

# **Classroom ventilation with manual opening of windows: Findings from a two-year-long experimental study of a Portuguese secondary school**

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**Abstract.** Classrooms in Southern Europe are traditionally ventilated with manual opening of windows. This is an energy-sparing and perfectly appropriate way of ventilating classrooms when weather conditions are warm, however, as outdoor air temperatures drop, teaching staff and students tend to leave windows closed and, as a consequence, ventilation rates fall leading to poor indoor air quality. To safeguard classrooms' indoor air quality and promote energy conservation, understanding the conditions for which manual window-airing is appropriate is of great relevance. Yet, given the stochastic nature of window-airing, it is difficult to get hold of this understanding. The main objective of this paper is to find out when manual window-airing of classrooms is appropriate. To achieve this objective, four free-running classrooms of a Portuguese public secondary school were monitored during a two-year period. Ventilation rates were determined and it is concluded that manual opening of windows provides appropriate ventilation for outdoor running mean temperatures larger than 16°C; and, for the studied classrooms, this translates into appropriate ventilation for approximately a quarter of the academic year. Because of the significance of this result, the paper concludes with a review of the ventilation strategy used in the studied classrooms.

15 **Keywords.** Natural ventilation; free-running classrooms; manual window-airing; window opening patterns; indoor air quality; thermal comfort.

### Highlights

- Ventilation rates in four free-running classrooms are determined during two full academic years.
- 20 – Manual window-airing of classrooms is appropriate with outdoor running mean temperatures larger than 19°C.
- For outdoor running mean temperatures between 16 and 19°C manual window-airing is still appropriate, but depends on indoor air temperature.
- When outdoor running mean temperatures are lower than 16°C, regardless of indoor air tem-  
25 perature, manual window-airing is inappropriate.
- For the studied classrooms, manual window-airing provides appropriate indoor air quality and thermal comfort for 25% of the academic year.

## Nomenclature

$acr$	: Air change rate, $s^{-1}$ .
$c$	: Relative CO <sub>2</sub> concentration (relative to the outdoor concentration), ppm.
$C$	: Absolute CO <sub>2</sub> concentration, ppm.
$d$	: Current day.
$\mathcal{D}$	: Set in $\mathbb{R}^2$ .
$E_{sol}$	: Solar energy, MJ/m <sup>2</sup> .
$\mathcal{I}$	: Interval in $\mathbb{R}$ .
$\dot{M}$	: Metabolic CO <sub>2</sub> production rate per person, cm <sup>3</sup> /s/person.
$n$	: Number of students per lesson, persons.
$N$	: Number of elements in a list.
$P$	: probability mass function, adimensional.
$Q$	: Ventilation rate per person, m <sup>3</sup> /s per person.
$R$	: Precipitation, mm.
RH	: Relative humidity, %.
$t$	: Time, s.
$T$	: Temperature, °C.
$V$	: Volume, m <sup>3</sup> .
WS	: Wind speed, m/s.
$\alpha$	: Constant used to define running mean temperature.
$\Delta$	: Referring to change of a quantity.
$\Sigma$	: Referring to daily total or sum.

### Subscripts

0	: Referring to initial condition.
f	: Referring to final condition.
in	: Referring to indoor.
out	: Referring to outdoor.

### Superscripts

—	: Referring to daily mean or median.
$cl+$	: Referring to the region to the right of contour line $cl$
$g$	: Referring to graph.
rm	: Referring to running mean.

## 1 Introduction

Classrooms in Southern European countries are traditionally naturally ventilated. During the intermediate seasons, windows are opened and occupants welcome the fresh outdoor airflow into the classrooms. As days become warmer, the risk of overheating increases, however, this risk is reduced with correctly-sized windows, appropriate solar protection, night-time ventilation and the use of building mass.

During wintertime, Southern Europe's mild climate and the use of passive solar heating allows acceptable indoor thermal environments in naturally ventilated classrooms. However, this is possible only if ventilation rates are kept at a minimum; indeed, during wintertime, the inflow of cold outdoor air causes draughts and occupants reaction is to leave classroom windows closed; as a result, the likelihood of poor indoor air quality increases.

Supporting this line of reasoning, reports pertaining to different geographic locations confirm the prevalence of poor indoor air quality in naturally ventilated classrooms, especially with cold weather (Santamouris et al., 2008; Pegas et al., 2011; Almeida and Freitas, 2014; Pereira et al., 2014). These reports are cause for concern, since poor indoor air quality in classrooms has been associated with undesirable effects on students productivity (Wargocki and Wyon, 2007a,b; Haverinen-Shaughnessy et al., 2011; Bakó-Biró et al., 2012; Petersen et al., 2015), absenteeism (Shendell et al., 2004) and increased health symptoms (Fraga et al., 2008; Bartlett et al., 2004; Rudnick and Milton, 2003; Mendell and Heath, 2005). Still, in spite of these concerns, along the academic year there are conditions for which natural ventilation is perfectly appropriate, and, from the point of view of users, better than mechanical ventilation alternatives.

