_{N_R} > m_{W_R}$ ) the SS analysis is more sensitive due to the lower expected background (Figures 6(b), 6(d), 6(e) and 6(f)).

In the electron channel (Fig. 8(a)), the OS observed limit around  $m_{W_R} \sim 4.2$  TeV and  $m_{N_R} \sim 3$  TeV is weaker than expected due to three events observed at  $m_{\ell\ell jj} \sim 3.8$  TeV, compared to an expected background of  $1.1 \pm 0.4$  events for  $m_{\ell\ell jj} > 3.5$  TeV (Fig. 6(a)). The opposite effect is observed in the muon channel (Fig. 8(b)), where no events are observed in the  $m_{\ell\ell jj} > 3$  TeV region (Fig. 6(c)).

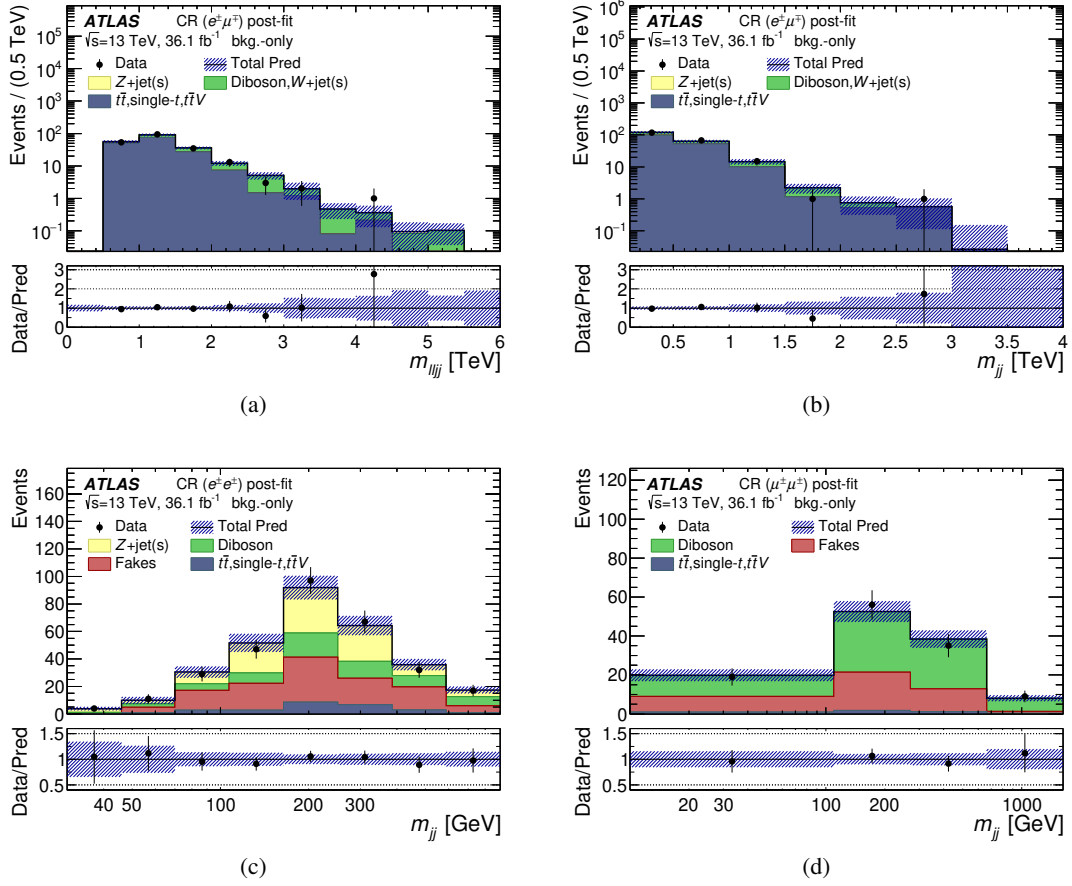


Figure 4: Distributions for data and background predictions for discriminant variables in various control regions and channels after the background-only fit: (a)  $m_{\ell\ell jj}$  in CR( $e^+\mu^+$ ), (b)  $m_{jj}$  in CR( $e^+\mu^+$ ), (c)  $m_{jj}$  in CR( $e^+e^+$ ), and (d)  $m_{jj}$  in CR( $\mu^+\mu^+$ ). The hatched bands include all post-fit systematic uncertainties. The correlation between sources of systematic uncertainties are taken into account. The last bin in each histogram contains the overflow.

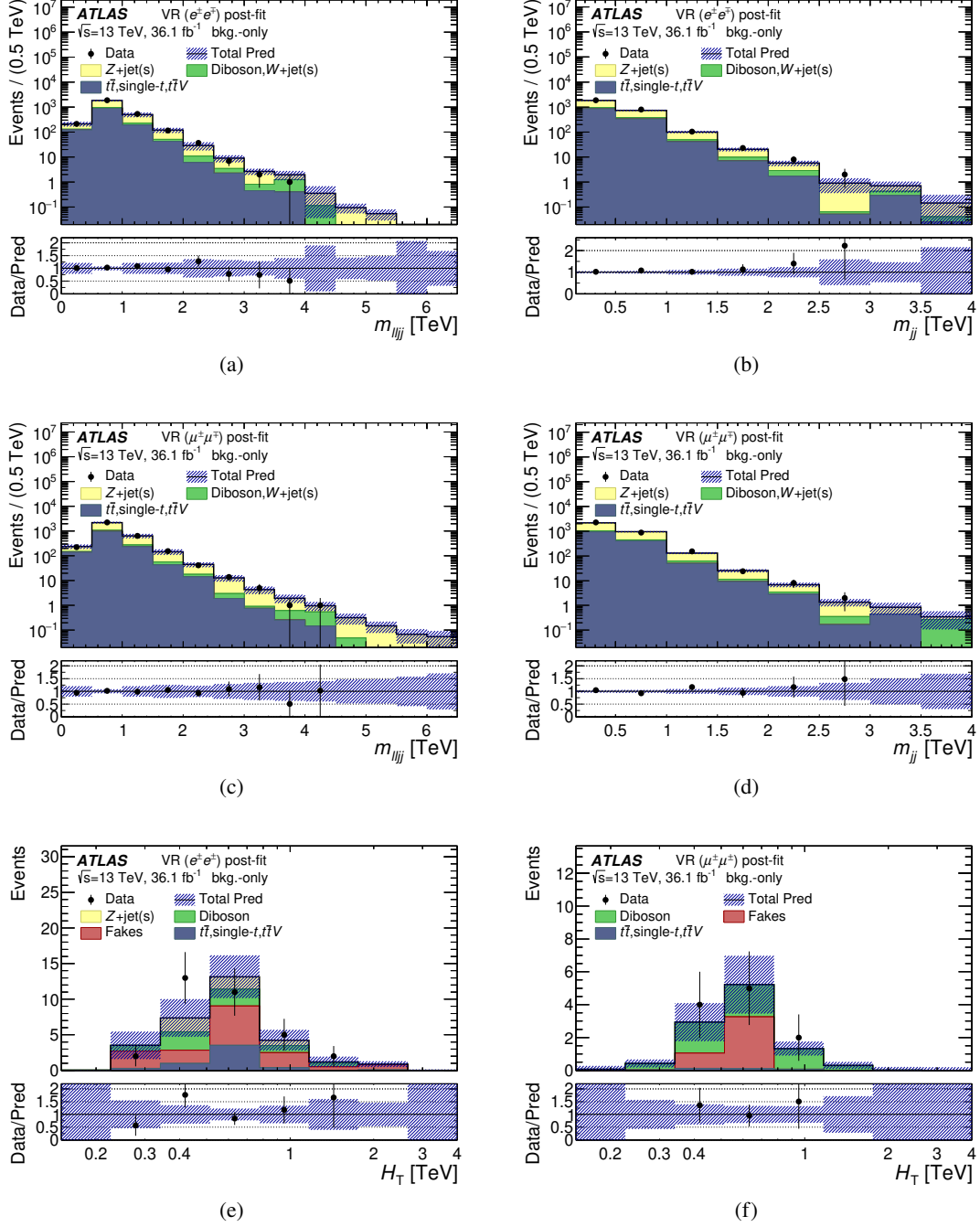


Figure 5: Distributions for data and background predictions for discriminant variables in various validation regions and channels after the background-only fit: (a)  $m_{\ell\ell jj}$  in VR( $e^\pm e^\pm$ ), (b)  $m_{jj}$  in VR( $e^\pm e^\pm$ ), (c)  $m_{\ell\ell jj}$  in VR( $\mu^\pm \mu^\pm$ ), (d)  $m_{jj}$  in VR( $\mu^\pm \mu^\pm$ ), (e)  $H_T$  in VR( $e^\pm e^\pm$ ), and (f)  $H_T$  in VR( $\mu^\pm \mu^\pm$ ). The hatched bands include all post-fit systematic uncertainties. The correlation between sources of systematic uncertainties are taken into account. The last bin in each histogram contains the overflow.

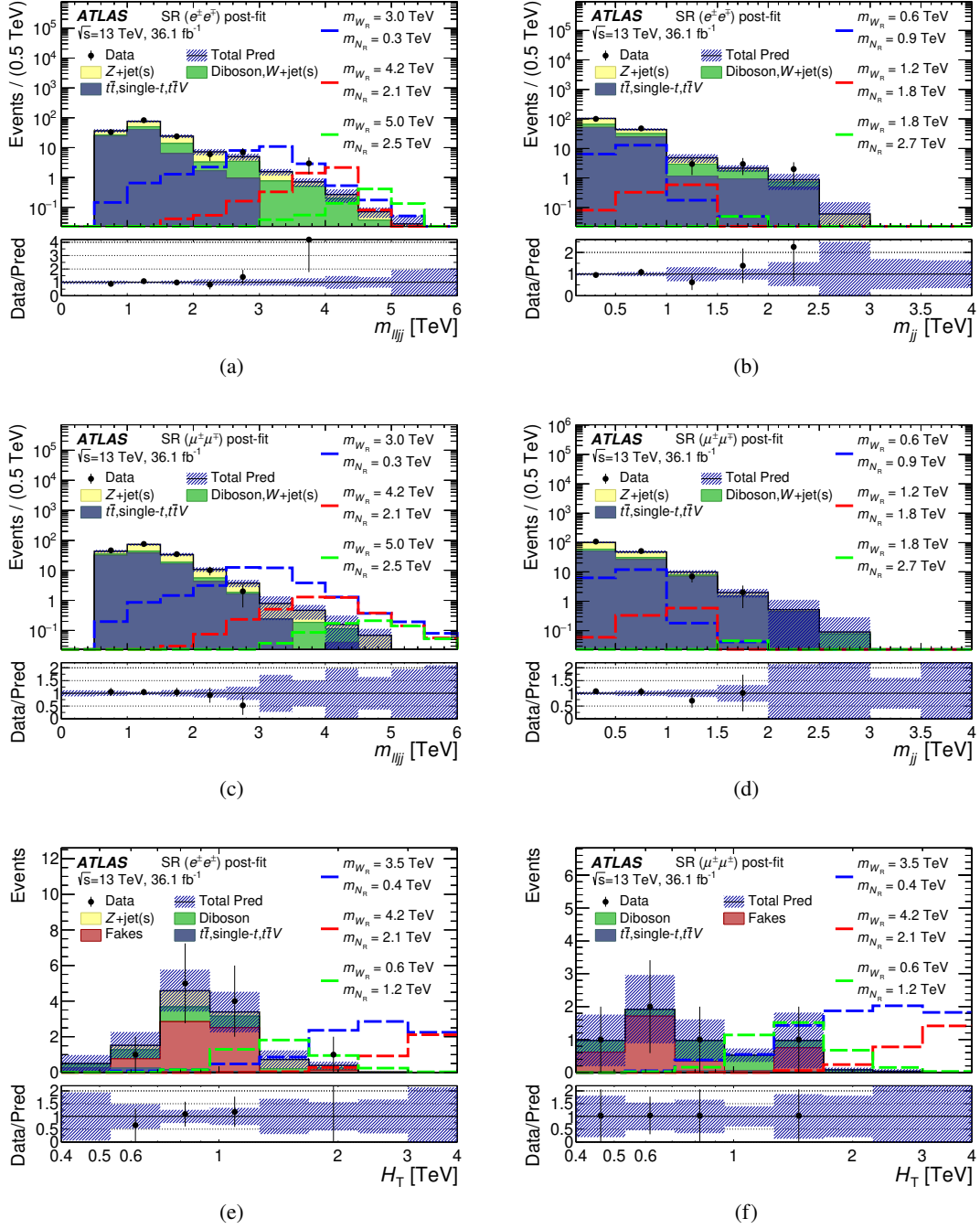


Figure 6: Distributions for data and background predictions for discriminant variables in various signal regions and channels: (a)  $m_{\ell\ell jj}$  in  $\text{SR}(e^+e^-)$ , (b)  $m_{jj}$  in  $\text{SR}(e^+e^-)$ , (c)  $m_{\ell\ell jj}$  in  $\text{SR}(\mu^+\mu^-)$ , (d)  $m_{jj}$  in  $\text{SR}(\mu^+\mu^-)$ , (e)  $H_T$  in  $\text{SR}(e^+e^-)$ , and (f)  $H_T$  in  $\text{SR}(\mu^+\mu^-)$ . The hatched bands include all post-fit systematic uncertainties. The correlation between sources of systematic uncertainties are taken into account. A few simulated signal distributions, normalised to the predicted cross-section, are overlaid on top of the background prediction in the plots. The last bin in each histogram contains the overflow.

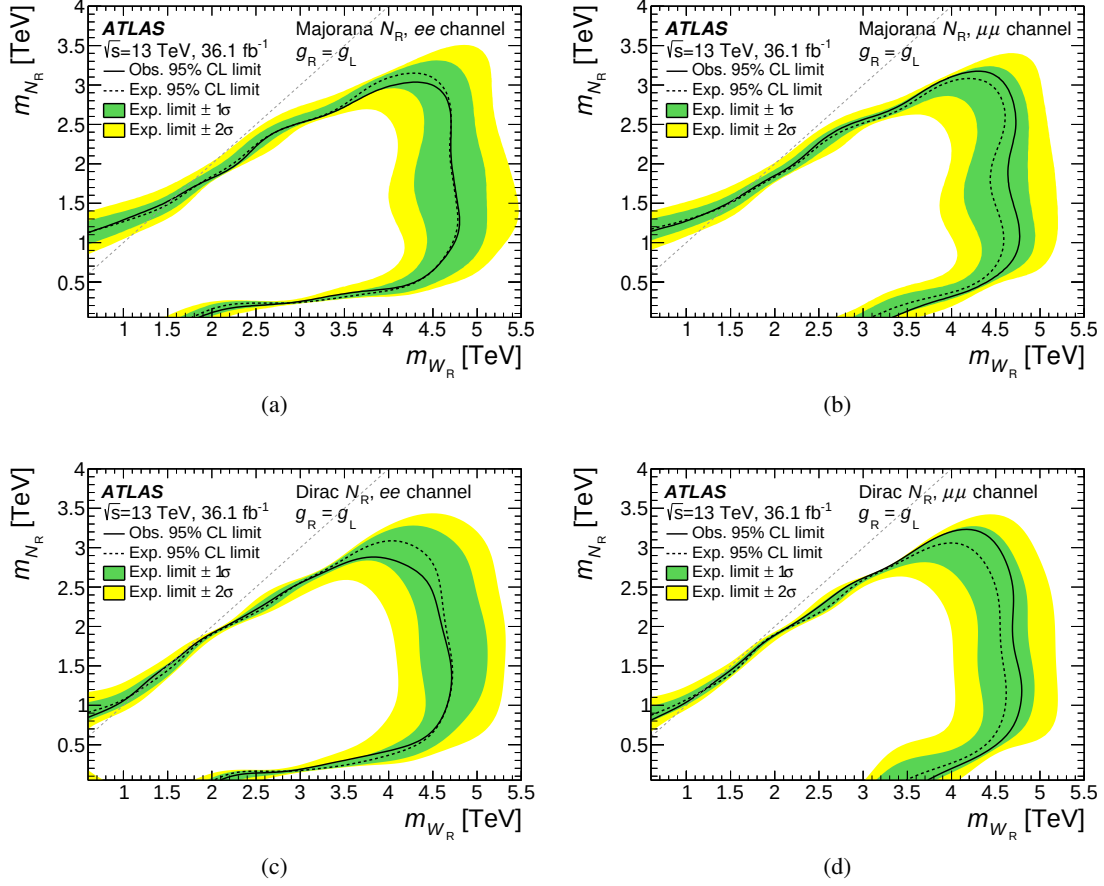


Figure 7: Observed (continuous line) and expected (dashed line) 95% CL exclusion contours in the  $m_{W_R}$  -  $m_{N_R}$  plane, along with the one- and two-standard-deviation uncertainty bands around the expected exclusion contour in the (a)  $ee$  and (b)  $\mu\mu$  channels for Majorana  $N_R$  neutrinos, (c)  $ee$  and (d)  $\mu\mu$  channels for Dirac  $N_R$  neutrinos. The dashed gray line indicates the region of the plane where  $m_{W_R} = m_{N_R}$ . The left- and right-handed weak gauge couplings are assumed to be the same, as indicated by  $g_L = g_R$ .

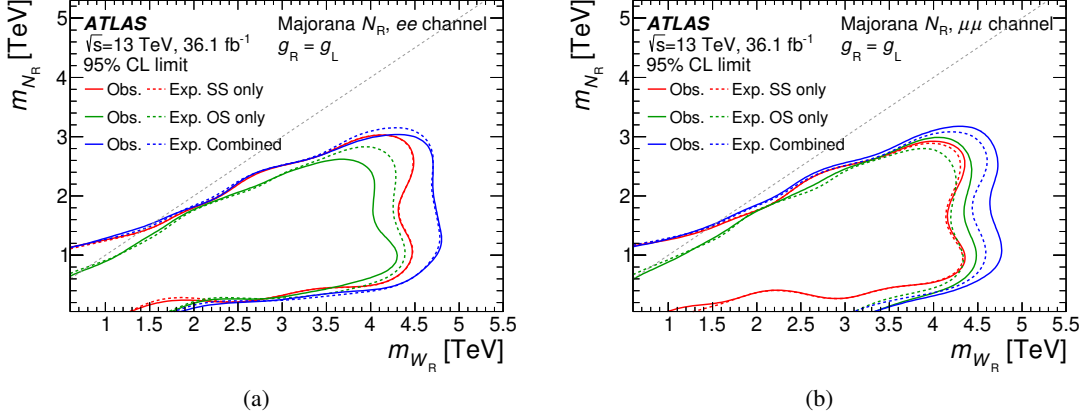


Figure 8: Observed (continuous line) and expected (dashed line) 95% CL exclusion contours in the  $m_{W_R}$ – $m_{N_R}$  plane for Majorana  $N_R$  neutrinos calculated in the OS and SS analyses, and their combination, in the (a)  $ee$  and (b)  $\mu\mu$  channels. The dashed gray line indicates the region of the plane where  $m_{W_R} = m_{N_R}$ . The left- and right-handed weak gauge couplings are assumed to be the same ( $g_L = g_R$ ).

## 9 Conclusion

A search for right-handed  $W$  bosons and heavy right-handed Majorana or Dirac neutrinos is presented using a final state containing a pair of charged leptons, electrons or muons, and two jets ( $\ell\ell jj$ ), with  $\ell = e, \mu$ , in a  $36.1 \text{ fb}^{-1}$  sample of  $pp$  collisions recorded by the ATLAS detector at  $\sqrt{s} = 13 \text{ TeV}$  at LHC. No evidence of  $W_R$  bosons or Majorana or Dirac heavy neutrinos,  $N_R$ , is found assuming the KS production and lower limits are set on the  $m_{W_R}$  and  $m_{N_R}$  masses, assuming equality of left- and right-handed weak gauge couplings ( $g_L = g_R$ ). The excluded region for the Majorana  $N_R$  neutrinos extends to about  $m_{W_R} = 4.7 \text{ TeV}$ , for  $m_{N_R} = 1.2 \text{ TeV}$  in the electron channel and for  $m_{N_R} = 1 \text{ TeV}$  in the muon channel. The  $m_{N_R}$  limits reach about 2.9 TeV in the electron channel and 3.1 TeV in the muon channel (for  $m_{W_R} = 4.3 \text{ TeV}$ ). For Dirac  $N_R$  neutrinos, limits reach about  $m_{W_R} = 4.7 \text{ TeV}$ , for  $m_{N_R} = 1 \text{ TeV}$  in the electron channel and for  $m_{N_R} = 1.2 \text{ TeV}$  in the muon channel. Limits on  $m_{N_R}$  up to about 2.8 TeV (for  $m_{W_R} = 3.7 \text{ TeV}$ ) in the electron channel and up to 3.2 TeV (for  $m_{W_R} = 4.1 \text{ TeV}$ ) in the muon channel are set. In the low-mass regime ( $m_{W_R} < 1.5 \text{ TeV}$ ), under the hierarchy hypothesis  $m_{N_R} > m_{W_R}$ ,  $N_R$  masses up to 1.5 TeV are excluded at 95% CL. These results improve upon previous ATLAS searches [19] and extend the exclusion limits on  $m_{W_R}$  by 1–2 TeV. Additionally, the scenario in which the  $N_R$  neutrino is heavier than the  $W_R$  boson is explored for the first time.