In fact, mechanical systems have problems too; if not properly balanced and maintained, they are frequently a source of thermal discomfort, noise and can even increase indoor air pollution, as discussed in Bluyssen (1996), Mendell and Smith (1990), Seppänen and Fisk (2002), Clements-Croome et al. (2008) or Mumovic et al. (2009). Additionally, mechanical systems come with increased costs, a significant drawback for administrators of Southern European schools, accustomed



to *free* natural ventilation, small (or no) heating costs and small maintenance costs.

Indeed, since European countries invest mostly in the retrofitting of existing naturally ventilated schools (Gertis and Sedlbauer, 2010; Mumovic et al., 2009), school staff will inevitably compare  
60 natural ventilation with alternative hybrid or mechanical ventilated solutions. Moreover, because staff is aware of the benefits and accustomed to natural ventilation, an important research question—useful to designers and school administrators—is to know *when* the traditional natural ventilation with manual opening of windows is appropriate and when it is not. In other words, it is important to know *the conditions for which* natural ventilation with opening of windows *ensures* appropriate  
65 indoor air quality.

This is the main topic of this paper. Obviously, because natural ventilation depends on architectural design, on the building envelope, on the role played by occupants, as well as the outdoor and indoor environments, another associated research topic is to reconcile natural ventilation results with passive design solutions used in the classrooms and with the use of windows.

70 To determine the conditions for which natural ventilation is appropriate the paper starts by presenting the research setting: Four classrooms of a Portuguese secondary school were selected and monitored during a two-year period. The paper describes the classrooms, explains how the classrooms were used and describes the outdoor and indoor physical environment. Next, the method used to determine classroom ventilation is explained and applied. Statistical methods are used to examine  
75 and interpret classroom ventilation results and to derive the conditions for which natural ventilation is appropriate. A review of the natural ventilation results taking into consideration the characteristics of the studied classrooms and the use of windows is then presented. This review highlights best practices in natural ventilation design and provides clues relevant to those (designers and school administrators) seeking information on when to use natural ventilation, ensuring classroom indoor  
80 air quality and thermal comfort.

## 2 Description of the classrooms

Four classrooms of a secondary public school located in Lisbon, Portugal, were studied. The school was built in late 1940s in a traditional neighbourhood removed from commercial and heavy traffic areas. Its location is currently home to a diverse and cosmopolitan population and this is reflected in the ethnic diversity of students, with classes including a significant proportion of non-Portuguese students, native of Africa, Asia, South America and Eastern European countries (teachers, however, are mostly Portuguese). In 2007, the school was one among several Portuguese public schools to be refurbished to contemporary standards (Parque Escolar, 2011a). Details on architectural standards and building services engineering standards guiding the refurbishing programme are provided in Parque Escolar (2009a) and Parque Escolar (2009b).

The school consists of five buildings arranged in U-shape, as shown is the generic layout at the top of Figure 1. The Main Building (I) is linked to an East Wing (II) and, through a Connecting Building (III), to a South Wing (IV). The South Wing is connected, in turn, to a Gymnasium (V). A plan view of the of the Main Building and East Wing (2<sup>nd</sup> floor) is included in Figure 1. Additionally, an elevation of the East Wing (south façade) is also represented. The four studied classrooms are highlighted and identified with letters a, b, c and d.

Classrooms a and b are located on the 1<sup>st</sup> floor of the East Wing, and are identical to classroom c located on the 2<sup>nd</sup> floor. Classroom d is the only one located at the Main Building and, contrary to the remaining classrooms, is on the north façade.

Additional elements included in Figure 1 are photographs of a classroom and of the East Wing south façade.

Access to classrooms a, b and c is via indoor corridors—identified in the 2<sup>nd</sup> floor plan view, Figure 1. Corridors have outdoor windows (seven each, oriented north, of the same type as classroom windows but with larger area), used for daylighting and ventilation purposes. Indoor corridors communicate with a stairwell—also identified in Figure 1—providing access to the different floors of both the Main Building and the East Wing. The stairwell has one large window facing south and,