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## The ATLAS Collaboration

M. Aaboud<sup>34d</sup>, G. Aad<sup>99</sup>, B. Abbott<sup>124</sup>, O. Abidinov<sup>13,\*</sup>, B. Abeloos<sup>128</sup>, D.K. Abhayasinghe<sup>91</sup>, S.H. Abidi<sup>164</sup>, O.S. AbouZeid<sup>39</sup>, N.L. Abraham<sup>153</sup>, H. Abramowicz<sup>158</sup>, H. Abreu<sup>157</sup>, Y. Abulaiti<sup>6</sup>, B.S. Acharya<sup>64a,64b,n</sup>, S. Adachi<sup>160</sup>, L. Adamczyk<sup>81a</sup>, J. Adelman<sup>119</sup>, M. Adersberger<sup>112</sup>, A. Adiguzel<sup>12c</sup>, T. Adye<sup>141</sup>, A.A. Affolder<sup>143</sup>, Y. Afik<sup>157</sup>, C. Agheorghiesei<sup>27c</sup>, J.A. Aguilar-Saavedra<sup>136f,136a</sup>, F. Ahmadov<sup>77,ad</sup>, G. Aielli<sup>71a,71b</sup>, S. Akatsuka<sup>83</sup>, T.P.A. Åkesson<sup>94</sup>, E. Akilli<sup>52</sup>, A.V. Akimov<sup>108</sup>, G.L. Alberghi<sup>23b,23a</sup>, J. Albert<sup>173</sup>, P. Albicocco<sup>49</sup>, M.J. Alconada Verzini<sup>86</sup>, S. Alderweireldt<sup>117</sup>, M. Aleksa<sup>35</sup>, I.N. Aleksandrov<sup>77</sup>, C. Alexa<sup>27b</sup>, T. Alexopoulos<sup>10</sup>, M. Alhroob<sup>124</sup>, B. Ali<sup>138</sup>, G. Alimonti<sup>66a</sup>, J. Alison<sup>36</sup>, S.P. Alkire<sup>145</sup>, C. Allaire<sup>128</sup>, B.M.M. Allbrooke<sup>153</sup>, B.W. Allen<sup>127</sup>, P.P. Allport<sup>21</sup>, A. Aloisio<sup>67a,67b</sup>, A. Alonso<sup>39</sup>, F. Alonso<sup>86</sup>, C. Alpigiani<sup>145</sup>, A.A. Alshehri<sup>55</sup>, M.I. Alstaty<sup>99</sup>, B. Alvarez Gonzalez<sup>35</sup>, D. Álvarez Piqueras<sup>171</sup>, M.G. Alvigi<sup>67a,67b</sup>, B.T. Amadio<sup>18</sup>, Y. Amaral Coutinho<sup>78b</sup>, L. Ambroz<sup>131</sup>, C. Amelung<sup>26</sup>, D. Amidei<sup>103</sup>, S.P. Amor Dos Santos<sup>136a,136c</sup>, S. Amoroso<sup>44</sup>, C.S. Amrouche<sup>52</sup>, C. Anastopoulos<sup>146</sup>, L.S. Ancu<sup>52</sup>, N. Andari<sup>142</sup>, T. Andeen<sup>11</sup>, C.F. Anders<sup>59b</sup>, J.K. Anders<sup>20</sup>, K.J. Anderson<sup>36</sup>, A. Andreazza<sup>66a,66b</sup>, V. Andrei<sup>59a</sup>, C.R. Anelli<sup>173</sup>, S. Angelidakis<sup>37</sup>, I. Angelozzi<sup>118</sup>, A. Angerami<sup>38</sup>, A.V. Anisenkov<sup>120b,120a</sup>, A. Annovi<sup>69a</sup>, C. Antel<sup>59a</sup>, M.T. Anthony<sup>146</sup>, M. Antonelli<sup>49</sup>, D.J.A. Antrim<sup>168</sup>, F. Anulli<sup>70a</sup>, M. Aoki<sup>79</sup>, J.A. Aparisi Pozo<sup>171</sup>, L. Aperio Bella<sup>35</sup>, G. Arabidze<sup>104</sup>, J.P. Araque<sup>136a</sup>, V. Araujo Ferraz<sup>78b</sup>, R. Araujo Pereira<sup>78b</sup>, A.T.H. Arce<sup>47</sup>, R.E. Ardell<sup>91</sup>, F.A. Arduh<sup>86</sup>, J-F. Arguin<sup>107</sup>, S. Argyropoulos<sup>75</sup>, A.J. Armbruster<sup>35</sup>, L.J. Armitage<sup>90</sup>, A. Armstrong<sup>168</sup>, O. Arnaez<sup>164</sup>, H. Arnold<sup>118</sup>, M. Arratia<sup>31</sup>, O. Arslan<sup>24</sup>, A. Artamonov<sup>109,\*</sup>, G. Artoni<sup>131</sup>, S. Artz<sup>97</sup>, S. Asai<sup>160</sup>, N. Asbah<sup>57</sup>, A. Ashkenazi<sup>158</sup>, E.M. Asimakopoulou<sup>169</sup>, L. Asquith<sup>153</sup>, K. Assamagan<sup>29</sup>, R. Astalos<sup>28a</sup>, R.J. Atkin<sup>32a</sup>, M. Atkinson<sup>170</sup>, N.B. Atlay<sup>148</sup>, K. Augsten<sup>138</sup>, G. Avolio<sup>35</sup>, R. Avramidou<sup>58a</sup>, M.K. Ayoub<sup>15a</sup>, G. Azuelos<sup>107,aq</sup>, A.E. Baas<sup>59a</sup>, M.J. Baca<sup>21</sup>, H. Bachacou<sup>142</sup>, K. Bachas<sup>65a,65b</sup>, M. Backes<sup>131</sup>, P. Bagnaia<sup>70a,70b</sup>, M. Bahmani<sup>82</sup>, H. Bahrasemani<sup>149</sup>, A.J. Bailey<sup>171</sup>, J.T. Baines<sup>141</sup>, M. Bajic<sup>39</sup>, C. Bakalis<sup>10</sup>, O.K. Baker<sup>180</sup>, P.J. Bakker<sup>118</sup>, D. Bakshi Gupta<sup>93</sup>, E.M. Baldin<sup>120b,120a</sup>, P. Balek<sup>177</sup>, F. Balli<sup>142</sup>, W.K. Balunas<sup>133</sup>, J. Balz<sup>97</sup>, E. Banas<sup>82</sup>, A. Bandyopadhyay<sup>24</sup>, S. Banerjee<sup>178,j</sup>, A.A.E. Bannoura<sup>179</sup>, L. Barak<sup>158</sup>, W.M. Barbe<sup>37</sup>, E.L. Barberio<sup>102</sup>, D. Barberis<sup>53b,53a</sup>, M. Barbero<sup>99</sup>, T. Barillari<sup>113</sup>, M-S. Barisits<sup>35</sup>, J. Barkeloo<sup>127</sup>, T. Barklow<sup>150</sup>, N. Barlow<sup>31</sup>, R. Barnea<sup>157</sup>, S.L. Barnes<sup>58c</sup>, B.M. Barnett<sup>141</sup>, R.M. Barnett<sup>18</sup>, Z. Barnovska-Blenessy<sup>58a</sup>, A. Baroncelli<sup>72a</sup>, G. Barone<sup>26</sup>, A.J. Barr<sup>131</sup>, L. Barranco Navarro<sup>171</sup>, F. Barreiro<sup>96</sup>, J. Barreiro Guimarães da Costa<sup>15a</sup>, R. Bartoldus<sup>150</sup>, A.E. Barton<sup>87</sup>, P. Bartos<sup>28a</sup>, A. Basalae<sup>134</sup>, A. Bassalat<sup>128</sup>, R.L. Bates<sup>55</sup>, S.J. Batista<sup>164</sup>, S. Batlamous<sup>34e</sup>, J.R. Batley<sup>31</sup>, M. Battaglia<sup>143</sup>, M. Baue<sup>70a,70b</sup>, F. Bauer<sup>142</sup>, K.T. Bauer<sup>168</sup>, H.S. Bawa<sup>150,l</sup>, J.B. Beacham<sup>122</sup>, T. Beau<sup>132</sup>, P.H. Beauchemin<sup>167</sup>, P. Bechtel<sup>24</sup>, H.C. Beck<sup>51</sup>, H.P. Beck<sup>20,p</sup>, K. Becker<sup>50</sup>, M. Becker<sup>97</sup>, C. Becot<sup>44</sup>, A. Beddall<sup>12d</sup>, A.J. Beddall<sup>12a</sup>, V.A. Bednyakov<sup>77</sup>, M. Bedognetti<sup>118</sup>, C.P. Bee<sup>152</sup>, T.A. Beermann<sup>35</sup>, M. Begalli<sup>78b</sup>, M. Beger<sup>29</sup>, A. Behera<sup>152</sup>, J.K. Behr<sup>44</sup>, A.S. Bell<sup>92</sup>, G. Bella<sup>158</sup>, L. Bellagamba<sup>23b</sup>, A. Bellerive<sup>33</sup>, M. Bellomo<sup>157</sup>, P. Bellos<sup>9</sup>, K. Belotskiy<sup>110</sup>, N.L. Belyaev<sup>110</sup>, O. Benary<sup>158,\*</sup>, D. Benchechrout<sup>34a</sup>,

M. Bender<sup>112</sup>, N. Benekos<sup>10</sup>, Y. Benhammou<sup>158</sup>, E. Benhar Noccioli<sup>180</sup>, J. Benitez<sup>75</sup>,  
D.P. Benjamin<sup>47</sup>, M. Benoit<sup>52</sup>, J.R. Bensinger<sup>26</sup>, S. Bentvelsen<sup>118</sup>, L. Beresford<sup>131</sup>, M. Beretta<sup>49</sup>,  
D. Berge<sup>44</sup>, E. Bergeaas Kuutmann<sup>169</sup>, N. Berger<sup>5</sup>, L.J. Bergsten<sup>26</sup>, J. Beringer<sup>18</sup>, S. Berlendis<sup>7</sup>,  
N.R. Bernard<sup>100</sup>, G. Bernardi<sup>132</sup>, C. Bernius<sup>150</sup>, F.U. Bernlochner<sup>24</sup>, T. Berry<sup>91</sup>, P. Berta<sup>97</sup>,  
C. Bertella<sup>15a</sup>, G. Bertoli<sup>43a,43b</sup>, I.A. Bertram<sup>87</sup>, G.J. Besjes<sup>39</sup>, O. Bessidskaia Bylund<sup>179</sup>,  
M. Bessner<sup>44</sup>, N. Besson<sup>142</sup>, A. Bethani<sup>98</sup>, S. Bethke<sup>113</sup>, A. Betti<sup>24</sup>, A.J. Bevan<sup>90</sup>, J. Beyer<sup>113</sup>,  
R.M.B. Bianchi<sup>135</sup>, O. Biebel<sup>112</sup>, D. Biedermann<sup>19</sup>, R. Bielski<sup>35</sup>, K. Bierwagen<sup>97</sup>,  
N.V. Biesuz<sup>69a,69b</sup>, M. Biglietti<sup>72a</sup>, T.R.V. Billoud<sup>107</sup>, M. Bindi<sup>51</sup>, A. Bingul<sup>12d</sup>, C. Bini<sup>70a,70b</sup>,  
S. Biondi<sup>23b,23a</sup>, M. Birman<sup>177</sup>, T. Bisanz<sup>51</sup>, J.P. Biswal<sup>158</sup>, C. Bittrich<sup>46</sup>, D.M. Bjergaard<sup>47</sup>,  
J.E. Black<sup>150</sup>, K.M. Black<sup>25</sup>, T. Blazek<sup>28a</sup>, I. Bloch<sup>44</sup>, C. Blocker<sup>26</sup>, A. Blue<sup>55</sup>, U. Blumenschein<sup>90</sup>,  
Dr. Blunier<sup>144a</sup>, G.J. Bobbink<sup>118</sup>, V.S. Bobrovnikov<sup>120b,120a</sup>, S.S. Bocchetta<sup>94</sup>, A. Bocci<sup>47</sup>,  
D. Boerner<sup>179</sup>, D. Bogavac<sup>112</sup>, A.G. Bogdanchikov<sup>120b,120a</sup>, C. Bohm<sup>43a</sup>, V. Boisvert<sup>91</sup>,  
P. Bokan<sup>169,w</sup>, T. Bold<sup>81a</sup>, A.S. Boldyrev<sup>111</sup>, A.E. Bolz<sup>59b</sup>, M. Bomben<sup>132</sup>, M. Bona<sup>90</sup>,  
J.S. Bonilla<sup>127</sup>, M. Boonekamp<sup>142</sup>, A. Borisov<sup>140</sup>, G. Borissov<sup>87</sup>, J. Bortfeldt<sup>35</sup>, D. Bortoletto<sup>131</sup>,  
V. Bortolotto<sup>71a,71b</sup>, D. Boscherini<sup>23b</sup>, M. Bosman<sup>14</sup>, J.D. Bossio Sola<sup>30</sup>, K. Bouaouda<sup>34a</sup>,  
J. Boudreau<sup>135</sup>, E.V. Bouhova-Thacker<sup>87</sup>, D. Boumediene<sup>37</sup>, C. Bourdarios<sup>128</sup>, S.K. Boutle<sup>55</sup>,  
A. Boveia<sup>122</sup>, J. Boyd<sup>35</sup>, D. Boye<sup>32b</sup>, I.R. Boyko<sup>77</sup>, A.J. Bozson<sup>91</sup>, J. Bracinik<sup>21</sup>, N. Brahimi<sup>99</sup>,  
A. Brandt<sup>8</sup>, G. Brandt<sup>179</sup>, O. Brandt<sup>59a</sup>, F. Braren<sup>44</sup>, U. Bratzler<sup>161</sup>, B. Brau<sup>100</sup>, J.E. Brau<sup>127</sup>,  
W.D. Breaden Madden<sup>55</sup>, K. Brendlinger<sup>44</sup>, A.J. Brennan<sup>102</sup>, L. Brenner<sup>44</sup>, R. Brenner<sup>169</sup>,  
S. Bressler<sup>177</sup>, B. Brickwedde<sup>97</sup>, D.L. Briglin<sup>21</sup>, D. Britton<sup>55</sup>, D. Britzger<sup>59b</sup>, I. Brock<sup>24</sup>,  
R. Brock<sup>104</sup>, G. Brooijmans<sup>38</sup>, T. Brooks<sup>91</sup>, W.K. Brooks<sup>144b</sup>, E. Brost<sup>119</sup>, J.H. Broughton<sup>21</sup>,  
P.A. Bruckman de Renstrom<sup>82</sup>, D. Bruncko<sup>28b</sup>, A. Bruni<sup>23b</sup>, G. Bruni<sup>23b</sup>, L.S. Bruni<sup>118</sup>,  
S. Bruno<sup>71a,71b</sup>, B.H. Brunt<sup>31</sup>, M. Bruschi<sup>23b</sup>, N. Bruscino<sup>135</sup>, P. Bryant<sup>36</sup>, L. Bryngemark<sup>44</sup>,  
T. Buanes<sup>17</sup>, Q. Buat<sup>35</sup>, P. Buchholz<sup>148</sup>, A.G. Buckley<sup>55</sup>, I.A. Budagov<sup>77</sup>, F. Buehrer<sup>50</sup>,  
M.K. Bugge<sup>130</sup>, O. Bulekov<sup>110</sup>, D. Bullock<sup>8</sup>, T.J. Burch<sup>119</sup>, S. Burdin<sup>88</sup>, C.D. Burgard<sup>118</sup>,  
A.M. Burger<sup>5</sup>, B. Burghgrave<sup>119</sup>, K. Burka<sup>82</sup>, S. Burke<sup>141</sup>, I. Burmeister<sup>45</sup>, J.T.P. Burr<sup>131</sup>,  
D. Büscher<sup>50</sup>, V. Büscher<sup>97</sup>, E. Buschmann<sup>51</sup>, P. Bussey<sup>55</sup>, J.M. Butler<sup>25</sup>, C.M. Buttar<sup>55</sup>,  
J.M. Butterworth<sup>92</sup>, P. Butti<sup>35</sup>, W. Buttinger<sup>35</sup>, A. Buzatu<sup>155</sup>, A.R. Buzykaev<sup>120b,120a</sup>,  
G. Cabras<sup>23b,23a</sup>, S. Cabrera Urbán<sup>171</sup>, D. Caforio<sup>138</sup>, H. Cai<sup>170</sup>, V.M.M. Cairo<sup>2</sup>, O. Cakir<sup>4a</sup>,  
N. Calace<sup>52</sup>, P. Calafiura<sup>18</sup>, A. Calandri<sup>99</sup>, G. Calderini<sup>132</sup>, P. Calfayan<sup>63</sup>, G. Callea<sup>40b,40a</sup>,  
L.P. Caloba<sup>78b</sup>, S. Calvente Lopez<sup>96</sup>, D. Calvet<sup>37</sup>, S. Calvet<sup>37</sup>, T.P. Calvet<sup>152</sup>, M. Calvetti<sup>69a,69b</sup>,  
R. Camacho Toro<sup>132</sup>, S. Camarda<sup>35</sup>, P. Camarri<sup>71a,71b</sup>, D. Cameron<sup>130</sup>, R. Caminal Armadans<sup>100</sup>,  
C. Camincher<sup>35</sup>, S. Campana<sup>35</sup>, M. Campanelli<sup>92</sup>, A. Camplani<sup>39</sup>, A. Campoverde<sup>148</sup>,  
V. Canale<sup>67a,67b</sup>, M. Cano Bret<sup>58c</sup>, J. Cantero<sup>125</sup>, T. Cao<sup>158</sup>, Y. Cao<sup>170</sup>,  
M.D.M. Capeans Garrido<sup>35</sup>, I. Caprini<sup>27b</sup>, M. Caprini<sup>27b</sup>, M. Capua<sup>40b,40a</sup>, R.M. Carbone<sup>38</sup>,  
R. Cardarelli<sup>71a</sup>, F.C. Cardillo<sup>146</sup>, I. Carli<sup>139</sup>, T. Carli<sup>35</sup>, G. Carlino<sup>67a</sup>, B.T. Carlson<sup>135</sup>,  
L. Carminati<sup>66a,66b</sup>, R.M.D. Carney<sup>43a,43b</sup>, S. Caron<sup>117</sup>, E. Carquin<sup>144b</sup>, S. Carrá<sup>66a,66b</sup>,  
G.D. Carrillo-Montoya<sup>35</sup>, D. Casadei<sup>32b</sup>, M.P. Casado<sup>14,f</sup>, A.F. Casha<sup>164</sup>, D.W. Casper<sup>168</sup>,  
R. Castelijns<sup>118</sup>, F.L. Castillo<sup>171</sup>, V. Castillo Gimenez<sup>171</sup>, N.F. Castro<sup>136a,136e</sup>, A. Catinaccio<sup>35</sup>,  
J.R. Catmore<sup>130</sup>, A. Cattai<sup>35</sup>, J. Caudron<sup>24</sup>, V. Cavaliere<sup>29</sup>, E. Cavallaro<sup>14</sup>, D. Cavalli<sup>66a</sup>,  
M. Cavalli-Sforza<sup>14</sup>, V. Cavasinni<sup>69a,69b</sup>, E. Celebi<sup>12b</sup>, F. Ceradini<sup>72a,72b</sup>, L. Cerda Alberich<sup>171</sup>,