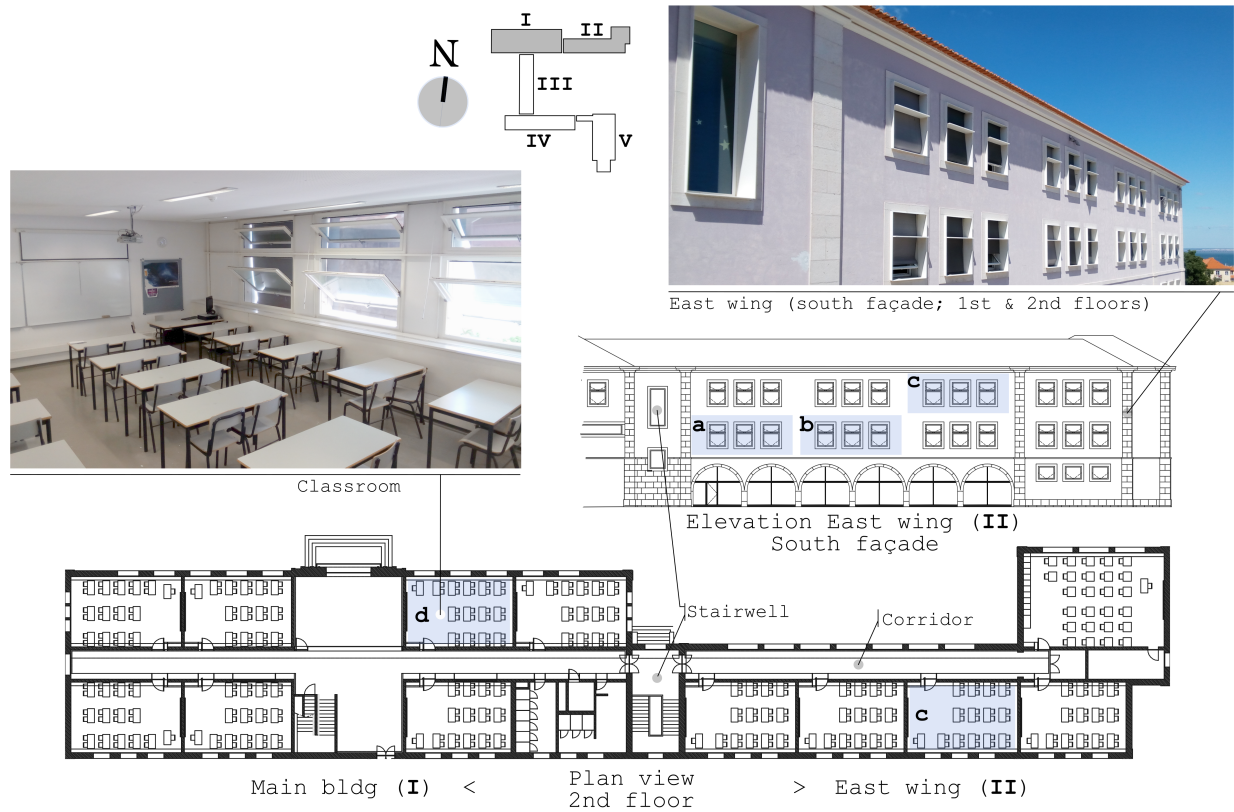


Fig. 1: The studied classrooms highlighted and identified with letters a, b, c and d.

at the 2<sup>nd</sup> floor, one smaller window facing north. Both these windows are used for daylighting but only the north-facing window is suited for ventilation.

110 With the recent refurbishment, most of the original façade characteristics were kept and the large building mass typical of the 1940s construction was preserved, however, the envelope airtightness was improved with the replacement of the existing windows by new ones. Fixed shading devices were also added. Table 1 presents a summary of the classrooms' characteristics. A detailed description of the classrooms' ventilation strategy is presented in the following section.

Table 1: Characteristics of the studied classrooms (Parque Escolar, 2009a,b, 2010, 2011a,b).

<b>Geometry</b>
<i>Floor area:</i> 54 m <sup>2</sup> (9 × 6 m <sup>2</sup> )
<i>headroom:</i> 3 m
<b>Walls, floor and ceiling</b>
<i>Exterior wall:</i> Stone masonry 65 cm thick (no thermal insulation layer).
<i>Interior wall:</i> Ceramic brick masonry 33 cm thick.
<i>Floor/ ceiling:</i> Reinforced concrete slab with a self-levelling cementitious floor covering. Sound absorbing dropped ceiling (perforated gypsum boards).
<b>Windows</b>
<i>Area:</i> 8 m <sup>2</sup> in total (1.4 × 1.9 m <sup>2</sup> per window).
<i>Type:</i> Manually operated windows divided into upper and lower pivoting parts (see classroom photograph).
<i>Glazing:</i> Double glazing (6+12+4).
<i>Framing:</i> Wood.
<i>Air permeability:</i> Class 3 or higher (EN ISO 12207, 1999).
<b>Sun shielding</b>
<i>Fixed:</i> Vertical and horizontal shading elements along the perimeter of window. Horizontal shading element between upper and lower window parts (see south façade photograph).
<i>Movable:</i> Exterior roller blind (fabric).
<b>Lighting and equipments</b>
<i>Lighting:</i> Recessed luminaires using tubular fluorescent lamps T5/16mm (10 W/m <sup>2</sup> ).
<i>Equipments:</i> Video projector; desktop computer.
<b>Generic usage</b>
<i>Class size:</i> 25 students (design).
<i>Class Type:</i> Mixed classes (boys with girls) in approximately a 1:1 ratio.
<i>Class grade:</i> From 5 <sup>th</sup> to 12 <sup>th</sup> grade.
<i>Schedule:</i> Between mid-September and mid-June Monday to Friday from 8.10 AM to 6.30 PM. Bank, Christmas, February half-term (carnival) and Easter holidays excluded.
<i>School dress code policy:</i> Casual (teachers and students).

## 2.1 Ventilation strategy

Original school design relied entirely on natural ventilation (manual opening of windows) and classrooms were not heated (or cooled).

120 With the refurbishment, manual opening of windows was kept and even extended because original casement windows (side hung) were replaced by pivoting windows divided into upper and lower parts. A mechanical Demand Controlled Ventilation (DCV) system, controlling indoor CO<sub>2</sub> concentration and air temperature, was also installed providing temperature neutral fresh air to the classrooms. When this system is working, fresh air is supplied below classroom windows and exhausted  
125 from the corridor. Ventilation openings in the indoor wall allow air to flow from the classroom to the corridor. Each classroom has three of these openings providing a total free flow area of 0.25 m<sup>2</sup>.

During warm periods windows can be opened (in different combinations of upper and lower pivoting parts) and cross ventilation between classroom and corridor windows can be used to effectively ventilate classrooms. Moreover, with the north facing stairwell window open, stack ventilation  
130 is noticeable from corridors (especially, from the 1<sup>st</sup> floor corridor) to the stairwell. Obviously, if cross ventilation and stack ventilation are suspended (because corridor and stairwell windows are closed), classroom single-sided ventilation is always possible.

With cold weather, windows are typically kept closed. Before school refurbishment, due to large window air-leakage, adventitious ventilation was significant; however, with the newly installed  
135 windows, classrooms' air tightness was greatly improved and adventitious ventilation became very low. Obviously, the designers' team assumed the DCV system would be used with cold weather, however, since the school was refurbished and during the monitoring period, between 2012 and 2014, this system was not used. Classroom ventilation was entirely dependent on manual opening of windows (upper and lower parts; in classrooms, corridors and stairwell), according to students and  
140 teachers' preferences and instructions provided to school janitors (see Section 3.3).

### 3 Classrooms' usage

#### 3.1 Experimental procedure

To monitor changes in classroom usage, lesson logs between mid-September 2012 and mid-June 2014 were consulted. For each monitored classroom and for each lesson the following parameters  
145 were selected: number of students attending the lesson (approximately the same as class size, because of low absenteeism) and class grade. During the two-year monitoring period more than 16 distinct classes from 5<sup>th</sup> to 12<sup>th</sup> grade and a total of more than 300 students attended lessons at the monitored classrooms.

Using records for each lesson, daily mean values of class size and of class grade were deter-  
150 mined.

#### 3.2 Monitoring results and discussion

Figure 2 presents, for classrooms a, b, c and d, four box-whisker plots of daily mean class size  $\bar{n}$ , and four box-whisker plots for daily mean class grade.

Each box-whisker plot represents the median (white horizontal line), the lower and upper quar-  
155 tiles (1<sup>st</sup> and 3<sup>rd</sup> quartiles at the bottom and top of the colour rectangle, respectively) and the lower and upper fences (the smaller of the extrema or 1.5 times the interquartile range of the data) at the bottom and top of the vertical lines. The y-axis on the left of Figure 2 presents values for daily mean class size. The y-axis on the right is used to measure the daily mean class grade.

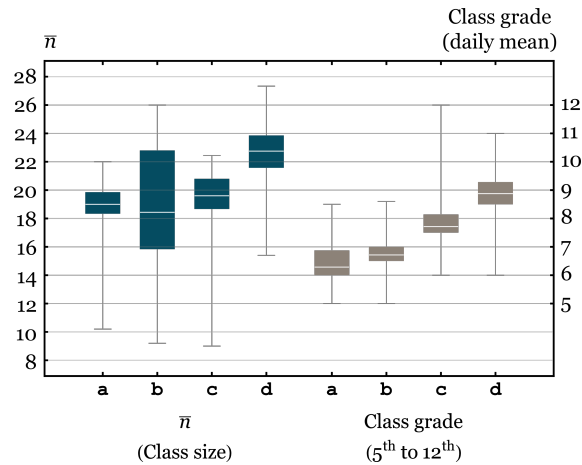


Fig. 2: Box-whisker plots for daily mean size and daily mean grade of classes attending lessons in classrooms a, b, c and d.