A.S. Cerqueira<sup>78a</sup>, A. Cerri<sup>153</sup>, L. Cerrito<sup>71a,71b</sup>, F. Cerutti<sup>18</sup>, A. Cervelli<sup>23b,23a</sup>, S.A. Cetin<sup>12b</sup>,  
 A. Chafaq<sup>34a</sup>, D. Chakraborty<sup>119</sup>, S.K. Chan<sup>57</sup>, W.S. Chan<sup>118</sup>, Y.L. Chan<sup>61a</sup>, J.D. Chapman<sup>31</sup>,  
 B. Chargeishvili<sup>156b</sup>, D.G. Charlton<sup>21</sup>, C.C. Chau<sup>33</sup>, C.A. Chavez Barajas<sup>153</sup>, S. Che<sup>122</sup>,  
 A. Chegwiddden<sup>104</sup>, S. Chekanov<sup>6</sup>, S.V. Chekulaev<sup>165a</sup>, G.A. Chelkov<sup>77,ap</sup>, M.A. Chelstowska<sup>35</sup>,  
 C. Chen<sup>58a</sup>, C.H. Chen<sup>76</sup>, H. Chen<sup>29</sup>, J. Chen<sup>58a</sup>, J. Chen<sup>38</sup>, S. Chen<sup>133</sup>, S.J. Chen<sup>15b</sup>,  
 X. Chen<sup>15c,ao</sup>, Y. Chen<sup>80</sup>, Y-H. Chen<sup>44</sup>, H.C. Cheng<sup>103</sup>, H.J. Cheng<sup>15d</sup>, A. Cheplakov<sup>77</sup>,  
 E. Cheremushkina<sup>140</sup>, R. Cherkaoui El Moursli<sup>34e</sup>, E. Cheu<sup>7</sup>, K. Cheung<sup>62</sup>, L. Chevalier<sup>142</sup>,  
 V. Chiarella<sup>49</sup>, G. Chiarelli<sup>69a</sup>, G. Chiodini<sup>65a</sup>, A.S. Chisholm<sup>35</sup>, A. Chitan<sup>27b</sup>, I. Chiu<sup>160</sup>,  
 Y.H. Chiu<sup>173</sup>, M.V. Chizhov<sup>77</sup>, K. Choi<sup>63</sup>, A.R. Chomont<sup>128</sup>, S. Chouridou<sup>159</sup>, Y.S. Chow<sup>118</sup>,  
 V. Christodoulou<sup>92</sup>, M.C. Chu<sup>61a</sup>, J. Chudoba<sup>137</sup>, A.J. Chuinard<sup>101</sup>, J.J. Chwastowski<sup>82</sup>,  
 L. Chytka<sup>126</sup>, D. Cinca<sup>45</sup>, V. Cindro<sup>89</sup>, I.A. Cioară<sup>24</sup>, A. Cicio<sup>18</sup>, F. Ciotto<sup>67a,67b</sup>, Z.H. Citron<sup>177</sup>,  
 M. Citterio<sup>66a</sup>, A. Clark<sup>52</sup>, M.R. Clark<sup>38</sup>, P.J. Clark<sup>48</sup>, C. Clement<sup>43a,43b</sup>, Y. Coadou<sup>99</sup>,  
 M. Cobal<sup>164a,64c</sup>, A. Coccaro<sup>53b,53a</sup>, J. Cochran<sup>76</sup>, H. Cohen<sup>158</sup>, A.E.C. Coimbra<sup>177</sup>,  
 L. Colasurdo<sup>117</sup>, B. Cole<sup>38</sup>, A.P. Colijn<sup>118</sup>, J. Collot<sup>56</sup>, P. Conde Muiño<sup>136a,136b</sup>, E. Coniavitis<sup>50</sup>,  
 S.H. Connell<sup>32b</sup>, I.A. Connelly<sup>98</sup>, S. Constantinescu<sup>27b</sup>, F. Conventi<sup>67a,ar</sup>, A.M. Cooper-Sarkar<sup>131</sup>,  
 F. Cormier<sup>172</sup>, K.J.R. Cormier<sup>164</sup>, M. Corradi<sup>70a,70b</sup>, E.E. Corrigan<sup>94</sup>, F. Corriveau<sup>101,ab</sup>,  
 A. Cortes-Gonzalez<sup>35</sup>, M.J. Costa<sup>171</sup>, D. Costanzo<sup>146</sup>, G. Cottin<sup>31</sup>, G. Cowan<sup>91</sup>, B.E. Cox<sup>98</sup>,  
 J. Crane<sup>98</sup>, K. Cranmer<sup>121</sup>, S.J. Crawley<sup>55</sup>, R.A. Creager<sup>133</sup>, G. Cree<sup>33</sup>, S. Crépe-Renaudin<sup>56</sup>,  
 F. Crescioli<sup>132</sup>, M. Cristinziani<sup>24</sup>, V. Croft<sup>121</sup>, G. Crosetti<sup>40b,40a</sup>, A. Cueto<sup>96</sup>,  
 T. Cuhadar Donszelmann<sup>146</sup>, A.R. Cukierman<sup>150</sup>, J. Cúth<sup>97</sup>, S. Czekerda<sup>82</sup>, P. Czodrowski<sup>35</sup>,  
 M.J. Da Cunha Sargedas De Sousa<sup>58b,136b</sup>, C. Da Via<sup>98</sup>, W. Dabrowski<sup>81a</sup>, T. Dado<sup>28a,w</sup>,  
 S. Dahbi<sup>34e</sup>, T. Dai<sup>103</sup>, F. Dallaire<sup>107</sup>, C. Dallapiccola<sup>100</sup>, M. Dam<sup>39</sup>, G. D'amen<sup>23b,23a</sup>, J. Damp<sup>97</sup>,  
 J.R. Dandoy<sup>133</sup>, M.F. Daneri<sup>30</sup>, N.P. Dang<sup>178j</sup>, N.D. Dann<sup>98</sup>, M. Danninger<sup>172</sup>, V. Dao<sup>35</sup>,  
 G. Darbo<sup>53b</sup>, S. Darmora<sup>8</sup>, O. Dartsis<sup>5</sup>, A. Dattagupta<sup>127</sup>, T. Daubney<sup>44</sup>, S. D'Auria<sup>55</sup>, W. Davey<sup>24</sup>,  
 C. David<sup>44</sup>, T. Davidek<sup>139</sup>, D.R. Davis<sup>47</sup>, E. Dawe<sup>102</sup>, I. Dawson<sup>146</sup>, K. De<sup>8</sup>, R. De Asmundis<sup>67a</sup>,  
 A. De Benedetti<sup>124</sup>, M. De Beurs<sup>118</sup>, S. De Castro<sup>23b,23a</sup>, S. De Cecco<sup>70a,70b</sup>, N. De Groot<sup>117</sup>,  
 P. de Jong<sup>118</sup>, H. De la Torre<sup>104</sup>, F. De Lorenzi<sup>76</sup>, A. De Maria<sup>51,r</sup>, D. De Pedis<sup>70a</sup>, A. De Salvo<sup>70a</sup>,  
 U. De Sanctis<sup>71a,71b</sup>, M. De Santis<sup>71a,71b</sup>, A. De Santo<sup>153</sup>, K. De Vasconcelos Corga<sup>99</sup>,  
 J.B. De Vivie De Regie<sup>128</sup>, C. Debenedetti<sup>143</sup>, D.V. Dedovich<sup>77</sup>, N. Dehghanian<sup>3</sup>,  
 M. Del Gaudio<sup>40b,40a</sup>, J. Del Peso<sup>96</sup>, Y. Delabat Diaz<sup>44</sup>, D. Delgove<sup>128</sup>, F. Deliot<sup>142</sup>,  
 C.M. Delitzsch<sup>7</sup>, M. Della Pietra<sup>67a,67b</sup>, D. Della Volpe<sup>52</sup>, A. Dell'Acqua<sup>35</sup>, L. Dell'Asta<sup>25</sup>,  
 M. Delmastro<sup>5</sup>, C. Delporte<sup>128</sup>, P.A. Delsart<sup>56</sup>, D.A. DeMarco<sup>164</sup>, S. Demers<sup>180</sup>, M. Demichev<sup>77</sup>,  
 S.P. Denisov<sup>140</sup>, D. Denysiuk<sup>118</sup>, L. D'Eramo<sup>132</sup>, D. Derendarz<sup>82</sup>, J.E. Derkaoui<sup>34d</sup>, F. Derue<sup>132</sup>,  
 P. Dervan<sup>88</sup>, K. Desch<sup>24</sup>, C. Deterre<sup>44</sup>, K. Dette<sup>164</sup>, M.R. Devesa<sup>30</sup>, P.O. Deviveiros<sup>35</sup>,  
 A. Dewhurst<sup>141</sup>, S. Dhaliwal<sup>26</sup>, F.A. Di Bello<sup>52</sup>, A. Di Ciaccio<sup>71a,71b</sup>, L. Di Ciaccio<sup>5</sup>,  
 W.K. Di Clemente<sup>133</sup>, C. Di Donato<sup>67a,67b</sup>, A. Di Girolamo<sup>35</sup>, B. Di Micco<sup>72a,72b</sup>, R. Di Nardo<sup>100</sup>,  
 K.F. Di Petrillo<sup>57</sup>, R. Di Sipio<sup>164</sup>, D. Di Valentino<sup>33</sup>, C. Diaconu<sup>99</sup>, M. Diamond<sup>164</sup>, F.A. Dias<sup>39</sup>,  
 T. Dias Do Vale<sup>136a</sup>, M.A. Diaz<sup>144a</sup>, J. Dickinson<sup>18</sup>, E.B. Diehl<sup>103</sup>, J. Dietrich<sup>19</sup>, S. Díez Cornell<sup>44</sup>,  
 A. Dimitrievska<sup>18</sup>, J. Dingfelder<sup>24</sup>, F. Dittus<sup>35</sup>, F. Djama<sup>99</sup>, T. Djobava<sup>156b</sup>, J.I. Djuvsland<sup>59a</sup>,  
 M.A.B. Do Vale<sup>78c</sup>, M. Dobre<sup>27b</sup>, D. Dodsworth<sup>26</sup>, C. Doglioni<sup>94</sup>, J. Dolejsi<sup>139</sup>, Z. Dolezal<sup>139</sup>,  
 M. Donadelli<sup>78d</sup>, J. Donini<sup>37</sup>, A. D'onofrio<sup>90</sup>, M. D'Onofrio<sup>88</sup>, J. Dopke<sup>141</sup>, A. Doria<sup>67a</sup>,

M.T. Dova<sup>86</sup>, A.T. Doyle<sup>55</sup>, E. Drechsler<sup>51</sup>, E. Dreyer<sup>149</sup>, T. Dreyer<sup>51</sup>, Y. Du<sup>58b</sup>,  
J. Duarte-Campderros<sup>158</sup>, F. Dubinin<sup>108</sup>, M. Dubovsky<sup>28a</sup>, A. Dubreuil<sup>52</sup>, E. Duchovni<sup>177</sup>,  
G. Duckeck<sup>112</sup>, A. Ducourthial<sup>132</sup>, O.A. Ducu<sup>107,v</sup>, D. Duda<sup>113</sup>, A. Dudarev<sup>35</sup>, A.C. Dudder<sup>97</sup>,  
E.M. Duffield<sup>18</sup>, L. Duflot<sup>128</sup>, M. Dührssen<sup>35</sup>, C. Dülzen<sup>179</sup>, M. Dumancic<sup>177</sup>, A.E. Dumitriu<sup>27b,d</sup>,  
A.K. Duncan<sup>55</sup>, M. Dunford<sup>59a</sup>, A. Duperrin<sup>99</sup>, H. Duran Yildiz<sup>4a</sup>, M. Düren<sup>54</sup>,  
A. Durglishvili<sup>156b</sup>, D. Duschinger<sup>46</sup>, B. Dutta<sup>44</sup>, D. Duvnjak<sup>1</sup>, M. Dyndal<sup>44</sup>, S. Dysch<sup>98</sup>,  
B.S. Dziedzic<sup>82</sup>, C. Eckardt<sup>44</sup>, K.M. Ecker<sup>113</sup>, R.C. Edgar<sup>103</sup>, T. Eifert<sup>35</sup>, G. Eigen<sup>17</sup>,  
K. Einsweiler<sup>18</sup>, T. Ekelof<sup>169</sup>, M. El Kacimi<sup>34c</sup>, R. El Kosseifi<sup>99</sup>, V. Ellajosyula<sup>99</sup>, M. Ellert<sup>169</sup>,  
F. Ellinghaus<sup>179</sup>, A.A. Elliot<sup>90</sup>, N. Ellis<sup>35</sup>, J. Elmsheuser<sup>29</sup>, M. Elsing<sup>35</sup>, D. Emeliyanov<sup>141</sup>,  
Y. Enari<sup>160</sup>, J.S. Ennis<sup>175</sup>, M.B. Epland<sup>47</sup>, J. Erdmann<sup>45</sup>, A. Ereditato<sup>20</sup>, S. Errede<sup>170</sup>,  
M. Escalier<sup>128</sup>, C. Escobar<sup>171</sup>, O. Estrada Pastor<sup>171</sup>, A.I. Etievre<sup>142</sup>, E. Etzion<sup>158</sup>, H. Evans<sup>63</sup>,  
A. Ezhilov<sup>134</sup>, M. Ezzi<sup>34e</sup>, F. Fabbri<sup>55</sup>, L. Fabbri<sup>23b,23a</sup>, V. Fabiani<sup>117</sup>, G. Facini<sup>92</sup>,  
R.M. Faisca Rodrigues Pereira<sup>136a</sup>, R.M. Fakhrutdinov<sup>140</sup>, S. Falciano<sup>70a</sup>, P.J. Falke<sup>5</sup>, S. Falke<sup>5</sup>,  
J. Faltova<sup>139</sup>, Y. Fang<sup>15a</sup>, M. Fanti<sup>66a,66b</sup>, A. Farbin<sup>8</sup>, A. Farilla<sup>72a</sup>, E.M. Farina<sup>68a,68b</sup>,  
T. Farooque<sup>104</sup>, S. Farrell<sup>18</sup>, S.M. Farrington<sup>175</sup>, P. Farthouat<sup>35</sup>, F. Fassi<sup>34e</sup>, P. Fassnacht<sup>35</sup>,  
D. Fassouliotis<sup>9</sup>, M. Faucci Giannelli<sup>48</sup>, A. Favareto<sup>53b,53a</sup>, W.J. Fawcett<sup>31</sup>, L. Fayard<sup>128</sup>,  
O.L. Fedin<sup>134,o</sup>, W. Fedorko<sup>172</sup>, M. Feickert<sup>41</sup>, S. Feigl<sup>130</sup>, L. Feligioni<sup>99</sup>, C. Feng<sup>58b</sup>, E.J. Feng<sup>35</sup>,  
M. Feng<sup>47</sup>, M.J. Fenton<sup>55</sup>, A.B. Fenyuk<sup>140</sup>, L. Feremenga<sup>8</sup>, J. Ferrando<sup>44</sup>, A. Ferrari<sup>169</sup>,  
P. Ferrari<sup>118</sup>, R. Ferrari<sup>68a</sup>, D.E. Ferreira de Lima<sup>59b</sup>, A. Ferrer<sup>171</sup>, D. Ferrere<sup>52</sup>, C. Ferretti<sup>103</sup>,  
F. Fiedler<sup>97</sup>, A. Filipčič<sup>89</sup>, F. Filthaut<sup>117</sup>, K.D. Finelli<sup>25</sup>, M.C.N. Fiolhais<sup>136a,136c,a</sup>, L. Fiorini<sup>171</sup>,  
C. Fischer<sup>14</sup>, W.C. Fisher<sup>104</sup>, N. Flaschel<sup>44</sup>, I. Fleck<sup>148</sup>, P. Fleischmann<sup>103</sup>, R.R.M. Fletcher<sup>133</sup>,  
T. Flick<sup>179</sup>, B.M. Flierl<sup>112</sup>, L.M. Flores<sup>133</sup>, L.R. Flores Castillo<sup>61a</sup>, F.M. Follega<sup>73a,73b</sup>,  
N. Fomin<sup>17</sup>, G.T. Forcolin<sup>98</sup>, A. Formica<sup>142</sup>, F.A. Förster<sup>14</sup>, A.C. Forti<sup>98</sup>, A.G. Foster<sup>21</sup>,  
D. Fournier<sup>128</sup>, H. Fox<sup>87</sup>, S. Fracchia<sup>146</sup>, P. Francavilla<sup>69a,69b</sup>, M. Franchini<sup>23b,23a</sup>, S. Franchino<sup>59a</sup>,  
D. Francis<sup>35</sup>, L. Franconi<sup>130</sup>, M. Franklin<sup>57</sup>, M. Frate<sup>168</sup>, M. Fraternali<sup>68a,68b</sup>, D. Freeborn<sup>92</sup>,  
S.M. Fressard-Batraneanu<sup>35</sup>, B. Freund<sup>107</sup>, W.S. Freund<sup>78b</sup>, D.C. Frizzell<sup>124</sup>, D. Froidevaux<sup>35</sup>,  
J.A. Frost<sup>131</sup>, C. Fukunaga<sup>161</sup>, E. Fullana Torregrosa<sup>171</sup>, T. Fusayasu<sup>114</sup>, J. Fuster<sup>171</sup>,  
O. Gabizon<sup>157</sup>, A. Gabrielli<sup>23b,23a</sup>, A. Gabrielli<sup>18</sup>, G.P. Gach<sup>81a</sup>, S. Gadatsch<sup>52</sup>, P. Gadow<sup>113</sup>,  
G. Gagliardi<sup>53b,53a</sup>, L.G. Gagnon<sup>107</sup>, C. Galea<sup>27b</sup>, B. Galhardo<sup>136a,136c</sup>, E.J. Gallas<sup>131</sup>,  
B.J. Gallop<sup>141</sup>, P. Gallus<sup>138</sup>, G. Galster<sup>39</sup>, R. Gamboa Goni<sup>90</sup>, K.K. Gan<sup>122</sup>, S. Ganguly<sup>177</sup>,  
J. Gao<sup>58a</sup>, Y. Gao<sup>88</sup>, Y.S. Gao<sup>150,1</sup>, C. García<sup>171</sup>, J.E. García Navarro<sup>171</sup>, J.A. García Pascual<sup>15a</sup>,  
M. Garcia-Sciveres<sup>18</sup>, R.W. Gardner<sup>36</sup>, N. Garelli<sup>150</sup>, V. Garonne<sup>130</sup>, K. Gasnikova<sup>44</sup>,  
A. Gaudiello<sup>53b,53a</sup>, G. Gaudio<sup>68a</sup>, I.L. Gavrilenko<sup>108</sup>, A. Gavrilyuk<sup>109</sup>, C. Gay<sup>172</sup>, G. Gaycken<sup>24</sup>,  
E.N. Gazis<sup>10</sup>, C.N.P. Gee<sup>141</sup>, J. Geisen<sup>51</sup>, M. Geisen<sup>97</sup>, M.P. Geisler<sup>59a</sup>, K. Gellerstedt<sup>43a,43b</sup>,  
C. Gemme<sup>53b</sup>, M.H. Genest<sup>56</sup>, C. Geng<sup>103</sup>, S. Gentile<sup>70a,70b</sup>, S. George<sup>91</sup>, D. Gerbaudo<sup>14</sup>,  
G. Gessner<sup>45</sup>, S. Ghasemi<sup>148</sup>, M. Ghasemi Bostanabad<sup>173</sup>, M. Ghneimat<sup>24</sup>, B. Giacobbe<sup>23b</sup>,  
S. Giagu<sup>70a,70b</sup>, N. Giangiacomi<sup>23b,23a</sup>, P. Giannetti<sup>69a</sup>, A. Giannini<sup>67a,67b</sup>, S.M. Gibson<sup>91</sup>,  
M. Gignac<sup>143</sup>, D. Gillberg<sup>33</sup>, G. Gilles<sup>179</sup>, D.M. Gingrich<sup>3,aq</sup>, M.P. Giordani<sup>64a,64c</sup>, F.M. Giorgi<sup>23b</sup>,  
P.F. Giraud<sup>142</sup>, P. Giromini<sup>57</sup>, G. Giugliarelli<sup>64a,64c</sup>, D. Giugni<sup>66a</sup>, F. Giuli<sup>131</sup>, M. Giulini<sup>59b</sup>,  
S. Gkaitatzis<sup>159</sup>, I. Gkialas<sup>9,i</sup>, E.L. Gkoukousis<sup>14</sup>, P. Gkoutoumis<sup>10</sup>, L.K. Gladilin<sup>111</sup>,  
C. Glasman<sup>96</sup>, J. Glatzer<sup>14</sup>, P.C.F. Glaysheer<sup>44</sup>, A. Glazov<sup>44</sup>, M. Goblirsch-Kolb<sup>26</sup>, J. Godlewski<sup>82</sup>,

S. Goldfarb<sup>102</sup>, T. Golling<sup>52</sup>, D. Golubkov<sup>140</sup>, A. Gomes<sup>136a,136b,136d</sup>, R. Goncalves Gama<sup>78a</sup>,  
 R. Gonçalo<sup>136a</sup>, G. Gonella<sup>50</sup>, L. Gonella<sup>21</sup>, A. Gongadze<sup>77</sup>, F. Gonnella<sup>21</sup>, J.L. Gonski<sup>57</sup>,  
 S. González de la Hoz<sup>171</sup>, S. Gonzalez-Sevilla<sup>52</sup>, L. Goossens<sup>35</sup>, P.A. Gorbounov<sup>109</sup>,  
 H.A. Gordon<sup>29</sup>, B. Gorini<sup>35</sup>, E. Gorini<sup>65a,65b</sup>, A. Gorišek<sup>89</sup>, A.T. Goshaw<sup>47</sup>, C. Gössling<sup>45</sup>,  
 M.I. Gostkin<sup>77</sup>, C.A. Gottardo<sup>24</sup>, C.R. Goudet<sup>128</sup>, D. Goujdami<sup>34c</sup>, A.G. Goussiou<sup>145</sup>,  
 N. Govender<sup>32b,b</sup>, C. Goy<sup>5</sup>, E. Gozani<sup>157</sup>, I. Grabowska-Bold<sup>81a</sup>, P.O.J. Gradin<sup>169</sup>, E.C. Graham<sup>88</sup>,  
 J. Gramling<sup>168</sup>, E. Gramstad<sup>130</sup>, S. Grancagnolo<sup>19</sup>, V. Gratchev<sup>134</sup>, P.M. Gravila<sup>27f</sup>,  
 F.G. Gravili<sup>65a,65b</sup>, C. Gray<sup>55</sup>, H.M. Gray<sup>18</sup>, Z.D. Greenwood<sup>93,ag</sup>, C. Grefe<sup>24</sup>, K. Gregersen<sup>94</sup>,  
 I.M. Gregor<sup>44</sup>, P. Grenier<sup>150</sup>, K. Grevtsov<sup>44</sup>, N.A. Grieser<sup>124</sup>, J. Griffiths<sup>8</sup>, A.A. Grillo<sup>143</sup>,  
 K. Grimm<sup>150</sup>, S. Grinstein<sup>14,x</sup>, Ph. Gris<sup>37</sup>, J.-F. Grivaz<sup>128</sup>, S. Groh<sup>97</sup>, E. Gross<sup>177</sup>,  
 J. Grosse-Knetter<sup>51</sup>, G.C. Grossi<sup>93</sup>, Z.J. Grout<sup>92</sup>, C. Grud<sup>103</sup>, A. Grummer<sup>116</sup>, L. Guan<sup>103</sup>,  
 W. Guan<sup>178</sup>, J. Guenther<sup>35</sup>, A. Guerguichon<sup>128</sup>, F. Guescini<sup>165a</sup>, D. Guest<sup>168</sup>, R. Gugel<sup>50</sup>,  
 B. Gui<sup>122</sup>, T. Guillemin<sup>5</sup>, S. Guindon<sup>35</sup>, U. Gul<sup>55</sup>, C. Gumpert<sup>35</sup>, J. Guo<sup>58c</sup>, W. Guo<sup>103</sup>,  
 Y. Guo<sup>58a,q</sup>, Z. Guo<sup>99</sup>, R. Gupta<sup>41</sup>, S. Gurbuz<sup>12c</sup>, G. Gustavino<sup>124</sup>, B.J. Gutelman<sup>157</sup>,  
 P. Gutierrez<sup>124</sup>, C. Gutsche<sup>92</sup>, C. Guyot<sup>142</sup>, M.P. Guzik<sup>81a</sup>, C. Gwenlan<sup>131</sup>, C.B. Gwilliam<sup>88</sup>,  
 A. Haas<sup>121</sup>, C. Haber<sup>18</sup>, H.K. Hadavand<sup>8</sup>, N. Haddad<sup>34e</sup>, A. Hader<sup>58a</sup>, S. Hageböck<sup>24</sup>,  
 M. Hagihara<sup>166</sup>, H. Hakobyan<sup>181,\*</sup>, M. Haleem<sup>174</sup>, J. Haley<sup>125</sup>, G. Halladjian<sup>104</sup>, G.D. Hallewell<sup>99</sup>,  
 K. Hamacher<sup>179</sup>, P. Hamal<sup>126</sup>, K. Hamano<sup>173</sup>, A. Hamilton<sup>32a</sup>, G.N. Hamity<sup>146</sup>, K. Han<sup>58a,af</sup>,  
 L. Han<sup>58a</sup>, S. Han<sup>15d</sup>, K. Hanagaki<sup>79,t</sup>, M. Hance<sup>143</sup>, D.M. Handl<sup>112</sup>, B. Haney<sup>133</sup>, R. Hankache<sup>132</sup>,  
 P. Hanke<sup>59a</sup>, E. Hansen<sup>94</sup>, J.B. Hansen<sup>39</sup>, J.D. Hansen<sup>39</sup>, M.C. Hansen<sup>24</sup>, P.H. Hansen<sup>39</sup>,  
 K. Hara<sup>166</sup>, A.S. Hard<sup>178</sup>, T. Harenberg<sup>179</sup>, S. Harkusha<sup>105</sup>, P.F. Harrison<sup>175</sup>, N.M. Hartmann<sup>112</sup>,  
 Y. Hasegawa<sup>147</sup>, A. Hasib<sup>48</sup>, S. Hassani<sup>142</sup>, S. Haug<sup>20</sup>, R. Hauser<sup>104</sup>, L. Hauswald<sup>46</sup>,  
 L.B. Havener<sup>38</sup>, M. Havranek<sup>138</sup>, C.M. Hawkes<sup>21</sup>, R.J. Hawkins<sup>35</sup>, D. Hayden<sup>104</sup>, C. Hayes<sup>152</sup>,  
 C.P. Hays<sup>131</sup>, J.M. Hays<sup>90</sup>, H.S. Hayward<sup>88</sup>, S.J. Haywood<sup>141</sup>, M.P. Heath<sup>48</sup>, V. Hedberg<sup>94</sup>,  
 L. Heelan<sup>8</sup>, S. Heer<sup>24</sup>, K.K. Heidegger<sup>50</sup>, J. Heilman<sup>33</sup>, S. Heim<sup>44</sup>, T. Heim<sup>18</sup>, B. Heinemann<sup>44,al</sup>,  
 J.J. Heinrich<sup>112</sup>, L. Heinrich<sup>121</sup>, C. Heinz<sup>54</sup>, J. Hejbal<sup>137</sup>, L. Helary<sup>35</sup>, A. Held<sup>172</sup>, S. Hellesund<sup>130</sup>,  
 S. Hellman<sup>43a,43b</sup>, C. Helsens<sup>35</sup>, R.C.W. Henderson<sup>87</sup>, Y. Heng<sup>178</sup>, S. Henkelmann<sup>172</sup>,  
 A.M. Henriques Correia<sup>35</sup>, G.H. Herbert<sup>19</sup>, H. Herde<sup>26</sup>, V. Herget<sup>174</sup>, Y. Hernández Jiménez<sup>32c</sup>,  
 H. Herr<sup>97</sup>, M.G. Herrmann<sup>112</sup>, G. Hertel<sup>50</sup>, R. Hertenberg<sup>112</sup>, L. Hervas<sup>35</sup>, T.C. Herwig<sup>133</sup>,  
 G.G. Hesketh<sup>92</sup>, N.P. Hessey<sup>165a</sup>, J.W. Hetherly<sup>41</sup>, S. Higashino<sup>79</sup>, E. Higón-Rodríguez<sup>171</sup>,  
 K. Hildebrand<sup>36</sup>, E. Hill<sup>173</sup>, J.C. Hill<sup>31</sup>, K.K. Hill<sup>29</sup>, K.H. Hiller<sup>44</sup>, S.J. Hillier<sup>21</sup>, M. Hils<sup>46</sup>,  
 I. Hinchliffe<sup>18</sup>, M. Hirose<sup>129</sup>, D. Hirschbuehl<sup>179</sup>, B. Hiti<sup>89</sup>, O. Hladik<sup>137</sup>, D.R. Hlaluku<sup>32c</sup>,  
 X. Hoad<sup>48</sup>, J. Hobbs<sup>152</sup>, N. Hod<sup>165a</sup>, M.C. Hodgkinson<sup>146</sup>, A. Hoecker<sup>35</sup>, M.R. Hoferkamp<sup>116</sup>,  
 F. Hoenig<sup>112</sup>, D. Hohn<sup>24</sup>, D. Hohov<sup>128</sup>, T.R. Holmes<sup>36</sup>, M. Holzbock<sup>112</sup>, M. Homann<sup>45</sup>,  
 S. Honda<sup>166</sup>, T. Honda<sup>79</sup>, T.M. Hong<sup>135</sup>, A. Hönle<sup>113</sup>, B.H. Hooberman<sup>170</sup>, W.H. Hopkins<sup>127</sup>,  
 Y. Horii<sup>115</sup>, P. Horn<sup>46</sup>, A.J. Horton<sup>149</sup>, L.A. Horyn<sup>36</sup>, J.-Y. Hostachy<sup>56</sup>, A. Hostiuc<sup>145</sup>, S. Hou<sup>155</sup>,  
 A. Hoummada<sup>34a</sup>, J. Howarth<sup>98</sup>, J. Hoya<sup>86</sup>, M. Hrabovsky<sup>126</sup>, J. Hrdinka<sup>35</sup>, I. Hristova<sup>19</sup>,  
 J. Hrivnac<sup>128</sup>, A. Hrynevich<sup>106</sup>, T. Hryn'ova<sup>5</sup>, P.J. Hsu<sup>62</sup>, S.-C. Hsu<sup>145</sup>, Q. Hu<sup>29</sup>, S. Hu<sup>58c</sup>,  
 Y. Huang<sup>15a</sup>, Z. Hubacek<sup>138</sup>, F. Hubaut<sup>99</sup>, M. Huebner<sup>24</sup>, F. Huegging<sup>24</sup>, T.B. Huffman<sup>131</sup>,  
 E.W. Hughes<sup>38</sup>, M. Huhtinen<sup>35</sup>, R.F.H. Hunter<sup>33</sup>, P. Huo<sup>152</sup>, A.M. Hupe<sup>33</sup>, N. Huseynov<sup>77,ad</sup>,  
 J. Huston<sup>104</sup>, J. Huth<sup>57</sup>, R. Hyneman<sup>103</sup>, G. Iacobucci<sup>52</sup>, G. Iakovidis<sup>29</sup>, I. Ibragimov<sup>148</sup>,