Figure 2 shows that the median number of students per lesson varies between 18.5 and 22.8. Classroom d is used by classes of larger size and the largest variability in class size is found in classroom b. As regards class grade, classrooms a and b are essentially used by classes from 5<sup>th</sup> to 9<sup>th</sup> grade, whereas classrooms c and d are used to teach lessons to older students, including 12<sup>th</sup> and 11<sup>th</sup> graders.

Figure 2 shows inter- and intra-classroom variability. Inter-classroom variability was expected (and promoted when choosing the monitored classrooms) to allow a more diverse and representative sample. Intra-classroom variability is a consequence of classroom use by distinct classes in the morning and afternoon, and, because lessons scheduled to take place in a classroom differ depending on the day of the week and on the academic year.

### 3.3 Interaction with the built environment

No objective instructions (or training) as to window opening or use of blinds was provided to either teachers or students. During lessons, students were allowed to open/ close classroom windows and operate blinds under the supervision of the teacher and subject to existing group dynamics. Because corridor and stairwell windows were typically closed, single-sided ventilation was the ventilation mode most common in classrooms. According to school janitors, corridor and stairwell windows

were kept closed to prevent the ingress of rain, birds and insects.

Night-time ventilation was not implemented. As a rule, at the end of the school day janitors checked if classroom windows were closed; however, this check was more attentive during winter-time. Indeed, with warm weather, classrooms' upper window parts were frequently left open, among  
180 other reasons, because the risk of rain ingress was smaller and because roller blinds prevented/ minimised the risk of ingress of birds and insects.

## **4 Outdoor conditions**

### **4.1 Experimental procedure**

Outdoor conditions were monitored from mid-June 2012 to mid-June 2014, two complete cycles  
185 of 365 days, including night-time, weekends and holidays. During this period, a weather station was used to record (10-minute frequency): air dry-bulb temperature, air relative humidity, global radiation on a horizontal plane (referred in this paper as solar energy), precipitation and wind speed (at approximately 10 m height). Details on range and accuracy of the sensors used are presented in Davis (2010).

190 Additionally, to determine relative indoor CO<sub>2</sub> concentrations (relative to the outdoor concentration), a reference outdoor value of 395 ppm was used. This value was chosen based on records from sensors with accuracy of  $\pm 30$  ppm (CO2Meter, 2011), that monitored the atmospheric CO<sub>2</sub> concentration at the school site during 15 days periods in autumn, winter and spring of the 2012-2013 academic year.

195 From the database of 10-minute records of outdoor environmental variables, daily mean and daily total values were determined.

### **4.2 Monitoring results and discussion**

Figure 3 presents scatter plots obtained from the daily values of outdoor environmental variables. Figure 3 is divided into top and bottom parts. The top part has y-axes directed upwards and includes



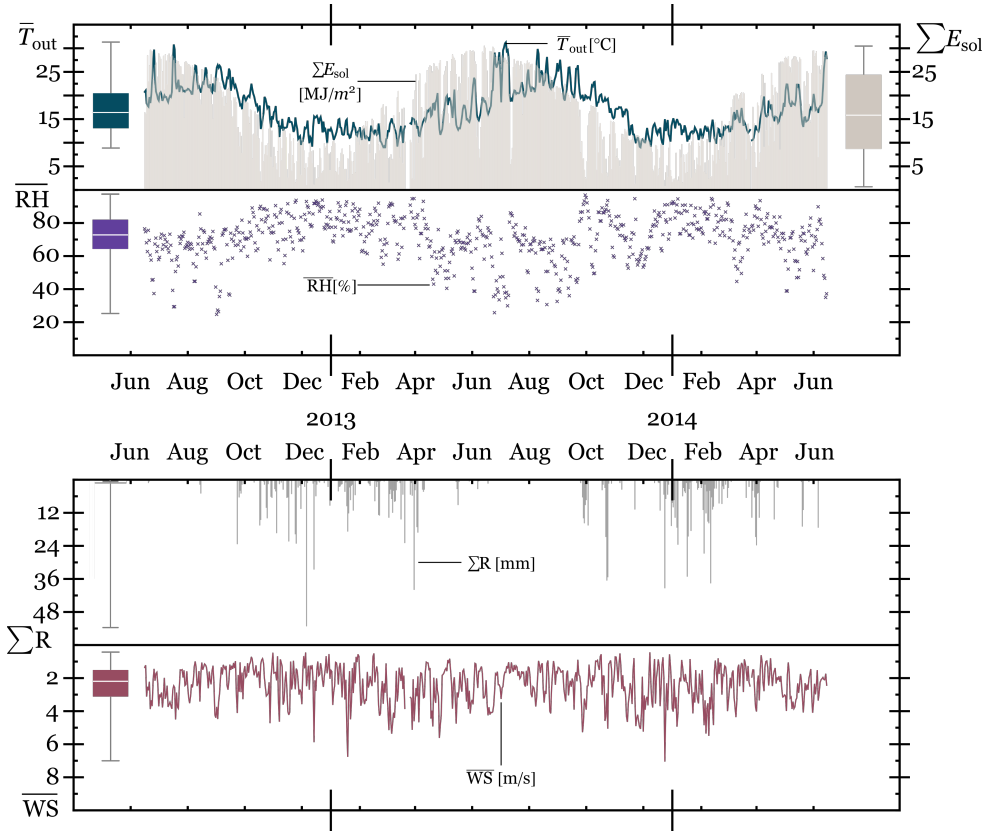


Fig. 3: Scatter and box-whisker plots for the monitored outdoor environmental variables ( $\overline{T}_{out}$ , daily mean air temperature;  $\sum E_{sol}$ , daily total solar energy;  $\overline{RH}$ , daily mean air relative humidity;  $\sum R$ , daily total precipitation;  $\overline{WS}$ , daily mean wind speed ).

200 daily values of outdoor air temperature  $\overline{T}_{out}$  (left y-axis), outdoor air relative humidity  $\overline{RH}$  (left y-axis) and solar radiation  $\sum E_{sol}$  (right y-axis). The bottom part of Figure 3 has y-axes directed downwards and includes daily values of precipitation  $\sum R$  (left y-axis) and wind speed  $\overline{WS}$  (left y-axis).

Box-whisker plots are also presented for every outdoor variable.

205 Seasonal trends are clearly visible in Figure 3. Larger values of outdoor air temperature and solar energy are common at the beginning and end of the academic year (beginning of autumn and end of spring), whereas low values occur in wintertime. For relative humidity and precipitation Figure 3 presents less sharply defined seasonal trends, however, a seasonal pattern opposite to that  
 210 of outdoor air temperature is suggested for outdoor air relative humidity; more frequent precipitation

is visible during the winter months, especially in the 2013-2014 academic year.

As regards wind speed, no clear seasonal trend is noticeable. Wind speeds appear to fluctuate randomly about a mean value. This results is justified by Lisbon's location, close to the Atlantic Ocean (and the Tagus river estuary), leading to "windy" weather conditions regardless of the season<sup>1</sup>.

215 Figure 3 confirms Lisbon's mild climate. The median of daily outdoor temperatures is 16.4°C and the interquartile range varies between 13.0 and 20.5°C. As regards air relative humidity, the median of daily values is 72.8% and typical values are neither excessively low nor high. Figure 3 also suggests that insolation values in Lisbon are large; indeed, large values of daily solar energy (median of daily total equals 15.8 MJ/m<sup>2</sup>) are accompanied with low daily precipitation (median of  
220 daily total equals 0.0 mm). Daily wind speed fluctuates around a median value of 2.2 m/s.

Figure 3 offers important insight into the way outdoor conditions influence natural ventilation in classrooms. In wintertime, and for a part of the intermediate seasons, Figure 3 shows that daily outdoor air temperatures lay below 16°C, thus, the likelihood of draughts when classroom windows are open is high. To avoid draughts it is likely that classroom windows are kept closed, reducing  
225 natural ventilation in the classrooms. During the beginning and at the end of the academic year, outdoor air temperature and insolation are higher and, for these conditions, classroom overheating becomes more likely. However, because wind speeds remain (on average) constant regardless of the season, natural ventilation should keep its ability to cool the indoor environment, therefore, classroom windows are most likely left open, increasing natural ventilation in classrooms.

## 230 5 Classrooms' indoor environment

### 5.1 Experimental procedure

To investigate the environmental conditions inside the classrooms indoor air temperature and indoor CO<sub>2</sub> concentration were monitored from mid-September 2012 to mid-June 2014, the beginning of

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<sup>1</sup>As for wind directions, Lisbon is dominated by northwest winds; however, at the regional and urban scale this pattern changes. Alcoforado and Lopes (2003) presents a detailed description of changes in wind direction in Lisbon.

the 2012-2013 academic year and the end of the 2013-2014 academic year, respectively.

235        Sensors were placed at the classrooms' interior walls, approximately 2.5 m above the floor,  
away from stagnant areas and out of reach of students. Sensors signals were recorded by the school's  
building management system (implementing sequence event recording) and sensors' characteristics  
are presented in Sauter (s/d). A summary of these characteristics is: air temperature range from  
-20 to 60°C with  $\pm 0.4^\circ\text{C}$  accuracy; CO<sub>2</sub> concentration range from 0 to 2000 ppm with  $\pm 50$  ppm  
240 accuracy.

Daily mean indoor air temperature and daily median indoor CO<sub>2</sub> concentration were obtained  
from the instantaneous records collected during the two-year monitoring period. In determining  
these values, only the period between the start and the finish of teaching on any school day was  
considered (recall classrooms' usage in Table 1).