L. Iconomidou-Fayard<sup>128</sup>, Z. Idrissi<sup>34e</sup>, P. Iengo<sup>35</sup>, R. Ignazzi<sup>39</sup>, O. Igonkina<sup>118,z</sup>, R. Iguchi<sup>160</sup>,  
 T. Iizawa<sup>52</sup>, Y. Ikegami<sup>79</sup>, M. Ikeno<sup>79</sup>, D. Iliadis<sup>159</sup>, N. Ilic<sup>150</sup>, F. Iltzsche<sup>46</sup>, G. Introzzi<sup>68a,68b</sup>,  
 M. Iodice<sup>72a</sup>, K. Iordanidou<sup>38</sup>, V. Ippolito<sup>70a,70b</sup>, M.F. Isacson<sup>169</sup>, N. Ishijima<sup>129</sup>, M. Ishino<sup>160</sup>,  
 M. Ishitsuka<sup>162</sup>, W. Islam<sup>125</sup>, C. Issever<sup>131</sup>, S. Istin<sup>12c,ak</sup>, F. Ito<sup>166</sup>, J.M. Iturbe Ponce<sup>61a</sup>,  
 R. Iuppa<sup>73a,73b</sup>, A. Ivina<sup>177</sup>, H. Iwasaki<sup>79</sup>, J.M. Izen<sup>42</sup>, V. Izzo<sup>67a</sup>, P. Jacka<sup>137</sup>, P. Jackson<sup>1</sup>,  
 R.M. Jacobs<sup>24</sup>, V. Jain<sup>2</sup>, G. Jäkel<sup>179</sup>, K.B. Jakobi<sup>97</sup>, K. Jakobs<sup>50</sup>, S. Jakobsen<sup>74</sup>, T. Jakoubek<sup>137</sup>,  
 D.O. Jamin<sup>125</sup>, D.K. Jana<sup>93</sup>, R. Jansky<sup>52</sup>, J. Janssen<sup>24</sup>, M. Janus<sup>51</sup>, P.A. Janus<sup>81a</sup>, G. Jarlskog<sup>94</sup>,  
 N. Javadov<sup>77,ad</sup>, T. Javůrek<sup>35</sup>, M. Javurkova<sup>50</sup>, F. Jeanneau<sup>142</sup>, L. Jeanty<sup>18</sup>, J. Jejelava<sup>156a,ae</sup>,  
 A. Jelinskas<sup>175</sup>, P. Jenni<sup>50,c</sup>, J. Jeong<sup>44</sup>, S. Jézéquel<sup>5</sup>, H. Ji<sup>178</sup>, J. Jia<sup>152</sup>, H. Jiang<sup>76</sup>, Y. Jiang<sup>58a</sup>,  
 Z. Jiang<sup>150</sup>, S. Jiggins<sup>50</sup>, F.A. Jimenez Morales<sup>37</sup>, J. Jimenez Pena<sup>171</sup>, S. Jin<sup>15b</sup>, A. Jinaru<sup>27b</sup>,  
 O. Jinnouchi<sup>162</sup>, H. Jivan<sup>32c</sup>, P. Johansson<sup>146</sup>, K.A. Johns<sup>7</sup>, C.A. Johnson<sup>63</sup>, W.J. Johnson<sup>145</sup>,  
 K. Jon-And<sup>43a,43b</sup>, R.W.L. Jones<sup>87</sup>, S.D. Jones<sup>153</sup>, S. Jones<sup>7</sup>, T.J. Jones<sup>88</sup>, J. Jongmanns<sup>59a</sup>,  
 P.M. Jorge<sup>136a,136b</sup>, J. Jovicevic<sup>165a</sup>, X. Ju<sup>18</sup>, J.J. Junggeburth<sup>113</sup>, A. Juste Rozas<sup>14,x</sup>,  
 A. Kaczmarek<sup>82</sup>, M. Kado<sup>128</sup>, H. Kagan<sup>122</sup>, M. Kagan<sup>150</sup>, T. Kaji<sup>176</sup>, E. Kajomovitz<sup>157</sup>,  
 C.W. Kalderon<sup>94</sup>, A. Kaluza<sup>97</sup>, S. Kama<sup>41</sup>, A. Kamenshchikov<sup>140</sup>, L. Kanjir<sup>89</sup>, Y. Kano<sup>160</sup>,  
 V.A. Kantserov<sup>110</sup>, J. Kanzaki<sup>79</sup>, B. Kaplan<sup>121</sup>, L.S. Kaplan<sup>178</sup>, D. Kar<sup>32c</sup>, M.J. Kareem<sup>165b</sup>,  
 E. Karentzos<sup>10</sup>, S.N. Karpov<sup>77</sup>, Z.M. Karpova<sup>77</sup>, V. Kartvelishvili<sup>87</sup>, A.N. Karyukhin<sup>140</sup>,  
 L. Kashif<sup>178</sup>, R.D. Kass<sup>122</sup>, A. Kastanas<sup>151</sup>, Y. Kataoka<sup>160</sup>, C. Kato<sup>58d,58c</sup>, J. Katzy<sup>44</sup>,  
 K. Kawade<sup>80</sup>, K. Kawagoe<sup>85</sup>, T. Kawamoto<sup>160</sup>, G. Kawamura<sup>51</sup>, E.F. Kay<sup>88</sup>, V.F. Kazanin<sup>120b,120a</sup>,  
 R. Keeler<sup>173</sup>, R. Kehoe<sup>41</sup>, J.S. Keller<sup>33</sup>, E. Kellermann<sup>94</sup>, J.J. Kempster<sup>21</sup>, J. Kendrick<sup>21</sup>,  
 O. Kepka<sup>137</sup>, S. Kersten<sup>179</sup>, B.P. Kerševan<sup>89</sup>, R.A. Keyes<sup>101</sup>, M. Khader<sup>170</sup>, F. Khalil-Zada<sup>13</sup>,  
 A. Khanov<sup>125</sup>, A.G. Kharlamov<sup>120b,120a</sup>, T. Kharlamova<sup>120b,120a</sup>, E.E. Khoda<sup>172</sup>, A. Khodinov<sup>163</sup>,  
 T.J. Khoo<sup>52</sup>, E. Khramov<sup>77</sup>, J. Khubua<sup>156b</sup>, S. Kido<sup>80</sup>, M. Kiehn<sup>52</sup>, C.R. Kilby<sup>91</sup>, Y.K. Kim<sup>36</sup>,  
 N. Kimura<sup>64a,64c</sup>, O.M. Kind<sup>19</sup>, B.T. King<sup>88</sup>, D. Kirchmeier<sup>46</sup>, J. Kirk<sup>141</sup>, A.E. Kiryunin<sup>113</sup>,  
 T. Kishimoto<sup>160</sup>, D. Kisielewska<sup>81a</sup>, V. Kitali<sup>44</sup>, O. Kivernyk<sup>5</sup>, E. Kladiva<sup>28b</sup>,  
 T. Klapdor-Kleingrothaus<sup>50</sup>, M.H. Klein<sup>103</sup>, M. Klein<sup>88</sup>, U. Klein<sup>88</sup>, K. Kleinknecht<sup>97</sup>,  
 P. Klimek<sup>119</sup>, A. Klimentov<sup>29</sup>, R. Klingenberg<sup>45,\*</sup>, T. Klingl<sup>24</sup>, T. Klioutchnikova<sup>35</sup>,  
 F.F. Klitzner<sup>112</sup>, P. Kluit<sup>118</sup>, S. Kluth<sup>113</sup>, E. Kneringer<sup>74</sup>, E.B.F.G. Knoops<sup>99</sup>, A. Knue<sup>50</sup>,  
 A. Kobayashi<sup>160</sup>, D. Kobayashi<sup>85</sup>, T. Kobayashi<sup>160</sup>, M. Kobel<sup>46</sup>, M. Kocian<sup>150</sup>, P. Kodys<sup>139</sup>,  
 P.T. Koenig<sup>24</sup>, T. Koffas<sup>33</sup>, E. Koffeman<sup>118</sup>, N.M. Köhler<sup>113</sup>, T. Koi<sup>150</sup>, M. Kolb<sup>59b</sup>, I. Koletsou<sup>5</sup>,  
 T. Kondo<sup>79</sup>, N. Kondrashova<sup>58c</sup>, K. Köneke<sup>50</sup>, A.C. König<sup>117</sup>, T. Kono<sup>79</sup>, R. Konoplich<sup>121,ah</sup>,  
 V. Konstantinides<sup>92</sup>, N. Konstantinidis<sup>92</sup>, B. Konya<sup>94</sup>, R. Kopeliansky<sup>63</sup>, S. Koperny<sup>81a</sup>,  
 K. Korcyl<sup>82</sup>, K. Kordas<sup>159</sup>, G. Koren<sup>158</sup>, A. Korn<sup>92</sup>, I. Korolkov<sup>14</sup>, E.V. Korolkova<sup>146</sup>,  
 N. Korotkova<sup>111</sup>, O. Kortner<sup>113</sup>, S. Kortner<sup>113</sup>, T. Kosek<sup>139</sup>, V.V. Kostyukhin<sup>24</sup>, A. Kotwal<sup>47</sup>,  
 A. Koulouris<sup>10</sup>, A. Kourkouveli-Charalampidi<sup>68a,68b</sup>, C. Kourkouvelis<sup>9</sup>, E. Kourlitis<sup>146</sup>,  
 V. Kouskoura<sup>29</sup>, A.B. Kowalewska<sup>82</sup>, R. Kowalewski<sup>173</sup>, T.Z. Kowalski<sup>81a</sup>, C. Kozakai<sup>160</sup>,  
 W. Kozanecki<sup>142</sup>, A.S. Kozhin<sup>140</sup>, V.A. Kramarenko<sup>111</sup>, G. Kramberger<sup>89</sup>, D. Krasnopevtsev<sup>58a</sup>,  
 M.W. Krasny<sup>132</sup>, A. Krasznahorkay<sup>35</sup>, D. Krauss<sup>113</sup>, J.A. Kremer<sup>81a</sup>, J. Kretzschmar<sup>88</sup>,  
 P. Krieger<sup>164</sup>, K. Krizka<sup>18</sup>, K. Kroeninger<sup>45</sup>, H. Kroha<sup>113</sup>, J. Kroll<sup>137</sup>, J. Kroll<sup>133</sup>, J. Krstic<sup>16</sup>,  
 U. Kruchonak<sup>77</sup>, H. Krüger<sup>24</sup>, N. Krumnack<sup>76</sup>, M.C. Kruse<sup>47</sup>, T. Kubota<sup>102</sup>, S. Kудay<sup>4b</sup>,  
 J.T. Kuechler<sup>179</sup>, S. Kuehn<sup>35</sup>, A. Kugel<sup>59a</sup>, F. Kuger<sup>174</sup>, T. Kuhl<sup>44</sup>, V. Kukhtin<sup>77</sup>, R. Kukla<sup>99</sup>,

Y. Kulchitsky<sup>105</sup>, S. Kuleshov<sup>144b</sup>, Y.P. Kulinich<sup>170</sup>, M. Kuna<sup>56</sup>, T. Kunigo<sup>83</sup>, A. Kupco<sup>137</sup>, T. Kupfer<sup>45</sup>, O. Kuprash<sup>158</sup>, H. Kurashige<sup>80</sup>, L.L. Kurchaninov<sup>165a</sup>, Y.A. Kurochkin<sup>105</sup>, M.G. Kurth<sup>15d</sup>, E.S. Kuwertz<sup>35</sup>, M. Kuze<sup>162</sup>, J. Kvita<sup>126</sup>, T. Kwan<sup>101</sup>, A. La Rosa<sup>113</sup>, J.L. La Rosa Navarro<sup>78d</sup>, L. La Rotonda<sup>40b,40a</sup>, F. La Ruffa<sup>40b,40a</sup>, C. Lacasta<sup>171</sup>, F. Lacava<sup>70a,70b</sup>, J. Lacey<sup>44</sup>, D.P.J. Lack<sup>98</sup>, H. Lacker<sup>19</sup>, D. Lacour<sup>132</sup>, E. Ladygin<sup>77</sup>, R. Lafaye<sup>5</sup>, B. Laforge<sup>132</sup>, T. Lagouri<sup>32c</sup>, S. Lai<sup>51</sup>, S. Lammers<sup>63</sup>, W. Lampl<sup>7</sup>, E. Lançon<sup>29</sup>, U. Landgraf<sup>50</sup>, M.P.J. Landon<sup>90</sup>, M.C. Lanfermann<sup>52</sup>, V.S. Lang<sup>44</sup>, J.C. Lange<sup>14</sup>, R.J. Langenberg<sup>35</sup>, A.J. Lankford<sup>168</sup>, F. Lanni<sup>29</sup>, K. Lantzsch<sup>24</sup>, A. Lanza<sup>68a</sup>, A. Lapertosa<sup>53b,53a</sup>, S. Laplace<sup>132</sup>, J.F. Laporte<sup>142</sup>, T. Lari<sup>66a</sup>, F. Lasagni Manghi<sup>23b,23a</sup>, M. Lassnig<sup>35</sup>, T.S. Lau<sup>61a</sup>, A. Laudrain<sup>128</sup>, M. Lavorgna<sup>67a,67b</sup>, A.T. Law<sup>143</sup>, P. Laycock<sup>88</sup>, M. Lazzaroni<sup>66a,66b</sup>, B. Le<sup>102</sup>, O. Le Dortz<sup>132</sup>, E. Le Guirriec<sup>99</sup>, E.P. Le Quilleuc<sup>142</sup>, M. LeBlanc<sup>7</sup>, T. LeCompte<sup>6</sup>, F. Ledroit-Guillon<sup>56</sup>, C.A. Lee<sup>29</sup>, G.R. Lee<sup>144a</sup>, L. Lee<sup>57</sup>, S.C. Lee<sup>155</sup>, B. Lefebvre<sup>101</sup>, M. Lefebvre<sup>173</sup>, F. Legger<sup>112</sup>, C. Leggett<sup>18</sup>, K. Lehmann<sup>149</sup>, N. Lehmann<sup>179</sup>, G. Lehmann Miotto<sup>35</sup>, W.A. Leight<sup>44</sup>, A. Leisos<sup>159,u</sup>, M.A.L. Leite<sup>78d</sup>, R. Leitner<sup>139</sup>, D. Lellouch<sup>177</sup>, B. Lemmer<sup>51</sup>, K.J.C. Leney<sup>92</sup>, T. Lenz<sup>24</sup>, B. Lenzi<sup>35</sup>, R. Leone<sup>7</sup>, S. Leone<sup>69a</sup>, C. Leonidopoulos<sup>48</sup>, G. Lerner<sup>153</sup>, C. Leroy<sup>107</sup>, R. Les<sup>164</sup>, A.A.J. Lesage<sup>142</sup>, C.G. Lester<sup>31</sup>, M. Levchenko<sup>134</sup>, J. Levêque<sup>5</sup>, D. Levin<sup>103</sup>, L.J. Levinson<sup>177</sup>, D. Lewis<sup>90</sup>, B. Li<sup>103</sup>, C-Q. Li<sup>58a</sup>, H. Li<sup>58b</sup>, L. Li<sup>58c</sup>, Q. Li<sup>15d</sup>, Q.Y. Li<sup>58a</sup>, S. Li<sup>58d,58c</sup>, X. Li<sup>58c</sup>, Y. Li<sup>148</sup>, Z. Liang<sup>15a</sup>, B. Liberti<sup>71a</sup>, A. Liblong<sup>164</sup>, K. Lie<sup>61c</sup>, S. Liem<sup>118</sup>, A. Limosani<sup>154</sup>, C.Y. Lin<sup>31</sup>, K. Lin<sup>104</sup>, T.H. Lin<sup>97</sup>, R.A. Linck<sup>63</sup>, J.H. Lindon<sup>21</sup>, B.E. Lindquist<sup>152</sup>, A.L. Lioni<sup>52</sup>, E. Lipeles<sup>133</sup>, A. Lipniacka<sup>17</sup>, M. Lisovyi<sup>59b</sup>, T.M. Liss<sup>170,an</sup>, A. Lister<sup>172</sup>, A.M. Litke<sup>143</sup>, J.D. Little<sup>8</sup>, B. Liu<sup>76</sup>, B.L. Liu<sup>6</sup>, H.B. Liu<sup>29</sup>, H. Liu<sup>103</sup>, J.B. Liu<sup>58a</sup>, J.K.K. Liu<sup>131</sup>, K. Liu<sup>132</sup>, M. Liu<sup>58a</sup>, P. Liu<sup>18</sup>, Y. Liu<sup>15a</sup>, Y.L. Liu<sup>58a</sup>, Y.W. Liu<sup>58a</sup>, M. Livan<sup>68a,68b</sup>, A. Lleres<sup>56</sup>, J. Llorente Merino<sup>15a</sup>, S.L. Lloyd<sup>90</sup>, C.Y. Lo<sup>61b</sup>, F. Lo Sterzo<sup>41</sup>, E.M. Lobodzinska<sup>44</sup>, P. Loch<sup>7</sup>, A. Loesle<sup>50</sup>, T. Lohse<sup>19</sup>, K. Lohwasser<sup>146</sup>, M. Lokajicek<sup>137</sup>, B.A. Long<sup>25</sup>, J.D. Long<sup>170</sup>, R.E. Long<sup>87</sup>, L. Longo<sup>65a,65b</sup>, K.A. Looper<sup>122</sup>, J.A. Lopez<sup>144b</sup>, I. Lopez Paz<sup>14</sup>, A. Lopez Solis<sup>146</sup>, J. Lorenz<sup>112</sup>, N. Lorenzo Martinez<sup>5</sup>, M. Losada<sup>22</sup>, P.J. Lösel<sup>112</sup>, X. Lou<sup>44</sup>, X. Lou<sup>15a</sup>, A. Lounis<sup>128</sup>, J. Love<sup>6</sup>, P.A. Love<sup>87</sup>, J.J. Lozano Bahilo<sup>171</sup>, H. Lu<sup>61a</sup>, M. Lu<sup>58a</sup>, N. Lu<sup>103</sup>, Y.J. Lu<sup>62</sup>, H.J. Lubatti<sup>145</sup>, C. Luci<sup>70a,70b</sup>, A. Lucotte<sup>56</sup>, C. Luedtke<sup>50</sup>, F. Luehring<sup>63</sup>, I. Luise<sup>132</sup>, L. Luminari<sup>70a</sup>, B. Lund-Jensen<sup>151</sup>, M.S. Lutz<sup>100</sup>, P.M. Luzi<sup>132</sup>, D. Lynn<sup>29</sup>, R. Lysak<sup>137</sup>, E. Lytken<sup>94</sup>, F. Lyu<sup>15a</sup>, V. Lyubushkin<sup>77</sup>, H. Ma<sup>29</sup>, L.L. Ma<sup>58b</sup>, Y. Ma<sup>58b</sup>, G. Maccarrone<sup>49</sup>, A. Macchiolo<sup>113</sup>, C.M. Macdonald<sup>146</sup>, J. Machado Miguens<sup>133,136b</sup>, D. Madaffari<sup>171</sup>, R. Madar<sup>37</sup>, W.F. Mader<sup>46</sup>, A. Madsen<sup>44</sup>, N. Madysa<sup>46</sup>, J. Maeda<sup>80</sup>, K. Maekawa<sup>160</sup>, S. Maeland<sup>17</sup>, T. Maeno<sup>29</sup>, A.S. Maevskiy<sup>111</sup>, V. Magerl<sup>50</sup>, C. Maidantchik<sup>78b</sup>, T. Maier<sup>112</sup>, A. Maio<sup>136a,136b,136d</sup>, O. Majersky<sup>28a</sup>, S. Majewski<sup>127</sup>, Y. Makida<sup>79</sup>, N. Makovec<sup>128</sup>, B. Malaescu<sup>132</sup>, Pa. Malecki<sup>82</sup>, V.P. Maleev<sup>134</sup>, F. Malek<sup>56</sup>, U. Mallik<sup>75</sup>, D. Malon<sup>6</sup>, C. Malone<sup>31</sup>, S. Maltezos<sup>10</sup>, S. Malyukov<sup>35</sup>, J. Mamuzic<sup>171</sup>, G. Mancini<sup>49</sup>, I. Mandić<sup>89</sup>, J. Maneira<sup>136a</sup>, L. Manhaes de Andrade Filho<sup>78a</sup>, J. Manjarres Ramos<sup>46</sup>, K.H. Mankinen<sup>94</sup>, A. Mann<sup>112</sup>, A. Manousos<sup>74</sup>, B. Mansoulie<sup>142</sup>, J.D. Mansour<sup>15a</sup>, M. Mantoani<sup>51</sup>, S. Manzoni<sup>66a,66b</sup>, G. Marceca<sup>30</sup>, L. March<sup>52</sup>, L. Marchese<sup>131</sup>, G. Marchiori<sup>132</sup>, M. Marcisovsky<sup>137</sup>, C.A. Marin Tobon<sup>35</sup>, M. Marjanovic<sup>37</sup>, D.E. Marley<sup>103</sup>, F. Marroquim<sup>78b</sup>, Z. Marshall<sup>18</sup>, M.U.F. Martensson<sup>169</sup>, S. Marti-Garcia<sup>171</sup>, C.B. Martin<sup>122</sup>, T.A. Martin<sup>175</sup>, V.J. Martin<sup>48</sup>, B. Martin dit Latour<sup>17</sup>, M. Martinez<sup>14,x</sup>,