## 245    5.2    Monitoring results and discussion

Figure 4 presents, for each classroom, box whisker plots of daily mean indoor air temperature,  $\overline{T}_{\text{in}}$ ,  
and daily median indoor CO<sub>2</sub> concentration,  $\overline{C}$ . Additionally, Figure 4 includes a box-whisker  
plot for daily mean outdoor temperature. This box-whisker plot is different from the one in Figure 3  
because it uses data from school days only, that is to say, weekends and holidays were not considered  
250 (however, a 24 hour daily period from 12 AM to 11 PM was used).

The left y-axis in Figure 4 is used to measure daily mean indoor and outdoor air temperatures.  
The right y-axis measures daily median indoor CO<sub>2</sub> concentration.

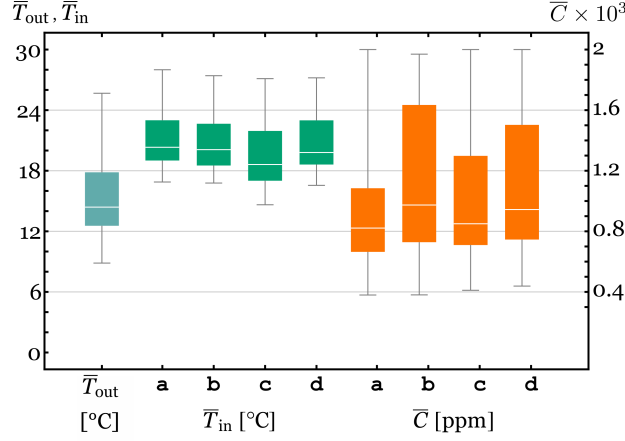


Fig. 4: Box-whisker plots for daily mean outdoor air temperature ( $\bar{T}_{out}$ ), daily mean indoor air temperature ( $\bar{T}_{in}$ ) in classrooms a, b, c and d and, daily median indoor  $\text{CO}_2$  concentration ( $\bar{C}$ ) in classrooms a, b, c and d.

Observing first the box-whisker for daily outdoor temperature, and comparing it to the corresponding box-whisker in Figure 3, it is concluded that the median of daily outdoor temperatures drops by 2 K (from 16.4 to 14.4 $^{\circ}\text{C}$ ) when non-school days are removed from the database. This reduction is a consequence of shifting the academic year towards wintertime, a choice that has advantages and disadvantages. With this academic schedule the risk of classroom overheating is reduced, however, as discussed in Section 4.2, to prevent draughts classroom windows are typically kept closed most of the wintertime, compromising classroom natural ventilation for a large period of the academic year.

In marked contrast to the low daily outdoor air temperatures, Figure 4 shows that 1<sup>st</sup>, 2<sup>nd</sup> (median) and 3<sup>rd</sup> quartiles of daily indoor air temperatures typically lay between 19 and 24 $^{\circ}\text{C}$ , a temperature range associated with thermal comfort (EN ISO 15251, 2007; de Dear and Brager, 2002). It is concluded, therefore, that classrooms' envelope is capable of filtering the unwanted outdoor environmental conditions, namely, the excessively low outdoor air temperatures (and excessively high too).

Before commenting the indoor  $\text{CO}_2$  concentrations, the interrelationship between indoor and outdoor air temperatures is examined in more detail. Figure 5 presents a contour plot that shows

270 joint probabilities  $P^*$  associated with different combinations of classroom daily indoor air temperature and outdoor running mean temperature, i.e.,  $P^* = P(T_{\text{out}}^{\text{rm}} = T_j, \bar{T}_{\text{in}} = T_k)$ , with  $T_{\text{out}}^{\text{rm}}$  the outdoor running mean temperature (defined below) and  $(T_j, T_k)$  defining a specific outdoor-indoor temperature pair.

Joint probabilities were determined for 25 different combinations  $(T_j, T_k)$ , with  $T_j \in \{9, 12, 15, 18, 21\}$   
 275 and  $T_k \in \{14, 17, 20, 23, 26\}$ .

With respect to the outdoor running mean temperature, this is a filtered version of the daily outdoor air temperature described in Section 4. A recursive expression used for its computation is (EN ISO 15251, 2007),

$$T_{\text{out},d}^{\text{rm}} = (1 - \alpha)T_{\text{out},d-1} + \alpha T_{\text{out},d-1}^{\text{rm}} , \quad (1)$$

280 with  $d$  an index identifying the current day ( $d - 1$  is the previous day) and  $\alpha$  a constant with recommended value 0.8.