V.I. Martinez Outschoorn<sup>100</sup>, S. Martin-Haugh<sup>141</sup>, V.S. Martoiu<sup>27b</sup>, A.C. Martyniuk<sup>92</sup>,  
A. Marzin<sup>35</sup>, L. Masetti<sup>97</sup>, T. Mashimo<sup>160</sup>, R. Mashinistov<sup>108</sup>, J. Masik<sup>98</sup>,  
A.L. Maslennikov<sup>120b,120a</sup>, L.H. Mason<sup>102</sup>, L. Massa<sup>71a,71b</sup>, P. Massarotti<sup>67a,67b</sup>, P. Mastrandrea<sup>5</sup>,  
A. Mastroberardino<sup>40b,40a</sup>, T. Masubuchi<sup>160</sup>, P. Mättig<sup>179</sup>, J. Maurer<sup>27b</sup>, B. Maček<sup>89</sup>,  
S.J. Maxfield<sup>88</sup>, D.A. Maximov<sup>120b,120a</sup>, R. Mazini<sup>155</sup>, I. Maznas<sup>159</sup>, S.M. Mazza<sup>143</sup>,  
N.C. Mc Fadden<sup>116</sup>, G. Mc Goldrick<sup>164</sup>, S.P. Mc Kee<sup>103</sup>, A. McCarn<sup>103</sup>, T.G. McCarthy<sup>113</sup>,  
L.I. McClymont<sup>92</sup>, E.F. McDonald<sup>102</sup>, J.A. Mcfayden<sup>35</sup>, G. Mchedlidze<sup>51</sup>, M.A. McKay<sup>41</sup>,  
K.D. McLean<sup>173</sup>, S.J. McMahon<sup>141</sup>, P.C. McNamara<sup>102</sup>, C.J. McNicol<sup>175</sup>, R.A. McPherson<sup>173,ab</sup>,  
J.E. Mdhluli<sup>32c</sup>, Z.A. Meadows<sup>100</sup>, S. Meehan<sup>145</sup>, T. Megy<sup>50</sup>, S. Mehlhase<sup>112</sup>, A. Mehta<sup>88</sup>,  
T. Meideck<sup>56</sup>, B. Meirose<sup>42</sup>, D. Melini<sup>171,g</sup>, B.R. Mellado Garcia<sup>32c</sup>, J.D. Mellenthin<sup>51</sup>,  
M. Melo<sup>28a</sup>, F. Meloni<sup>44</sup>, A. Melzer<sup>24</sup>, S.B. Menary<sup>98</sup>, E.D. Mendes Gouveia<sup>136a</sup>, L. Meng<sup>88</sup>,  
X.T. Meng<sup>103</sup>, A. Mengarelli<sup>23b,23a</sup>, S. Menke<sup>113</sup>, E. Meoni<sup>40b,40a</sup>, S. Mergelmeyer<sup>19</sup>,  
C. Merlassino<sup>20</sup>, P. Mermoud<sup>52</sup>, L. Merola<sup>67a,67b</sup>, C. Meroni<sup>66a</sup>, F.S. Merritt<sup>36</sup>, A. Messina<sup>70a,70b</sup>,  
J. Metcalfe<sup>6</sup>, A.S. Mete<sup>168</sup>, C. Meyer<sup>133</sup>, J. Meyer<sup>157</sup>, J-P. Meyer<sup>142</sup>, H. Meyer Zu Theenhausen<sup>59a</sup>,  
F. Miano<sup>153</sup>, R.P. Middleton<sup>141</sup>, L. Mijović<sup>48</sup>, G. Mikenberg<sup>177</sup>, M. Mikestikova<sup>137</sup>, M. Mikuž<sup>89</sup>,  
M. Milesi<sup>102</sup>, A. Milic<sup>164</sup>, D.A. Millar<sup>90</sup>, D.W. Miller<sup>36</sup>, A. Milov<sup>177</sup>, D.A. Milstead<sup>43a,43b</sup>,  
A.A. Minaenko<sup>140</sup>, M. Miñano Moya<sup>171</sup>, I.A. Minashvili<sup>156b</sup>, A.I. Mincer<sup>121</sup>, B. Mindur<sup>81a</sup>,  
M. Mineev<sup>77</sup>, Y. Minegishi<sup>160</sup>, Y. Ming<sup>178</sup>, L.M. Mir<sup>14</sup>, A. Mirto<sup>65a,65b</sup>, K.P. Mistry<sup>133</sup>,  
T. Mitani<sup>176</sup>, J. Mitrevski<sup>112</sup>, V.A. Mitsou<sup>171</sup>, A. Miucci<sup>20</sup>, P.S. Miyagawa<sup>146</sup>, A. Mizukami<sup>79</sup>,  
J.U. Mjörnmark<sup>94</sup>, T. Mkrtchyan<sup>181</sup>, M. Mlynarikova<sup>139</sup>, T. Moa<sup>43a,43b</sup>, K. Mochizuki<sup>107</sup>,  
P. Mogg<sup>50</sup>, S. Mohapatra<sup>38</sup>, S. Molander<sup>43a,43b</sup>, R. Moles-Valls<sup>24</sup>, M.C. Mondragon<sup>104</sup>,  
K. Mönig<sup>44</sup>, J. Monk<sup>39</sup>, E. Monnier<sup>99</sup>, A. Montalbano<sup>149</sup>, J. Montejo Berlingen<sup>35</sup>, F. Monticelli<sup>86</sup>,  
S. Monzani<sup>66a</sup>, N. Morange<sup>128</sup>, D. Moreno<sup>22</sup>, M. Moreno Llácer<sup>35</sup>, P. Morettini<sup>53b</sup>,  
M. Morgenstern<sup>118</sup>, S. Morgenstern<sup>46</sup>, D. Mori<sup>149</sup>, M. Morii<sup>57</sup>, M. Morinaga<sup>176</sup>, V. Morisbak<sup>130</sup>,  
A.K. Morley<sup>35</sup>, G. Mornacchi<sup>35</sup>, A.P. Morris<sup>92</sup>, J.D. Morris<sup>90</sup>, L. Morvaj<sup>152</sup>, P. Moschovakos<sup>10</sup>,  
M. Mosidze<sup>156b</sup>, H.J. Moss<sup>146</sup>, J. Moss<sup>150,m</sup>, K. Motohashi<sup>162</sup>, R. Mount<sup>150</sup>, E. Mountricha<sup>35</sup>,  
E.J.W. Moyse<sup>100</sup>, S. Muanza<sup>99</sup>, F. Mueller<sup>113</sup>, J. Mueller<sup>135</sup>, R.S.P. Mueller<sup>112</sup>,  
D. Muenstermann<sup>87</sup>, G.A. Mullier<sup>20</sup>, F.J. Munoz Sanchez<sup>98</sup>, P. Murin<sup>28b</sup>, W.J. Murray<sup>175,141</sup>,  
A. Murrone<sup>66a,66b</sup>, M. Muškinja<sup>89</sup>, C. Mwewa<sup>32a</sup>, A.G. Myagkov<sup>140,ai</sup>, J. Myers<sup>127</sup>, M. Myska<sup>138</sup>,  
B.P. Nachman<sup>18</sup>, O. Nackenhorst<sup>45</sup>, K. Nagai<sup>131</sup>, K. Nagano<sup>79</sup>, Y. Nagasaka<sup>60</sup>, M. Nagel<sup>50</sup>,  
E. Nagy<sup>99</sup>, A.M. Nairz<sup>35</sup>, Y. Nakahama<sup>115</sup>, K. Nakamura<sup>79</sup>, T. Nakamura<sup>160</sup>, I. Nakano<sup>123</sup>,  
H. Nanjo<sup>129</sup>, F. Napolitano<sup>59a</sup>, R.F. Naranjo Garcia<sup>44</sup>, R. Narayan<sup>11</sup>, D.I. Narrias Villar<sup>59a</sup>,  
I. Naryshkin<sup>134</sup>, T. Naumann<sup>44</sup>, G. Navarro<sup>22</sup>, R. Nayyar<sup>7</sup>, H.A. Neal<sup>103</sup>, P.Y. Nechaeva<sup>108</sup>,  
T.J. Neep<sup>142</sup>, A. Negri<sup>68a,68b</sup>, M. Negrini<sup>23b</sup>, S. Nektarijevic<sup>117</sup>, C. Nellist<sup>51</sup>, M.E. Nelson<sup>131</sup>,  
S. Nemecek<sup>137</sup>, P. Nemethy<sup>121</sup>, M. Nessi<sup>35,e</sup>, M.S. Neubauer<sup>170</sup>, M. Neumann<sup>179</sup>, P.R. Newman<sup>21</sup>,  
T.Y. Ng<sup>61c</sup>, Y.S. Ng<sup>19</sup>, H.D.N. Nguyen<sup>99</sup>, T. Nguyen Manh<sup>107</sup>, E. Nibigira<sup>37</sup>, R.B. Nickerson<sup>131</sup>,  
R. Nicolaidou<sup>142</sup>, J. Nielsen<sup>143</sup>, N. Nikiforou<sup>11</sup>, V. Nikolaenko<sup>140,ai</sup>, I. Nikolic-Audit<sup>132</sup>,  
K. Nikolopoulos<sup>21</sup>, P. Nilsson<sup>29</sup>, Y. Ninomiya<sup>79</sup>, A. Nisati<sup>70a</sup>, N. Nishu<sup>58c</sup>, R. Nisius<sup>113</sup>,  
I. Nitsche<sup>45</sup>, T. Nitta<sup>176</sup>, T. Nobe<sup>160</sup>, Y. Noguchi<sup>83</sup>, M. Nomachi<sup>129</sup>, I. Nomidis<sup>132</sup>, M.A. Nomura<sup>29</sup>,  
T. Nooney<sup>90</sup>, M. Nordberg<sup>35</sup>, N. Norjoharuddeen<sup>131</sup>, T. Novak<sup>89</sup>, O. Novgorodova<sup>46</sup>,  
R. Novotny<sup>138</sup>, L. Nozka<sup>126</sup>, K. Ntekas<sup>168</sup>, E. Nurse<sup>92</sup>, F. Nuti<sup>102</sup>, F.G. Oakham<sup>33,aq</sup>,

H. Oberlack<sup>113</sup>, T. Obermann<sup>24</sup>, J. Ocariz<sup>132</sup>, A. Ochi<sup>80</sup>, I. Ochoa<sup>38</sup>, J.P. Ochoa-Ricoux<sup>144a</sup>, K. O'Connor<sup>26</sup>, S. Oda<sup>85</sup>, S. Odaka<sup>79</sup>, S. Oerdek<sup>51</sup>, A. Oh<sup>98</sup>, S.H. Oh<sup>47</sup>, C.C. Ohm<sup>151</sup>, H. Oide<sup>53b,53a</sup>, M.L. Ojeda<sup>164</sup>, H. Okawa<sup>166</sup>, Y. Okazaki<sup>83</sup>, Y. Okumura<sup>160</sup>, T. Okuyama<sup>79</sup>, A. Olariu<sup>27b</sup>, L.F. Oleiro Seabra<sup>136a</sup>, S.A. Olivares Pino<sup>144a</sup>, D. Oliveira Damazio<sup>29</sup>, J.L. Oliver<sup>1</sup>, M.J.R. Olsson<sup>36</sup>, A. Olszewski<sup>82</sup>, J. Olszowska<sup>82</sup>, D.C. O'Neil<sup>149</sup>, A. Onofre<sup>136a,136e</sup>, K. Onogi<sup>115</sup>, P.U.E. Onyisi<sup>11</sup>, H. Oppen<sup>130</sup>, M.J. Oreglia<sup>36</sup>, Y. Oren<sup>158</sup>, D. Orestano<sup>72a,72b</sup>, E.C. Orgill<sup>98</sup>, N. Orlando<sup>61b</sup>, A.A. O'Rourke<sup>44</sup>, R.S. Orr<sup>164</sup>, B. Osculati<sup>53b,53a,\*</sup>, V. O'Shea<sup>55</sup>, R. Ospanov<sup>58a</sup>, G. Otero y Garzon<sup>30</sup>, H. Otono<sup>85</sup>, M. Ouchrif<sup>34d</sup>, F. Ould-Saada<sup>130</sup>, A. Ouraou<sup>142</sup>, Q. Ouyang<sup>15a</sup>, M. Owen<sup>55</sup>, R.E. Owen<sup>21</sup>, V.E. Ozcan<sup>12c</sup>, N. Ozturk<sup>8</sup>, J. Pacalt<sup>126</sup>, H.A. Pacey<sup>31</sup>, K. Pachal<sup>149</sup>, A. Pacheco Pages<sup>14</sup>, L. Pacheco Rodriguez<sup>142</sup>, C. Padilla Aranda<sup>14</sup>, S. Pagan Griso<sup>18</sup>, M. Paganini<sup>180</sup>, G. Palacino<sup>63</sup>, S. Palazzo<sup>40b,40a</sup>, S. Palestini<sup>35</sup>, M. Palka<sup>81b</sup>, D. Pallin<sup>37</sup>, I. Panagoulas<sup>10</sup>, C.E. Pandini<sup>35</sup>, J.G. Panduro Vazquez<sup>91</sup>, P. Pani<sup>35</sup>, G. Panizzo<sup>64a,64c</sup>, L. Paolozzi<sup>52</sup>, T.D. Papadopoulou<sup>10</sup>, K. Papageorgiou<sup>9,i</sup>, A. Paramonov<sup>6</sup>, D. Paredes Hernandez<sup>61b</sup>, S.R. Paredes Saenz<sup>131</sup>, B. Parida<sup>58c</sup>, A.J. Parker<sup>87</sup>, K.A. Parker<sup>44</sup>, M.A. Parker<sup>31</sup>, F. Parodi<sup>53b,53a</sup>, J.A. Parsons<sup>38</sup>, U. Parzefall<sup>50</sup>, V.R. Pascuzzi<sup>164</sup>, J.M.P. Pasner<sup>143</sup>, E. Pasqualucci<sup>70a</sup>, S. Passaggio<sup>53b</sup>, F. Pastore<sup>91</sup>, P. Pasuwan<sup>43a,43b</sup>, S. Patariaia<sup>97</sup>, J.R. Pater<sup>98</sup>, A. Pathak<sup>178j</sup>, T. Pauly<sup>35</sup>, B. Pearson<sup>113</sup>, M. Pedersen<sup>130</sup>, L. Pedraza Diaz<sup>117</sup>, R. Pedro<sup>136a,136b</sup>, S.V. Peleganchuk<sup>120b,120a</sup>, O. Penc<sup>137</sup>, C. Peng<sup>15d</sup>, H. Peng<sup>58a</sup>, B.S. Peralva<sup>78a</sup>, M.M. Perego<sup>142</sup>, A.P. Pereira Peixoto<sup>136a</sup>, D.V. Perepelitsa<sup>29</sup>, F. Peri<sup>19</sup>, L. Perini<sup>66a,66b</sup>, H. Pernegger<sup>35</sup>, S. Perrella<sup>67a,67b</sup>, V.D. Peshekhonov<sup>77,\*</sup>, K. Peters<sup>44</sup>, R.F.Y. Peters<sup>98</sup>, B.A. Petersen<sup>35</sup>, T.C. Petersen<sup>39</sup>, E. Petit<sup>56</sup>, A. Petridis<sup>1</sup>, C. Petridou<sup>159</sup>, P. Petroff<sup>128</sup>, M. Petrov<sup>131</sup>, F. Petrucci<sup>72a,72b</sup>, M. Pettee<sup>180</sup>, N.E. Pettersson<sup>100</sup>, A. Peyaud<sup>142</sup>, R. Pezoa<sup>144b</sup>, T. Pham<sup>102</sup>, F.H. Phillips<sup>104</sup>, P.W. Phillips<sup>141</sup>, G. Piacquadio<sup>152</sup>, E. Pianori<sup>18</sup>, A. Picazio<sup>100</sup>, M.A. Pickering<sup>131</sup>, R.H. Pickles<sup>98</sup>, R. Piegai<sup>30</sup>, J.E. Pilcher<sup>36</sup>, A.D. Pilkington<sup>98</sup>, M. Pinamonti<sup>71a,71b</sup>, J.L. Pinfold<sup>3</sup>, M. Pitt<sup>177</sup>, M-A. Pleier<sup>29</sup>, V. Pleskot<sup>139</sup>, E. Plotnikova<sup>77</sup>, D. Pluth<sup>76</sup>, P. Podberezko<sup>120b,120a</sup>, R. Poettgen<sup>94</sup>, R. Poggi<sup>52</sup>, L. Poggioli<sup>128</sup>, I. Pogrebnyak<sup>104</sup>, D. Pohl<sup>24</sup>, I. Pokharel<sup>51</sup>, G. Polesello<sup>68a</sup>, A. Poley<sup>18</sup>, A. Policicchio<sup>70a,70b</sup>, R. Polifka<sup>35</sup>, A. Polini<sup>23b</sup>, C.S. Pollard<sup>44</sup>, V. Polychronakos<sup>29</sup>, D. Ponomarenko<sup>110</sup>, L. Pontecorvo<sup>70a</sup>, G.A. Popeneciu<sup>27d</sup>, D.M. Portillo Quintero<sup>132</sup>, S. Pospisil<sup>138</sup>, K. Potamianos<sup>44</sup>, I.N. Potrap<sup>77</sup>, C.J. Potter<sup>31</sup>, H. Potti<sup>11</sup>, T. Poulsen<sup>94</sup>, J. Poveda<sup>35</sup>, T.D. Powell<sup>146</sup>, M.E. Pozo Astigarraga<sup>35</sup>, P. Pralavorio<sup>99</sup>, S. Prell<sup>76</sup>, D. Price<sup>98</sup>, M. Primavera<sup>65a</sup>, S. Prince<sup>101</sup>, N. Proklova<sup>110</sup>, K. Prokofiev<sup>61c</sup>, F. Prokoshin<sup>144b</sup>, S. Protopopescu<sup>29</sup>, J. Proudfoot<sup>6</sup>, M. Przybycien<sup>81a</sup>, A. Puri<sup>170</sup>, P. Puzo<sup>128</sup>, J. Qian<sup>103</sup>, Y. Qin<sup>98</sup>, A. Quadt<sup>51</sup>, M. Queitsch-Maitland<sup>44</sup>, A. Qureshi<sup>1</sup>, P. Rados<sup>102</sup>, F. Ragusa<sup>66a,66b</sup>, G. Rahal<sup>95</sup>, J.A. Raine<sup>52</sup>, S. Rajagopalan<sup>29</sup>, A. Ramirez Morales<sup>90</sup>, T. Rashid<sup>128</sup>, S. Raspopov<sup>5</sup>, M.G. Ratti<sup>66a,66b</sup>, D.M. Rauch<sup>44</sup>, F. Rauscher<sup>112</sup>, S. Rave<sup>97</sup>, B. Ravina<sup>146</sup>, I. Ravinovich<sup>177</sup>, J.H. Rawling<sup>98</sup>, M. Raymond<sup>35</sup>, A.L. Read<sup>130</sup>, N.P. Readioff<sup>56</sup>, M. Reale<sup>65a,65b</sup>, D.M. Rebuzzi<sup>68a,68b</sup>, A. Redelbach<sup>174</sup>, G. Redlinger<sup>29</sup>, R. Reece<sup>143</sup>, R.G. Reed<sup>32c</sup>, K. Reeves<sup>42</sup>, L. Rehnisch<sup>19</sup>, J. Reichert<sup>133</sup>, A. Reiss<sup>97</sup>, C. Rembser<sup>35</sup>, H. Ren<sup>15d</sup>, M. Rescigno<sup>70a</sup>, S. Resconi<sup>66a</sup>, E.D. Resseguie<sup>133</sup>, S. Rettie<sup>172</sup>, E. Reynolds<sup>21</sup>, O.L. Rezanova<sup>120b,120a</sup>, P. Reznicek<sup>139</sup>, E. Ricci<sup>73a,73b</sup>, R. Richter<sup>113</sup>, S. Richter<sup>92</sup>, E. Richter-Was<sup>81b</sup>, O. Ricken<sup>24</sup>, M. Ridel<sup>132</sup>, P. Rieck<sup>113</sup>, C.J. Riegel<sup>179</sup>, O. Rifki<sup>44</sup>, M. Rijssenbeek<sup>152</sup>, A. Rimoldi<sup>68a,68b</sup>, M. Rimoldi<sup>20</sup>,

L. Rinaldi<sup>23b</sup>, G. Ripellino<sup>151</sup>, B. Ristić<sup>87</sup>, E. Ritsch<sup>35</sup>, I. Riu<sup>14</sup>, J.C. Rivera Vergara<sup>144a</sup>,  
 F. Rizatdinova<sup>125</sup>, E. Rizvi<sup>90</sup>, C. Rizzi<sup>14</sup>, R.T. Roberts<sup>98</sup>, S.H. Robertson<sup>101,ab</sup>, D. Robinson<sup>31</sup>,  
 J.E.M. Robinson<sup>44</sup>, A. Robson<sup>55</sup>, E. Rocco<sup>97</sup>, C. Roda<sup>69a,69b</sup>, Y. Rodina<sup>99</sup>, S. Rodriguez Bosca<sup>171</sup>,  
 A. Rodriguez Perez<sup>14</sup>, D. Rodriguez Rodriguez<sup>171</sup>, A.M. Rodríguez Vera<sup>165b</sup>, S. Roe<sup>35</sup>,  
 C.S. Rogan<sup>57</sup>, O. Røhne<sup>130</sup>, R. Röhrig<sup>113</sup>, C.P.A. Roland<sup>63</sup>, J. Roloff<sup>57</sup>, A. Romaniouk<sup>110</sup>,  
 M. Romano<sup>23b,23a</sup>, N. Ropotis<sup>88</sup>, M. Ronzani<sup>121</sup>, L. Roos<sup>132</sup>, S. Rosati<sup>70a</sup>, K. Rosbach<sup>50</sup>,  
 P. Rose<sup>143</sup>, N-A. Rosien<sup>51</sup>, E. Rossi<sup>44</sup>, E. Rossi<sup>67a,67b</sup>, L.P. Rossi<sup>53b</sup>, L. Rossini<sup>66a,66b</sup>,  
 J.H.N. Rosten<sup>31</sup>, R. Rosten<sup>14</sup>, M. Rotaru<sup>27b</sup>, J. Rothberg<sup>145</sup>, D. Rousseau<sup>128</sup>, D. Roy<sup>32c</sup>,  
 A. Rozanov<sup>99</sup>, Y. Rozen<sup>157</sup>, X. Ruan<sup>32c</sup>, F. Rubbo<sup>150</sup>, F. Rühr<sup>50</sup>, A. Ruiz-Martinez<sup>171</sup>,  
 Z. Rurikova<sup>50</sup>, N.A. Rusakovich<sup>77</sup>, H.L. Russell<sup>101</sup>, J.P. Rutherford<sup>7</sup>, E.M. Rüttinger<sup>44,k</sup>,  
 Y.F. Ryabov<sup>134</sup>, M. Rybar<sup>170</sup>, G. Rybkin<sup>128</sup>, S. Ryu<sup>6</sup>, A. Ryzhov<sup>140</sup>, G.F. Rzehorz<sup>51</sup>, P. Sabatini<sup>51</sup>,  
 G. Sabato<sup>118</sup>, S. Sacerdoti<sup>128</sup>, H.F-W. Sadrozinski<sup>143</sup>, R. Sadykov<sup>77</sup>, F. Safai Tehrani<sup>70a</sup>,  
 P. Saha<sup>119</sup>, M. Sahinsoy<sup>59a</sup>, A. Sahu<sup>179</sup>, M. Saimpert<sup>44</sup>, M. Saito<sup>160</sup>, T. Saito<sup>160</sup>, H. Sakamoto<sup>160</sup>,  
 A. Sakharov<sup>121,ah</sup>, D. Salamani<sup>52</sup>, G. Salamanna<sup>72a,72b</sup>, J.E. Salazar Loyola<sup>144b</sup>, D. Salek<sup>118</sup>,  
 P.H. Sales De Bruin<sup>169</sup>, D. Salihagic<sup>113</sup>, A. Salnikov<sup>150</sup>, J. Salt<sup>171</sup>, D. Salvatore<sup>40b,40a</sup>,  
 F. Salvatore<sup>153</sup>, A. Salvucci<sup>61a,61b,61c</sup>, A. Salzburger<sup>35</sup>, J. Samarati<sup>35</sup>, D. Sammel<sup>50</sup>,  
 D. Sampsonidis<sup>159</sup>, D. Sampsonidou<sup>159</sup>, J. Sánchez<sup>171</sup>, A. Sanchez Pineda<sup>64a,64c</sup>, H. Sandaker<sup>130</sup>,  
 C.O. Sander<sup>44</sup>, M. Sandhoff<sup>179</sup>, C. Sandoval<sup>22</sup>, D.P.C. Sankey<sup>141</sup>, M. Sannino<sup>53b,53a</sup>, Y. Sano<sup>115</sup>,  
 A. Sansoni<sup>49</sup>, C. Santoni<sup>37</sup>, H. Santos<sup>136a</sup>, I. Santoyo Castillo<sup>153</sup>, A. Santra<sup>171</sup>, A. Saponov<sup>77</sup>,  
 J.G. Saraiva<sup>136a,136d</sup>, O. Sasaki<sup>79</sup>, K. Sato<sup>166</sup>, E. Sauvan<sup>5</sup>, P. Savard<sup>164,aq</sup>, N. Savic<sup>113</sup>,  
 R. Sawada<sup>160</sup>, C. Sawyer<sup>141</sup>, L. Sawyer<sup>93,ag</sup>, C. Sbarra<sup>23b</sup>, A. Sbrizzi<sup>23b,23a</sup>, T. Scanlon<sup>92</sup>,  
 J. Schaarschmidt<sup>145</sup>, P. Schacht<sup>113</sup>, B.M. Schachtner<sup>112</sup>, D. Schaefer<sup>36</sup>, L. Schaefer<sup>133</sup>,  
 J. Schaeffer<sup>97</sup>, S. Schaepe<sup>35</sup>, U. Schäfer<sup>97</sup>, A.C. Schaffer<sup>128</sup>, D. Schaile<sup>112</sup>, R.D. Schamberger<sup>152</sup>,  
 N. Scharmberg<sup>98</sup>, V.A. Schegelsky<sup>134</sup>, D. Scheirich<sup>139</sup>, F. Schenck<sup>19</sup>, M. Schernau<sup>168</sup>,  
 C. Schiavi<sup>53b,53a</sup>, S. Schier<sup>143</sup>, L.K. Schildgen<sup>24</sup>, Z.M. Schillaci<sup>26</sup>, E.J. Schioppa<sup>35</sup>,  
 M. Schioppa<sup>40b,40a</sup>, K.E. Schleicher<sup>50</sup>, S. Schlenker<sup>35</sup>, K.R. Schmidt-Sommerfeld<sup>113</sup>,  
 K. Schmieden<sup>35</sup>, C. Schmitt<sup>97</sup>, S. Schmitt<sup>44</sup>, S. Schmitz<sup>97</sup>, J.C. Schmoeckel<sup>44</sup>, U. Schnoor<sup>50</sup>,  
 L. Schoeffel<sup>142</sup>, A. Schoening<sup>59b</sup>, E. Schopf<sup>24</sup>, M. Schott<sup>97</sup>, J.F.P. Schouwenberg<sup>117</sup>,  
 J. Schovancova<sup>35</sup>, S. Schramm<sup>52</sup>, A. Schulte<sup>97</sup>, H-C. Schultz-Coulon<sup>59a</sup>, M. Schumacher<sup>50</sup>,  
 B.A. Schumm<sup>143</sup>, Ph. Schune<sup>142</sup>, A. Schwartzman<sup>150</sup>, T.A. Schwarz<sup>103</sup>, H. Schweiger<sup>98</sup>,  
 Ph. Schwemling<sup>142</sup>, R. Schwienhorst<sup>104</sup>, A. Sciandra<sup>24</sup>, G. Sciolla<sup>26</sup>, M. Scornajenghi<sup>40b,40a</sup>,  
 F. Scuri<sup>69a</sup>, F. Scutti<sup>102</sup>, L.M. Scyboz<sup>113</sup>, J. Searcy<sup>103</sup>, C.D. Sebastiani<sup>70a,70b</sup>, P. Seema<sup>24</sup>,  
 S.C. Seidel<sup>116</sup>, A. Seiden<sup>143</sup>, T. Seiss<sup>36</sup>, J.M. Seixas<sup>78b</sup>, G. Sekhniaidze<sup>67a</sup>, K. Sekhon<sup>103</sup>,  
 S.J. Sekula<sup>41</sup>, N. Semprini-Cesari<sup>23b,23a</sup>, S. Sen<sup>47</sup>, S. Senkin<sup>37</sup>, C. Serfon<sup>130</sup>, L. Serin<sup>128</sup>,  
 L. Serkin<sup>64a,64b</sup>, M. Sessa<sup>72a,72b</sup>, H. Severini<sup>124</sup>, F. Sforza<sup>167</sup>, A. Sfyrila<sup>52</sup>, E. Shabalina<sup>51</sup>,  
 J.D. Shahinian<sup>143</sup>, N.W. Shaikh<sup>43a,43b</sup>, L.Y. Shan<sup>15a</sup>, R. Shang<sup>170</sup>, J.T. Shank<sup>25</sup>, M. Shapiro<sup>18</sup>,  
 A.S. Sharma<sup>1</sup>, A. Sharma<sup>131</sup>, P.B. Shatalov<sup>109</sup>, K. Shaw<sup>153</sup>, S.M. Shaw<sup>98</sup>, A. Shcherbakova<sup>134</sup>,  
 Y. Shen<sup>124</sup>, N. Sherafati<sup>33</sup>, A.D. Sherman<sup>25</sup>, P. Sherwood<sup>92</sup>, L. Shi<sup>155,am</sup>, S. Shimizu<sup>79</sup>,  
 C.O. Shimmin<sup>180</sup>, M. Shimojima<sup>114</sup>, I.P.J. Shipsey<sup>131</sup>, S. Shirabe<sup>85</sup>, M. Shiyakova<sup>77</sup>, J. Shlomi<sup>177</sup>,  
 A. Shmeleva<sup>108</sup>, D. Shoaleh Saadi<sup>107</sup>, M.J. Shochet<sup>36</sup>, S. Shojaii<sup>102</sup>, D.R. Shope<sup>124</sup>,  
 S. Shrestha<sup>122</sup>, E. Shulga<sup>110</sup>, P. Sicho<sup>137</sup>, A.M. Sickles<sup>170</sup>, P.E. Sidebo<sup>151</sup>, E. Sideras Haddad<sup>32c</sup>,



O. Sidiropoulou<sup>35</sup>, A. Sidoti<sup>23b,23a</sup>, F. Siegert<sup>46</sup>, Dj. Sijacki<sup>16</sup>, J. Silva<sup>136a</sup>, M. Silva Jr.<sup>178</sup>, M.V. Silva Oliveira<sup>78a</sup>, S.B. Silverstein<sup>43a</sup>, L. Simic<sup>77</sup>, S. Simion<sup>128</sup>, E. Simioni<sup>97</sup>, M. Simon<sup>97</sup>, R. Simoniello<sup>97</sup>, P. Sinervo<sup>164</sup>, N.B. Sinev<sup>127</sup>, M. Sioli<sup>23b,23a</sup>, G. Siragusa<sup>174</sup>, I. Siral<sup>103</sup>, S.Yu. Sivoklov<sup>111</sup>, J. Sjölin<sup>43a,43b</sup>, P. Skubic<sup>124</sup>, M. Slater<sup>21</sup>, T. Slavicek<sup>138</sup>, M. Slawinska<sup>82</sup>, K. Sliwa<sup>167</sup>, R. Slovak<sup>139</sup>, V. Smakhtin<sup>177</sup>, B.H. Smart<sup>5</sup>, J. Smiesko<sup>28a</sup>, N. Smirnov<sup>110</sup>, S.Yu. Smirnov<sup>110</sup>, Y. Smirnov<sup>110</sup>, L.N. Smirnova<sup>111</sup>, O. Smirnova<sup>94</sup>, J.W. Smith<sup>51</sup>, M.N.K. Smith<sup>38</sup>, M. Smizanska<sup>87</sup>, K. Smolek<sup>138</sup>, A. Smykiewicz<sup>82</sup>, A.A. Snesev<sup>108</sup>, I.M. Snyder<sup>127</sup>, S. Snyder<sup>29</sup>, R. Sobie<sup>173,ab</sup>, A.M. Soffa<sup>168</sup>, A. Soffer<sup>158</sup>, A. Sogaard<sup>48</sup>, D.A. Soh<sup>155</sup>, G. Sokhrannyi<sup>89</sup>, C.A. Solans Sanchez<sup>35</sup>, M. Solar<sup>138</sup>, E.Yu. Soldatov<sup>110</sup>, U. Soldevila<sup>171</sup>, A.A. Solodkov<sup>140</sup>, A. Soloshenko<sup>77</sup>, O.V. Solovyanov<sup>140</sup>, V. Solovyev<sup>134</sup>, P. Sommer<sup>146</sup>, H. Son<sup>167</sup>, W. Song<sup>141</sup>, W.Y. Song<sup>165b</sup>, A. Sopczak<sup>138</sup>, F. Sopkova<sup>28b</sup>, D. Sosa<sup>59b</sup>, C.L. Sotiropoulou<sup>69a,69b</sup>, S. Sottocornola<sup>68a,68b</sup>, R. Soualah<sup>64a,64c,h</sup>, A.M. Soukharev<sup>120b,120a</sup>, D. South<sup>44</sup>, B.C. Sowden<sup>91</sup>, S. Spagnolo<sup>65a,65b</sup>, M. Spalla<sup>113</sup>, M. Spangenberg<sup>175</sup>, F. Spanò<sup>91</sup>, D. Sperlich<sup>19</sup>, F. Spettel<sup>113</sup>, T.M. Spieker<sup>59a</sup>, R. Spighi<sup>23b</sup>, G. Spigo<sup>35</sup>, L.A. Spiller<sup>102</sup>, D.P. Spiteri<sup>55</sup>, M. Spousta<sup>139</sup>, A. Stabile<sup>66a,66b</sup>, R. Stamen<sup>59a</sup>, S. Stamm<sup>19</sup>, E. Stanecka<sup>82</sup>, R.W. Stanek<sup>6</sup>, C. Stanescu<sup>72a</sup>, B. Stanislaus<sup>131</sup>, M.M. Stanitzki<sup>44</sup>, B.S. Stapf<sup>118</sup>, S. Stapnes<sup>130</sup>, E.A. Starchenko<sup>140</sup>, G.H. Stark<sup>36</sup>, J. Stark<sup>56</sup>, S.H. Stark<sup>39</sup>, P. Staroba<sup>137</sup>, P. Starovoitov<sup>59a</sup>, S. Stärz<sup>35</sup>, R. Staszewski<sup>82</sup>, M. Stegler<sup>44</sup>, P. Steinberg<sup>29</sup>, B. Stelzer<sup>149</sup>, H.J. Stelzer<sup>35</sup>, O. Stelzer-Chilton<sup>165a</sup>, H. Stenzel<sup>54</sup>, T.J. Stevenson<sup>90</sup>, G.A. Stewart<sup>55</sup>, M.C. Stockton<sup>127</sup>, G. Stoicea<sup>27b</sup>, P. Stolte<sup>51</sup>, S. Stonjek<sup>113</sup>, A. Straessner<sup>46</sup>, J. Strandberg<sup>151</sup>, S. Strandberg<sup>43a,43b</sup>, M. Strauss<sup>124</sup>, P. Strizenec<sup>28b</sup>, R. Ströhmer<sup>174</sup>, D.M. Strom<sup>127</sup>, R. Stroynowski<sup>41</sup>, A. Strubig<sup>48</sup>, S.A. Stucci<sup>29</sup>, B. Stugu<sup>17</sup>, J. Stupak<sup>124</sup>, N.A. Styles<sup>44</sup>, D. Su<sup>150</sup>, J. Su<sup>135</sup>, S. Suchek<sup>59a</sup>, Y. Sugaya<sup>129</sup>, M. Suk<sup>138</sup>, V.V. Sulin<sup>108</sup>, D.M.S. Sultan<sup>52</sup>, S. Sultansoy<sup>4c</sup>, T. Sumida<sup>83</sup>, S. Sun<sup>103</sup>, X. Sun<sup>3</sup>, K. Suruliz<sup>153</sup>, C.J.E. Suster<sup>154</sup>, M.R. Sutton<sup>153</sup>, S. Suzuki<sup>79</sup>, M. Svatos<sup>137</sup>, M. Swiatlowski<sup>36</sup>, S.P. Swift<sup>2</sup>, A. Sydorenko<sup>97</sup>, I. Sykora<sup>28a</sup>, T. Sykora<sup>139</sup>, D. Ta<sup>97</sup>, K. Tackmann<sup>44,y</sup>, J. Taenzer<sup>158</sup>, A. Taffard<sup>168</sup>, R. Tafirout<sup>165a</sup>, E. Tahirovic<sup>90</sup>, N. Taiblum<sup>158</sup>, H. Takai<sup>29</sup>, R. Takashima<sup>84</sup>, E.H. Takasugi<sup>113</sup>, K. Takeda<sup>80</sup>, T. Takeshita<sup>147</sup>, Y. Takubo<sup>79</sup>, M. Talby<sup>99</sup>, A.A. Talyshev<sup>120b,120a</sup>, J. Tanaka<sup>160</sup>, M. Tanaka<sup>162</sup>, R. Tanaka<sup>128</sup>, B.B. Tannenwald<sup>122</sup>, S. Tapia Araya<sup>144b</sup>, S. Tapprogge<sup>97</sup>, A. Tarek Abouelfadl Mohamed<sup>132</sup>, S. Tarem<sup>157</sup>, G. Tarna<sup>27b,d</sup>, G.F. Tartarelli<sup>66a</sup>, P. Tas<sup>139</sup>, M. Tasevsky<sup>137</sup>, T. Tashiro<sup>83</sup>, E. Tassi<sup>40b,40a</sup>, A. Tavares Delgado<sup>136a,136b</sup>, Y. Tayalati<sup>34e</sup>, A.C. Taylor<sup>116</sup>, A.J. Taylor<sup>48</sup>, G.N. Taylor<sup>102</sup>, P.T.E. Taylor<sup>102</sup>, W. Taylor<sup>165b</sup>, A.S. Tee<sup>87</sup>, P. Teixeira-Dias<sup>91</sup>, H. Ten Kate<sup>35</sup>, P.K. Teng<sup>155</sup>, J.J. Teoh<sup>118</sup>, F. Tepel<sup>179</sup>, S. Terada<sup>79</sup>, K. Terashi<sup>160</sup>, J. Terron<sup>96</sup>, S. Terzo<sup>14</sup>, M. Testa<sup>49</sup>, R.J. Teuscher<sup>164,ab</sup>, S.J. Thais<sup>180</sup>, T. Theveneaux-Pelzer<sup>44</sup>, F. Thiele<sup>39</sup>, D.W. Thomas<sup>91</sup>, J.P. Thomas<sup>21</sup>, A.S. Thompson<sup>55</sup>, P.D. Thompson<sup>21</sup>, L.A. Thomsen<sup>180</sup>, E. Thomson<sup>133</sup>, Y. Tian<sup>38</sup>, R.E. Ticse Torres<sup>51</sup>, V.O. Tikhomirov<sup>108,aj</sup>, Yu.A. Tikhonov<sup>120b,120a</sup>, S. Timoshenko<sup>110</sup>, P. Tipton<sup>180</sup>, S. Tisserant<sup>99</sup>, K. Todome<sup>162</sup>, S. Todorova-Nova<sup>5</sup>, S. Todt<sup>46</sup>, J. Tojo<sup>85</sup>, S. Tokár<sup>28a</sup>, K. Tokushuku<sup>79</sup>, E. Tolley<sup>122</sup>, K.G. Tomiwa<sup>32c</sup>, M. Tomoto<sup>115</sup>, L. Tompkins<sup>150</sup>, K. Toms<sup>116</sup>, B. Tong<sup>57</sup>, P. Tornambe<sup>50</sup>, E. Torrence<sup>127</sup>, H. Torres<sup>46</sup>, E. Torró Pastor<sup>145</sup>, C. Toscirì<sup>131</sup>, J. Toth<sup>99,aa</sup>, F. Touchard<sup>99</sup>, D.R. Tovey<sup>146</sup>, C.J. Treado<sup>121</sup>, T. Trefzger<sup>174</sup>, F. Tresoldi<sup>153</sup>, A. Tricoli<sup>29</sup>, I.M. Trigger<sup>165a</sup>, S. Trincas-Duvoid<sup>132</sup>,

M.F. Tripiiana<sup>14</sup>, W. Trischuk<sup>164</sup>, B. Trocmé<sup>56</sup>, A. Trofymov<sup>128</sup>, C. Troncon<sup>66a</sup>, M. Trovatelli<sup>173</sup>,  
F. Trovato<sup>153</sup>, L. Truong<sup>32b</sup>, M. Trzebinski<sup>82</sup>, A. Trzupek<sup>82</sup>, F. Tsai<sup>44</sup>, J.C.-L. Tseng<sup>131</sup>,  
P.V. Tsiareshka<sup>105</sup>, A. Tsirigotis<sup>159</sup>, N. Tsirintanis<sup>9</sup>, V. Tsiskaridze<sup>152</sup>, E.G. Tskhadadze<sup>156a</sup>,  
I.I. Tsukerman<sup>109</sup>, V. Tsulaia<sup>18</sup>, S. Tsuno<sup>79</sup>, D. Tsybychev<sup>152</sup>, Y. Tu<sup>61b</sup>, A. Tudorache<sup>27b</sup>,  
V. Tudorache<sup>27b</sup>, T.T. Tulbure<sup>27a</sup>, A.N. Tuna<sup>57</sup>, S. Turchikhin<sup>77</sup>, D. Turgeman<sup>177</sup>, I. Turk Cakir<sup>4b,s</sup>,  
R. Turra<sup>66a</sup>, P.M. Tuts<sup>38</sup>, E. Tzovara<sup>97</sup>, G. Uccchielli<sup>23b,23a</sup>, I. Ueda<sup>79</sup>, M. Ughetto<sup>43a,43b</sup>,  
F. Ukegawa<sup>166</sup>, G. Unal<sup>35</sup>, A. Undrus<sup>29</sup>, G. Unel<sup>168</sup>, F.C. Ungaro<sup>102</sup>, Y. Unno<sup>79</sup>, K. Uno<sup>160</sup>,  
J. Urban<sup>28b</sup>, P. Urquijo<sup>102</sup>, P. Urrejola<sup>97</sup>, G. Usai<sup>8</sup>, J. Usui<sup>79</sup>, L. Vacavant<sup>99</sup>, V. Vacek<sup>138</sup>,  
B. Vachon<sup>101</sup>, K.O.H. Vadla<sup>130</sup>, A. Vaidya<sup>92</sup>, C. Valderanis<sup>112</sup>, E. Valdes Santurio<sup>43a,43b</sup>,  
M. Valente<sup>52</sup>, S. Valentineti<sup>23b,23a</sup>, A. Valero<sup>171</sup>, L. Valéry<sup>44</sup>, R.A. Vallance<sup>21</sup>, A. Vallier<sup>5</sup>,  
J.A. Valls Ferrer<sup>171</sup>, T.R. Van Daalen<sup>14</sup>, H. Van der Graaf<sup>118</sup>, P. Van Gemmeren<sup>6</sup>,  
J. Van Nieuwkoop<sup>149</sup>, I. Van Vulpen<sup>118</sup>, M. Vanadia<sup>71a,71b</sup>, W. Vandelli<sup>35</sup>, A. Vaniachine<sup>163</sup>,  
P. Vankov<sup>118</sup>, R. Vari<sup>70a</sup>, E.W. Varnes<sup>7</sup>, C. Varni<sup>53b,53a</sup>, T. Varol<sup>41</sup>, D. Varouchas<sup>128</sup>,  
K.E. Varvell<sup>154</sup>, G.A. Vasquez<sup>144b</sup>, J.G. Vasquez<sup>180</sup>, F. Vazeille<sup>37</sup>, D. Vazquez Furelos<sup>14</sup>,  
T. Vazquez Schroeder<sup>101</sup>, J. Veatch<sup>51</sup>, V. Vecchio<sup>72a,72b</sup>, L.M. Veloce<sup>164</sup>, F. Veloso<sup>136a,136c</sup>,  
S. Veneziano<sup>70a</sup>, A. Ventura<sup>65a,65b</sup>, M. Venturi<sup>173</sup>, N. Venturi<sup>35</sup>, V. Vercesi<sup>68a</sup>, M. Verducci<sup>72a,72b</sup>,  
C.M. Vergel Infante<sup>76</sup>, C. Vergis<sup>24</sup>, W. Verkerke<sup>118</sup>, A.T. Vermeulen<sup>118</sup>, J.C. Vermeulen<sup>118</sup>,  
M.C. Vetterli<sup>149,aq</sup>, N. Viaux Maira<sup>144b</sup>, M. Vicente Barreto Pinto<sup>52</sup>, I. Vichou<sup>170,\*</sup>, T. Vickey<sup>146</sup>,  
O.E. Vickey Boeriu<sup>146</sup>, G.H.A. Viehhauser<sup>131</sup>, S. Viel<sup>18</sup>, L. Vigani<sup>131</sup>, M. Villa<sup>23b,23a</sup>,  
M. Villaplana Perez<sup>66a,66b</sup>, E. Vilucchi<sup>49</sup>, M.G. Vinciter<sup>33</sup>, V.B. Vinogradov<sup>77</sup>, A. Vishwakarma<sup>44</sup>,  
C. Vittori<sup>23b,23a</sup>, I. Vivarelli<sup>153</sup>, S. Vlachos<sup>10</sup>, M. Vogel<sup>179</sup>, P. Vokac<sup>138</sup>, G. Volpi<sup>14</sup>,  
S.E. Von Buddenbrock<sup>32c</sup>, E. Von Toerne<sup>24</sup>, V. Vorobel<sup>139</sup>, K. Vorobev<sup>110</sup>, M. Vos<sup>171</sup>,  
J.H. Vossebeld<sup>88</sup>, N. Vranjes<sup>16</sup>, M. Vranjes Milosavljevic<sup>16</sup>, V. Vrba<sup>138</sup>, M. Vreeswijk<sup>118</sup>,  
T. Šfiligoj<sup>89</sup>, R. Vuillermet<sup>35</sup>, I. Vukotic<sup>36</sup>, T. Ženiš<sup>28a</sup>, L. Živković<sup>16</sup>, P. Wagner<sup>24</sup>, W. Wagner<sup>179</sup>,  
J. Wagner-Kuhr<sup>112</sup>, H. Wahlberg<sup>86</sup>, S. Wahrmund<sup>46</sup>, K. Wakamiya<sup>80</sup>, V.M. Walbrecht<sup>113</sup>,  
J. Walder<sup>87</sup>, R. Walker<sup>112</sup>, S.D. Walker<sup>91</sup>, W. Walkowiak<sup>148</sup>, V. Wallangen<sup>43a,43b</sup>, A.M. Wang<sup>57</sup>,  
C. Wang<sup>58b,d</sup>, F. Wang<sup>178</sup>, H. Wang<sup>18</sup>, H. Wang<sup>3</sup>, J. Wang<sup>154</sup>, J. Wang<sup>59b</sup>, P. Wang<sup>41</sup>, Q. Wang<sup>124</sup>,  
R.-J. Wang<sup>132</sup>, R. Wang<sup>58a</sup>, R. Wang<sup>6</sup>, S.M. Wang<sup>155</sup>, W.T. Wang<sup>58a</sup>, W. Wang<sup>15b,ac</sup>,  
W.X. Wang<sup>58a,ac</sup>, Y. Wang<sup>58a</sup>, Z. Wang<sup>58c</sup>, C. Wanotayaroj<sup>44</sup>, A. Warburton<sup>101</sup>, C.P. Ward<sup>31</sup>,  
D.R. Wardrope<sup>92</sup>, A. Washbrook<sup>48</sup>, P.M. Watkins<sup>21</sup>, A.T. Watson<sup>21</sup>, M.F. Watson<sup>21</sup>, G. Watts<sup>145</sup>,  
S. Watts<sup>98</sup>, B.M. Waugh<sup>92</sup>, A.F. Webb<sup>11</sup>, S. Webb<sup>97</sup>, C. Weber<sup>180</sup>, M.S. Weber<sup>20</sup>, S.A. Weber<sup>33</sup>,  
S.M. Weber<sup>59a</sup>, A.R. Weidberg<sup>131</sup>, B. Weinert<sup>63</sup>, J. Weingarten<sup>51</sup>, M. Weirich<sup>97</sup>, C. Weiser<sup>50</sup>,  
P.S. Wells<sup>35</sup>, T. Wenaus<sup>29</sup>, T. Wengler<sup>35</sup>, S. Wenig<sup>35</sup>, N. Wermes<sup>24</sup>, M.D. Werner<sup>76</sup>, P. Werner<sup>35</sup>,  
M. Wessels<sup>59a</sup>, T.D. Weston<sup>20</sup>, K. Whalen<sup>127</sup>, N.L. Whallon<sup>145</sup>, A.M. Wharton<sup>87</sup>, A.S. White<sup>103</sup>,  
A. White<sup>8</sup>, M.J. White<sup>1</sup>, R. White<sup>144b</sup>, D. Whiteson<sup>168</sup>, B.W. Whitmore<sup>87</sup>, F.J. Wickens<sup>141</sup>,  
W. Wiedenmann<sup>178</sup>, M. Wielers<sup>141</sup>, C. Wiglesworth<sup>39</sup>, L.A.M. Wiik-Fuchs<sup>50</sup>, A. Wildauer<sup>113</sup>,  
F. Wilk<sup>98</sup>, H.G. Wilkens<sup>35</sup>, L.J. Wilkins<sup>91</sup>, H.H. Williams<sup>133</sup>, S. Williams<sup>31</sup>, C. Willis<sup>104</sup>,  
S. Willocq<sup>100</sup>, J.A. Wilson<sup>21</sup>, I. Wingerter-Seez<sup>5</sup>, E. Winkels<sup>153</sup>, F. Winklmeier<sup>127</sup>,  
O.J. Winston<sup>153</sup>, B.T. Winter<sup>24</sup>, M. Wittgen<sup>150</sup>, M. Wobisch<sup>93</sup>, A. Wolf<sup>97</sup>, T.M.H. Wolf<sup>118</sup>,  
R. Wolff<sup>99</sup>, M.W. Wolter<sup>82</sup>, H. Wolters<sup>136a,136c</sup>, V.W.S. Wong<sup>172</sup>, N.L. Woods<sup>143</sup>, S.D. Worm<sup>21</sup>,  
B.K. Wosiek<sup>82</sup>, K.W. Woźniak<sup>82</sup>, K. Wraight<sup>55</sup>, M. Wu<sup>36</sup>, S.L. Wu<sup>178</sup>, X. Wu<sup>52</sup>, Y. Wu<sup>58a</sup>,

T.R. Wyatt<sup>98</sup>, B.M. Wynne<sup>48</sup>, S. Xella<sup>39</sup>, Z. Xi<sup>103</sup>, L. Xia<sup>175</sup>, D. Xu<sup>15a</sup>, H. Xu<sup>58a</sup>, L. Xu<sup>29</sup>,  
T. Xu<sup>142</sup>, W. Xu<sup>103</sup>, B. Yabsley<sup>154</sup>, S. Yacoob<sup>32a</sup>, K. Yajima<sup>129</sup>, D.P. Yallup<sup>92</sup>, D. Yamaguchi<sup>162</sup>,  
Y. Yamaguchi<sup>162</sup>, A. Yamamoto<sup>79</sup>, T. Yamanaka<sup>160</sup>, F. Yamane<sup>80</sup>, M. Yamatani<sup>160</sup>,  
T. Yamazaki<sup>160</sup>, Y. Yamazaki<sup>80</sup>, Z. Yan<sup>25</sup>, H.J. Yang<sup>58c,58d</sup>, H.T. Yang<sup>18</sup>, S. Yang<sup>75</sup>, Y. Yang<sup>160</sup>,  
Z. Yang<sup>17</sup>, W.-M. Yao<sup>18</sup>, Y.C. Yap<sup>44</sup>, Y. Yasu<sup>79</sup>, E. Yatsenko<sup>58c,58d</sup>, J. Ye<sup>41</sup>, S. Ye<sup>29</sup>, I. Yeletsikh<sup>77</sup>,  
E. Yigitbasi<sup>25</sup>, E. Yildirim<sup>97</sup>, K. Yorita<sup>176</sup>, K. Yoshihara<sup>133</sup>, C.J.S. Young<sup>35</sup>, C. Young<sup>150</sup>, J. Yu<sup>8</sup>,  
J. Yu<sup>76</sup>, X. Yue<sup>59a</sup>, S.P.Y. Yuen<sup>24</sup>, B. Zabinski<sup>82</sup>, G. Zacharis<sup>10</sup>, E. Zaffaroni<sup>52</sup>, R. Zaidan<sup>14</sup>,  
A.M. Zaitsev<sup>140,ai</sup>, T. Zakareishvili<sup>156b</sup>, N. Zakharchuk<sup>44</sup>, J. Zalieckas<sup>17</sup>, S. Zambito<sup>57</sup>, D. Zanzi<sup>35</sup>,  
D.R. Zaripovas<sup>55</sup>, S.V. Zeißner<sup>45</sup>, C. Zeitnitz<sup>179</sup>, G. Zemaityte<sup>131</sup>, J.C. Zeng<sup>170</sup>, Q. Zeng<sup>150</sup>,  
O. Zenin<sup>140</sup>, D. Zerwas<sup>128</sup>, M. Zgubić<sup>131</sup>, D.F. Zhang<sup>58b</sup>, D. Zhang<sup>103</sup>, F. Zhang<sup>178</sup>, G. Zhang<sup>58a</sup>,  
H. Zhang<sup>15b</sup>, J. Zhang<sup>6</sup>, L. Zhang<sup>15b</sup>, L. Zhang<sup>58a</sup>, M. Zhang<sup>170</sup>, P. Zhang<sup>15b</sup>, R. Zhang<sup>58a</sup>,  
R. Zhang<sup>24</sup>, X. Zhang<sup>58b</sup>, Y. Zhang<sup>15d</sup>, Z. Zhang<sup>128</sup>, X. Zhao<sup>41</sup>, Y. Zhao<sup>58b,128,af</sup>, Z. Zhao<sup>58a</sup>,  
A. Zhemchugov<sup>77</sup>, B. Zhou<sup>103</sup>, C. Zhou<sup>178</sup>, L. Zhou<sup>41</sup>, M.S. Zhou<sup>15d</sup>, M. Zhou<sup>152</sup>, N. Zhou<sup>58c</sup>,  
Y. Zhou<sup>7</sup>, C.G. Zhu<sup>58b</sup>, H.L. Zhu<sup>58a</sup>, H. Zhu<sup>15a</sup>, J. Zhu<sup>103</sup>, Y. Zhu<sup>58a</sup>, X. Zhuang<sup>15a</sup>, K. Zhukov<sup>108</sup>,  
V. Zhulanov<sup>120b,120a</sup>, A. Zibell<sup>174</sup>, D. Zieminska<sup>63</sup>, N.I. Zimine<sup>77</sup>, S. Zimmermann<sup>50</sup>,  
Z. Zinonos<sup>113</sup>, M. Zinser<sup>97</sup>, M. Ziolkowski<sup>148</sup>, G. Zobernig<sup>178</sup>, A. Zoccoli<sup>23b,23a</sup>, K. Zoch<sup>51</sup>,  
T.G. Zorbas<sup>146</sup>, R. Zou<sup>36</sup>, M. Zur Nedden<sup>19</sup>, L. Zwalinski<sup>35</sup>.

<sup>1</sup>Department of Physics, University of Adelaide, Adelaide; Australia.

<sup>2</sup>Physics Department, SUNY Albany, Albany NY; United States of America.

<sup>3</sup>Department of Physics, University of Alberta, Edmonton AB; Canada.

<sup>4(a)</sup>Department of Physics, Ankara University, Ankara;<sup>(b)</sup>Istanbul Aydin University,

Istanbul;<sup>(c)</sup>Division of Physics, TOBB University of Economics and Technology, Ankara; Turkey.

<sup>5</sup>LAPP, Université Grenoble Alpes, Université Savoie Mont Blanc, CNRS/IN2P3, Annecy; France.

<sup>6</sup>High Energy Physics Division, Argonne National Laboratory, Argonne IL; United States of America.

<sup>7</sup>Department of Physics, University of Arizona, Tucson AZ; United States of America.

<sup>8</sup>Department of Physics, University of Texas at Arlington, Arlington TX; United States of America.

<sup>9</sup>Physics Department, National and Kapodistrian University of Athens, Athens; Greece.

<sup>10</sup>Physics Department, National Technical University of Athens, Zografou; Greece.

<sup>11</sup>Department of Physics, University of Texas at Austin, Austin TX; United States of America.

<sup>12(a)</sup>Bahcesehir University, Faculty of Engineering and Natural Sciences, Istanbul;<sup>(b)</sup>Istanbul Bilgi

University, Faculty of Engineering and Natural Sciences, Istanbul;<sup>(c)</sup>Department of Physics,

Bogazici University, Istanbul;<sup>(d)</sup>Department of Physics Engineering, Gaziantep University, Gaziantep; Turkey.

<sup>13</sup>Institute of Physics, Azerbaijan Academy of Sciences, Baku; Azerbaijan.

<sup>14</sup>Institut de Física d'Altes Energies (IFAE), Barcelona Institute of Science and Technology, Barcelona; Spain.

<sup>15(a)</sup>Institute of High Energy Physics, Chinese Academy of Sciences, Beijing;<sup>(b)</sup>Department of Physics, Nanjing University, Nanjing;<sup>(c)</sup>Physics Department, Tsinghua University, Beijing;<sup>(d)</sup>University of Chinese Academy of Science (UCAS), Beijing; China.

- <sup>16</sup>Institute of Physics, University of Belgrade, Belgrade; Serbia.
- <sup>17</sup>Department for Physics and Technology, University of Bergen, Bergen; Norway.
- <sup>18</sup>Physics Division, Lawrence Berkeley National Laboratory and University of California, Berkeley CA; United States of America.
- <sup>19</sup>Institut für Physik, Humboldt Universität zu Berlin, Berlin; Germany.
- <sup>20</sup>Albert Einstein Center for Fundamental Physics and Laboratory for High Energy Physics, University of Bern, Bern; Switzerland.
- <sup>21</sup>School of Physics and Astronomy, University of Birmingham, Birmingham; United Kingdom.
- <sup>22</sup>Centro de Investigaciones, Universidad Antonio Nariño, Bogota; Colombia.
- <sup>23(a)</sup>Dipartimento di Fisica e Astronomia, Università di Bologna, Bologna;<sup>(b)</sup>INFN Sezione di Bologna; Italy.
- <sup>24</sup>Physikalisches Institut, Universität Bonn, Bonn; Germany.
- <sup>25</sup>Department of Physics, Boston University, Boston MA; United States of America.
- <sup>26</sup>Department of Physics, Brandeis University, Waltham MA; United States of America.
- <sup>27(a)</sup>Transilvania University of Brasov, Brasov;<sup>(b)</sup>Horia Hulubei National Institute of Physics and Nuclear Engineering, Bucharest;<sup>(c)</sup>Department of Physics, Alexandru Ioan Cuza University of Iasi, Iasi;<sup>(d)</sup>National Institute for Research and Development of Isotopic and Molecular Technologies, Physics Department, Cluj-Napoca;<sup>(e)</sup>University Politehnica Bucharest, Bucharest;<sup>(f)</sup>West University in Timisoara, Timisoara; Romania.
- <sup>28(a)</sup>Faculty of Mathematics, Physics and Informatics, Comenius University, Bratislava;<sup>(b)</sup>Department of Subnuclear Physics, Institute of Experimental Physics of the Slovak Academy of Sciences, Kosice; Slovak Republic.
- <sup>29</sup>Physics Department, Brookhaven National Laboratory, Upton NY; United States of America.
- <sup>30</sup>Departamento de Física, Universidad de Buenos Aires, Buenos Aires; Argentina.
- <sup>31</sup>Cavendish Laboratory, University of Cambridge, Cambridge; United Kingdom.
- <sup>32(a)</sup>Department of Physics, University of Cape Town, Cape Town;<sup>(b)</sup>Department of Mechanical Engineering Science, University of Johannesburg, Johannesburg;<sup>(c)</sup>School of Physics, University of the Witwatersrand, Johannesburg; South Africa.
- <sup>33</sup>Department of Physics, Carleton University, Ottawa ON; Canada.
- <sup>34(a)</sup>Faculté des Sciences Ain Chock, Réseau Universitaire de Physique des Hautes Energies - Université Hassan II, Casablanca;<sup>(b)</sup>Centre National de l'Energie des Sciences Techniques Nucleaires (CNESTEN), Rabat;<sup>(c)</sup>Faculté des Sciences Semailia, Université Cadi Ayyad, LPHEA-Marrakech;<sup>(d)</sup>Faculté des Sciences, Université Mohamed Premier and LPTPM, Oujda;<sup>(e)</sup>Faculté des sciences, Université Mohammed V, Rabat; Morocco.
- <sup>35</sup>CERN, Geneva; Switzerland.
- <sup>36</sup>Enrico Fermi Institute, University of Chicago, Chicago IL; United States of America.
- <sup>37</sup>LPC, Université Clermont Auvergne, CNRS/IN2P3, Clermont-Ferrand; France.
- <sup>38</sup>Nevis Laboratory, Columbia University, Irvington NY; United States of America.
- <sup>39</sup>Niels Bohr Institute, University of Copenhagen, Copenhagen; Denmark.
- <sup>40(a)</sup>Dipartimento di Fisica, Università della Calabria, Rende;<sup>(b)</sup>INFN Gruppo Collegato di Cosenza, Laboratori Nazionali di Frascati; Italy.

- <sup>41</sup>Physics Department, Southern Methodist University, Dallas TX; United States of America.
- <sup>42</sup>Physics Department, University of Texas at Dallas, Richardson TX; United States of America.
- <sup>43</sup>(<sup>a</sup>)Department of Physics, Stockholm University; (<sup>b</sup>)Oskar Klein Centre, Stockholm; Sweden.
- <sup>44</sup>Deutsches Elektronen-Synchrotron DESY, Hamburg and Zeuthen; Germany.
- <sup>45</sup>Lehrstuhl für Experimentelle Physik IV, Technische Universität Dortmund, Dortmund; Germany.
- <sup>46</sup>Institut für Kern- und Teilchenphysik, Technische Universität Dresden, Dresden; Germany.
- <sup>47</sup>Department of Physics, Duke University, Durham NC; United States of America.
- <sup>48</sup>SUPA - School of Physics and Astronomy, University of Edinburgh, Edinburgh; United Kingdom.
- <sup>49</sup>INFN e Laboratori Nazionali di Frascati, Frascati; Italy.
- <sup>50</sup>Physikalisches Institut, Albert-Ludwigs-Universität Freiburg, Freiburg; Germany.
- <sup>51</sup>II. Physikalisches Institut, Georg-August-Universität Göttingen, Göttingen; Germany.
- <sup>52</sup>Département de Physique Nucléaire et Corpusculaire, Université de Genève, Genève; Switzerland.
- <sup>53</sup>(<sup>a</sup>)Dipartimento di Fisica, Università di Genova, Genova; (<sup>b</sup>)INFN Sezione di Genova; Italy.
- <sup>54</sup>II. Physikalisches Institut, Justus-Liebig-Universität Giessen, Giessen; Germany.
- <sup>55</sup>SUPA - School of Physics and Astronomy, University of Glasgow, Glasgow; United Kingdom.
- <sup>56</sup>LPSC, Université Grenoble Alpes, CNRS/IN2P3, Grenoble INP, Grenoble; France.
- <sup>57</sup>Laboratory for Particle Physics and Cosmology, Harvard University, Cambridge MA; United States of America.
- <sup>58</sup>(<sup>a</sup>)Department of Modern Physics and State Key Laboratory of Particle Detection and Electronics, University of Science and Technology of China, Hefei; (<sup>b</sup>)Institute of Frontier and Interdisciplinary Science and Key Laboratory of Particle Physics and Particle Irradiation (MOE), Shandong University, Qingdao; (<sup>c</sup>)School of Physics and Astronomy, Shanghai Jiao Tong University, KLPPAC-MoE, SKLPPC, Shanghai; (<sup>d</sup>)Tsung-Dao Lee Institute, Shanghai; China.
- <sup>59</sup>(<sup>a</sup>)Kirchhoff-Institut für Physik, Ruprecht-Karls-Universität Heidelberg, Heidelberg; (<sup>b</sup>)Physikalisches Institut, Ruprecht-Karls-Universität Heidelberg, Heidelberg; Germany.
- <sup>60</sup>Faculty of Applied Information Science, Hiroshima Institute of Technology, Hiroshima; Japan.
- <sup>61</sup>(<sup>a</sup>)Department of Physics, Chinese University of Hong Kong, Shatin, N.T., Hong Kong; (<sup>b</sup>)Department of Physics, University of Hong Kong, Hong Kong; (<sup>c</sup>)Department of Physics and Institute for Advanced Study, Hong Kong University of Science and Technology, Clear Water Bay, Kowloon, Hong Kong; China.
- <sup>62</sup>Department of Physics, National Tsing Hua University, Hsinchu; Taiwan.
- <sup>63</sup>Department of Physics, Indiana University, Bloomington IN; United States of America.
- <sup>64</sup>(<sup>a</sup>)INFN Gruppo Collegato di Udine, Sezione di Trieste, Udine; (<sup>b</sup>)ICTP, Trieste; (<sup>c</sup>)Dipartimento di Chimica, Fisica e Ambiente, Università di Udine, Udine; Italy.
- <sup>65</sup>(<sup>a</sup>)INFN Sezione di Lecce; (<sup>b</sup>)Dipartimento di Matematica e Fisica, Università del Salento, Lecce; Italy.
- <sup>66</sup>(<sup>a</sup>)INFN Sezione di Milano; (<sup>b</sup>)Dipartimento di Fisica, Università di Milano, Milano; Italy.
- <sup>67</sup>(<sup>a</sup>)INFN Sezione di Napoli; (<sup>b</sup>)Dipartimento di Fisica, Università di Napoli, Napoli; Italy.

- <sup>68(a)</sup>INFN Sezione di Pavia;<sup>(b)</sup>Dipartimento di Fisica, Università di Pavia, Pavia; Italy.
- <sup>69(a)</sup>INFN Sezione di Pisa;<sup>(b)</sup>Dipartimento di Fisica E. Fermi, Università di Pisa, Pisa; Italy.
- <sup>70(a)</sup>INFN Sezione di Roma;<sup>(b)</sup>Dipartimento di Fisica, Sapienza Università di Roma, Roma; Italy.
- <sup>71(a)</sup>INFN Sezione di Roma Tor Vergata;<sup>(b)</sup>Dipartimento di Fisica, Università di Roma Tor Vergata, Roma; Italy.
- <sup>72(a)</sup>INFN Sezione di Roma Tre;<sup>(b)</sup>Dipartimento di Matematica e Fisica, Università Roma Tre, Roma; Italy.
- <sup>73(a)</sup>INFN-TIFPA;<sup>(b)</sup>Università degli Studi di Trento, Trento; Italy.
- <sup>74</sup>Institut für Astro- und Teilchenphysik, Leopold-Franzens-Universität, Innsbruck; Austria.
- <sup>75</sup>University of Iowa, Iowa City IA; United States of America.
- <sup>76</sup>Department of Physics and Astronomy, Iowa State University, Ames IA; United States of America.
- <sup>77</sup>Joint Institute for Nuclear Research, Dubna; Russia.
- <sup>78(a)</sup>Departamento de Engenharia Elétrica, Universidade Federal de Juiz de Fora (UFJF), Juiz de Fora;<sup>(b)</sup>Universidade Federal do Rio De Janeiro COPPE/EE/IF, Rio de Janeiro;<sup>(c)</sup>Universidade Federal de São João del Rei (UFSJ), São João del Rei;<sup>(d)</sup>Instituto de Física, Universidade de São Paulo, São Paulo; Brazil.
- <sup>79</sup>KEK, High Energy Accelerator Research Organization, Tsukuba; Japan.
- <sup>80</sup>Graduate School of Science, Kobe University, Kobe; Japan.
- <sup>81(a)</sup>AGH University of Science and Technology, Faculty of Physics and Applied Computer Science, Krakow;<sup>(b)</sup>Marian Smoluchowski Institute of Physics, Jagiellonian University, Krakow; Poland.
- <sup>82</sup>Institute of Nuclear Physics Polish Academy of Sciences, Krakow; Poland.
- <sup>83</sup>Faculty of Science, Kyoto University, Kyoto; Japan.
- <sup>84</sup>Kyoto University of Education, Kyoto; Japan.
- <sup>85</sup>Research Center for Advanced Particle Physics and Department of Physics, Kyushu University, Fukuoka ; Japan.
- <sup>86</sup>Instituto de Física La Plata, Universidad Nacional de La Plata and CONICET, La Plata; Argentina.
- <sup>87</sup>Physics Department, Lancaster University, Lancaster; United Kingdom.
- <sup>88</sup>Oliver Lodge Laboratory, University of Liverpool, Liverpool; United Kingdom.
- <sup>89</sup>Department of Experimental Particle Physics, Jožef Stefan Institute and Department of Physics, University of Ljubljana, Ljubljana; Slovenia.
- <sup>90</sup>School of Physics and Astronomy, Queen Mary University of London, London; United Kingdom.
- <sup>91</sup>Department of Physics, Royal Holloway University of London, Egham; United Kingdom.
- <sup>92</sup>Department of Physics and Astronomy, University College London, London; United Kingdom.
- <sup>93</sup>Louisiana Tech University, Ruston LA; United States of America.
- <sup>94</sup>Fysiska institutionen, Lunds universitet, Lund; Sweden.
- <sup>95</sup>Centre de Calcul de l'Institut National de Physique Nucléaire et de Physique des Particules (IN2P3), Villeurbanne; France.

- <sup>96</sup>Departamento de Física Teórica C-15 and CIAFF, Universidad Autónoma de Madrid, Madrid; Spain.
- <sup>97</sup>Institut für Physik, Universität Mainz, Mainz; Germany.
- <sup>98</sup>School of Physics and Astronomy, University of Manchester, Manchester; United Kingdom.
- <sup>99</sup>CPPM, Aix-Marseille Université, CNRS/IN2P3, Marseille; France.
- <sup>100</sup>Department of Physics, University of Massachusetts, Amherst MA; United States of America.
- <sup>101</sup>Department of Physics, McGill University, Montreal QC; Canada.
- <sup>102</sup>School of Physics, University of Melbourne, Victoria; Australia.
- <sup>103</sup>Department of Physics, University of Michigan, Ann Arbor MI; United States of America.
- <sup>104</sup>Department of Physics and Astronomy, Michigan State University, East Lansing MI; United States of America.
- <sup>105</sup>B.I. Stepanov Institute of Physics, National Academy of Sciences of Belarus, Minsk; Belarus.
- <sup>106</sup>Research Institute for Nuclear Problems of Byelorussian State University, Minsk; Belarus.
- <sup>107</sup>Group of Particle Physics, University of Montreal, Montreal QC; Canada.
- <sup>108</sup>P.N. Lebedev Physical Institute of the Russian Academy of Sciences, Moscow; Russia.
- <sup>109</sup>Institute for Theoretical and Experimental Physics (ITEP), Moscow; Russia.
- <sup>110</sup>National Research Nuclear University MEPhI, Moscow; Russia.
- <sup>111</sup>D.V. Skobeltsyn Institute of Nuclear Physics, M.V. Lomonosov Moscow State University, Moscow; Russia.
- <sup>112</sup>Fakultät für Physik, Ludwig-Maximilians-Universität München, München; Germany.
- <sup>113</sup>Max-Planck-Institut für Physik (Werner-Heisenberg-Institut), München; Germany.
- <sup>114</sup>Nagasaki Institute of Applied Science, Nagasaki; Japan.
- <sup>115</sup>Graduate School of Science and Kobayashi-Maskawa Institute, Nagoya University, Nagoya; Japan.
- <sup>116</sup>Department of Physics and Astronomy, University of New Mexico, Albuquerque NM; United States of America.
- <sup>117</sup>Institute for Mathematics, Astrophysics and Particle Physics, Radboud University Nijmegen/Nikhef, Nijmegen; Netherlands.
- <sup>118</sup>Nikhef National Institute for Subatomic Physics and University of Amsterdam, Amsterdam; Netherlands.
- <sup>119</sup>Department of Physics, Northern Illinois University, DeKalb IL; United States of America.
- <sup>120(a)</sup>Budker Institute of Nuclear Physics, SB RAS, Novosibirsk; <sup>(b)</sup>Novosibirsk State University Novosibirsk; Russia.
- <sup>121</sup>Department of Physics, New York University, New York NY; United States of America.
- <sup>122</sup>Ohio State University, Columbus OH; United States of America.
- <sup>123</sup>Faculty of Science, Okayama University, Okayama; Japan.
- <sup>124</sup>Homer L. Dodge Department of Physics and Astronomy, University of Oklahoma, Norman OK; United States of America.
- <sup>125</sup>Department of Physics, Oklahoma State University, Stillwater OK; United States of America.
- <sup>126</sup>Palacký University, RCPTM, Joint Laboratory of Optics, Olomouc; Czech Republic.
- <sup>127</sup>Center for High Energy Physics, University of Oregon, Eugene OR; United States of America.

- <sup>128</sup>LAL, Université Paris-Sud, CNRS/IN2P3, Université Paris-Saclay, Orsay; France.
- <sup>129</sup>Graduate School of Science, Osaka University, Osaka; Japan.
- <sup>130</sup>Department of Physics, University of Oslo, Oslo; Norway.
- <sup>131</sup>Department of Physics, Oxford University, Oxford; United Kingdom.
- <sup>132</sup>LPNHE, Sorbonne Université, Paris Diderot Sorbonne Paris Cité, CNRS/IN2P3, Paris; France.
- <sup>133</sup>Department of Physics, University of Pennsylvania, Philadelphia PA; United States of America.
- <sup>134</sup>Konstantinov Nuclear Physics Institute of National Research Centre "Kurchatov Institute", PNPI, St. Petersburg; Russia.
- <sup>135</sup>Department of Physics and Astronomy, University of Pittsburgh, Pittsburgh PA; United States of America.
- <sup>136(a)</sup>Laboratório de Instrumentação e Física Experimental de Partículas - LIP;<sup>(b)</sup>Departamento de Física, Faculdade de Ciências, Universidade de Lisboa, Lisboa;<sup>(c)</sup>Departamento de Física, Universidade de Coimbra, Coimbra;<sup>(d)</sup>Centro de Física Nuclear da Universidade de Lisboa, Lisboa;<sup>(e)</sup>Departamento de Física, Universidade do Minho, Braga;<sup>(f)</sup>Departamento de Física Teórica y del Cosmos, Universidad de Granada, Granada (Spain);<sup>(g)</sup>Dep Física and CEFITEC of Faculdade de Ciências e Tecnologia, Universidade Nova de Lisboa, Caparica; Portugal.
- <sup>137</sup>Institute of Physics, Academy of Sciences of the Czech Republic, Prague; Czech Republic.
- <sup>138</sup>Czech Technical University in Prague, Prague; Czech Republic.
- <sup>139</sup>Charles University, Faculty of Mathematics and Physics, Prague; Czech Republic.
- <sup>140</sup>State Research Center Institute for High Energy Physics, NRC KI, Protvino; Russia.
- <sup>141</sup>Particle Physics Department, Rutherford Appleton Laboratory, Didcot; United Kingdom.
- <sup>142</sup>IRFU, CEA, Université Paris-Saclay, Gif-sur-Yvette; France.
- <sup>143</sup>Santa Cruz Institute for Particle Physics, University of California Santa Cruz, Santa Cruz CA; United States of America.
- <sup>144(a)</sup>Departamento de Física, Pontificia Universidad Católica de Chile, Santiago;<sup>(b)</sup>Departamento de Física, Universidad Técnica Federico Santa María, Valparaíso; Chile.
- <sup>145</sup>Department of Physics, University of Washington, Seattle WA; United States of America.
- <sup>146</sup>Department of Physics and Astronomy, University of Sheffield, Sheffield; United Kingdom.
- <sup>147</sup>Department of Physics, Shinshu University, Nagano; Japan.
- <sup>148</sup>Department Physik, Universität Siegen, Siegen; Germany.
- <sup>149</sup>Department of Physics, Simon Fraser University, Burnaby BC; Canada.
- <sup>150</sup>SLAC National Accelerator Laboratory, Stanford CA; United States of America.
- <sup>151</sup>Physics Department, Royal Institute of Technology, Stockholm; Sweden.
- <sup>152</sup>Departments of Physics and Astronomy, Stony Brook University, Stony Brook NY; United States of America.
- <sup>153</sup>Department of Physics and Astronomy, University of Sussex, Brighton; United Kingdom.
- <sup>154</sup>School of Physics, University of Sydney, Sydney; Australia.
- <sup>155</sup>Institute of Physics, Academia Sinica, Taipei; Taiwan.
- <sup>156(a)</sup>E. Andronikashvili Institute of Physics, Iv. Javakhishvili Tbilisi State University, Tbilisi;<sup>(b)</sup>High Energy Physics Institute, Tbilisi State University, Tbilisi; Georgia.
- <sup>157</sup>Department of Physics, Technion, Israel Institute of Technology, Haifa; Israel.



- <sup>158</sup>Raymond and Beverly Sackler School of Physics and Astronomy, Tel Aviv University, Tel Aviv; Israel.
- <sup>159</sup>Department of Physics, Aristotle University of Thessaloniki, Thessaloniki; Greece.
- <sup>160</sup>International Center for Elementary Particle Physics and Department of Physics, University of Tokyo, Tokyo; Japan.
- <sup>161</sup>Graduate School of Science and Technology, Tokyo Metropolitan University, Tokyo; Japan.
- <sup>162</sup>Department of Physics, Tokyo Institute of Technology, Tokyo; Japan.
- <sup>163</sup>Tomsk State University, Tomsk; Russia.
- <sup>164</sup>Department of Physics, University of Toronto, Toronto ON; Canada.
- <sup>165(a)</sup>TRIUMF, Vancouver BC; <sup>(b)</sup>Department of Physics and Astronomy, York University, Toronto ON; Canada.
- <sup>166</sup>Division of Physics and Tomonaga Center for the History of the Universe, Faculty of Pure and Applied Sciences, University of Tsukuba, Tsukuba; Japan.
- <sup>167</sup>Department of Physics and Astronomy, Tufts University, Medford MA; United States of America.
- <sup>168</sup>Department of Physics and Astronomy, University of California Irvine, Irvine CA; United States of America.
- <sup>169</sup>Department of Physics and Astronomy, University of Uppsala, Uppsala; Sweden.
- <sup>170</sup>Department of Physics, University of Illinois, Urbana IL; United States of America.
- <sup>171</sup>Instituto de Física Corpuscular (IFIC), Centro Mixto Universidad de Valencia - CSIC, Valencia; Spain.
- <sup>172</sup>Department of Physics, University of British Columbia, Vancouver BC; Canada.
- <sup>173</sup>Department of Physics and Astronomy, University of Victoria, Victoria BC; Canada.
- <sup>174</sup>Fakultät für Physik und Astronomie, Julius-Maximilians-Universität Würzburg, Würzburg; Germany.
- <sup>175</sup>Department of Physics, University of Warwick, Coventry; United Kingdom.
- <sup>176</sup>Waseda University, Tokyo; Japan.
- <sup>177</sup>Department of Particle Physics, Weizmann Institute of Science, Rehovot; Israel.
- <sup>178</sup>Department of Physics, University of Wisconsin, Madison WI; United States of America.
- <sup>179</sup>Fakultät für Mathematik und Naturwissenschaften, Fachgruppe Physik, Bergische Universität Wuppertal, Wuppertal; Germany.
- <sup>180</sup>Department of Physics, Yale University, New Haven CT; United States of America.
- <sup>181</sup>Yerevan Physics Institute, Yerevan; Armenia.
- <sup>a</sup> Also at Borough of Manhattan Community College, City University of New York, NY; United States of America.
- <sup>b</sup> Also at Centre for High Performance Computing, CSIR Campus, Rosebank, Cape Town; South Africa.
- <sup>c</sup> Also at CERN, Geneva; Switzerland.
- <sup>d</sup> Also at CPPM, Aix-Marseille Université, CNRS/IN2P3, Marseille; France.
- <sup>e</sup> Also at Département de Physique Nucléaire et Corpusculaire, Université de Genève, Genève; Switzerland.

- <sup>f</sup> Also at Departament de Física de la Universitat Autònoma de Barcelona, Barcelona; Spain.
- <sup>g</sup> Also at Departamento de Física Teórica y del Cosmos, Universidad de Granada, Granada (Spain); Spain.
- <sup>h</sup> Also at Department of Applied Physics and Astronomy, University of Sharjah, Sharjah; United Arab Emirates.
- <sup>i</sup> Also at Department of Financial and Management Engineering, University of the Aegean, Chios; Greece.
- <sup>j</sup> Also at Department of Physics and Astronomy, University of Louisville, Louisville, KY; United States of America.
- <sup>k</sup> Also at Department of Physics and Astronomy, University of Sheffield, Sheffield; United Kingdom.
- <sup>l</sup> Also at Department of Physics, California State University, Fresno CA; United States of America.
- <sup>m</sup> Also at Department of Physics, California State University, Sacramento CA; United States of America.
- <sup>n</sup> Also at Department of Physics, King's College London, London; United Kingdom.
- <sup>o</sup> Also at Department of Physics, St. Petersburg State Polytechnical University, St. Petersburg; Russia.
- <sup>p</sup> Also at Department of Physics, University of Fribourg, Fribourg; Switzerland.
- <sup>q</sup> Also at Department of Physics, University of Michigan, Ann Arbor MI; United States of America.
- <sup>r</sup> Also at Dipartimento di Fisica E. Fermi, Università di Pisa, Pisa; Italy.
- <sup>s</sup> Also at Giresun University, Faculty of Engineering, Giresun; Turkey.
- <sup>t</sup> Also at Graduate School of Science, Osaka University, Osaka; Japan.
- <sup>u</sup> Also at Hellenic Open University, Patras; Greece.
- <sup>v</sup> Also at Horia Hulubei National Institute of Physics and Nuclear Engineering, Bucharest; Romania.
- <sup>w</sup> Also at II. Physikalisches Institut, Georg-August-Universität Göttingen, Göttingen; Germany.
- <sup>x</sup> Also at Institutio Catalana de Recerca i Estudis Avancats, ICREA, Barcelona; Spain.
- <sup>y</sup> Also at Institut für Experimentalphysik, Universität Hamburg, Hamburg; Germany.
- <sup>z</sup> Also at Institute for Mathematics, Astrophysics and Particle Physics, Radboud University Nijmegen/Nikhef, Nijmegen; Netherlands.
- <sup>aa</sup> Also at Institute for Particle and Nuclear Physics, Wigner Research Centre for Physics, Budapest; Hungary.
- <sup>ab</sup> Also at Institute of Particle Physics (IPP); Canada.
- <sup>ac</sup> Also at Institute of Physics, Academia Sinica, Taipei; Taiwan.
- <sup>ad</sup> Also at Institute of Physics, Azerbaijan Academy of Sciences, Baku; Azerbaijan.
- <sup>ae</sup> Also at Institute of Theoretical Physics, Ilia State University, Tbilisi; Georgia.
- <sup>af</sup> Also at LAL, Université Paris-Sud, CNRS/IN2P3, Université Paris-Saclay, Orsay; France.
- <sup>ag</sup> Also at Louisiana Tech University, Ruston LA; United States of America.
- <sup>ah</sup> Also at Manhattan College, New York NY; United States of America.
- <sup>ai</sup> Also at Moscow Institute of Physics and Technology State University, Dolgoprudny; Russia.

- <sup>aj</sup> Also at National Research Nuclear University MEPhI, Moscow; Russia.
- <sup>ak</sup> Also at Near East University, Nicosia, North Cyprus, Mersin; Turkey.
- <sup>al</sup> Also at Physikalisches Institut, Albert-Ludwigs-Universität Freiburg, Freiburg; Germany.
- <sup>am</sup> Also at School of Physics, Sun Yat-sen University, Guangzhou; China.
- <sup>an</sup> Also at The City College of New York, New York NY; United States of America.
- <sup>ao</sup> Also at The Collaborative Innovation Center of Quantum Matter (CICQM), Beijing; China.
- <sup>ap</sup> Also at Tomsk State University, Tomsk, and Moscow Institute of Physics and Technology State University, Dolgoprudny; Russia.
- <sup>aq</sup> Also at TRIUMF, Vancouver BC; Canada.
- <sup>ar</sup> Also at Università di Napoli Parthenope, Napoli; Italy.
- \* Deceased