An expression for the starting term of the recursive process is (EN ISO 15251, 2007),

$$\begin{aligned} T_{\text{out},1}^{\text{rm}} = & (T_{\text{out},d-1} + 0.8T_{\text{out},d-2} + 0.6T_{\text{out},d-3} + \\ & 0.5T_{\text{out},d-4} + 0.4T_{\text{out},d-5} + 0.3T_{\text{out},d-6} + \\ & 0.2T_{\text{out},d-7})/3.8 . \end{aligned} \quad (2)$$

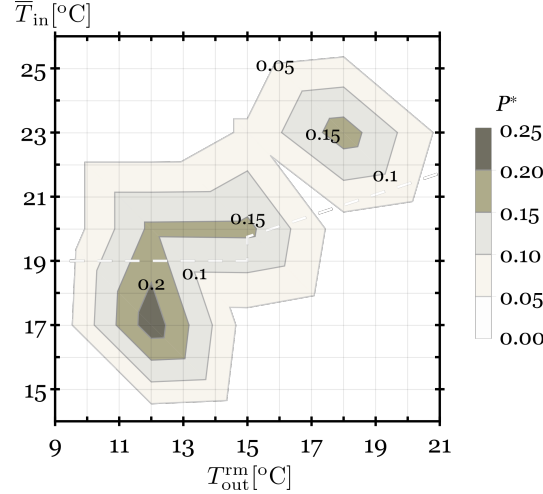


Fig. 5: Contour plot for joint probabilities of outdoor-indoor temperature pairs,  $P^* = P(T_{out}^{rm} = T_j, \bar{T}_{in} = T_k)$ , with  $T_{out}^{rm}$  the outdoor running mean temperature,  $\bar{T}_{in}$  the daily indoor air temperature,  $T_j \in \{9, 12, 15, 18, 21\}$  and  $T_k \in \{14, 17, 20, 23, 26\}$ .

285 To help interpret Figure 5, the contour line 0.20 is inspected. Because 0.20 is the largest value—the largest probability—associated with the contour lines, Figure 5 tells that the most probable combinations of outdoor and indoor air temperatures have a probability of occurring of approximately 20%(= 0.20), and lay in the neighbourhood of the pair (12.0°C, 17.5°C). Moreover, because Figure 5 uses data for full academic years, it can be said that temperature pairs in the neighbourhood of  
290 (12.0°C, 17.5°C) occur for 20% of the academic year, approximately.

Contour lines for 5, 10 and 15% probability are also included in Figure 5, and ranges of occurrence are significantly larger for these probabilities. Considering, for example, the 0.15 contour line, it is concluded that outdoor-indoor temperature pairs with such probability occur both for cold weather (11.0°C, 17.0°C) and for warm weather (18.0°C, 23.5°C).

295 To communicate the thermal acceptability of the indoor environment, Figure 5 includes the lower limit line of indoor thermal comfort, category III, as described in EN ISO 15251 (2007)—the dashed white line<sup>2</sup>. Below this line, the indoor environment is regarded as being too cold; above this line the indoor thermal environment is acceptable. Figure 5 makes it clear that as outdoor temperatures fall, classrooms become too cold and the probability of thermal discomfort increases.

<sup>2</sup>The analysis that follows assumes that for the studied classrooms differences between daily indoor air temperature and operative temperature are small.

300 Considering the data used to draw Figure 5 it is found that along the academic year the probability that a classroom is thermally uncomfortable (outdoor-indoor temperature pairs falling below the dashed line) is 39.5%.

Other probabilities that help understand the interrelationship between outdoor and indoor air temperatures are presented in Table 2. This table shows probabilities of outdoor-indoor temperature pairs falling to the right of lines of constant outdoor running mean temperature and probabilities of outdoor-indoor temperature pairs falling above lines of constant daily indoor air temperature. These regions are expressed, respectively, as set  $\mathcal{D}^{T_{\text{out}}^{\text{rm}} \geq T_j} = \{(T_{\text{out}}^{\text{rm}}, \bar{T}_{\text{in}}) \in \mathbb{R}^2 : T_{\text{out}}^{\text{rm}} \geq T_j\}$  and set  $\mathcal{D}^{\bar{T}_{\text{in}} \geq T_k} = \{(T_{\text{out}}^{\text{rm}}, \bar{T}_{\text{in}}) \in \mathbb{R}^2 : \bar{T}_{\text{in}} \geq T_k\}$ .

Table 2: Probabilities of outdoor-indoor temperature pairs falling into set  $\mathcal{D}^{T_{\text{out}}^{\text{rm}} \geq T_j} = \{(T_{\text{out}}^{\text{rm}}, \bar{T}_{\text{in}}) \in \mathbb{R}^2 : T_{\text{out}}^{\text{rm}} \geq T_j\}$  or set  $\mathcal{D}^{\bar{T}_{\text{in}} \geq T_k} = \{(T_{\text{out}}^{\text{rm}}, \bar{T}_{\text{in}}) \in \mathbb{R}^2 : \bar{T}_{\text{in}} \geq T_k\}$ .  $T_{\text{out}}^{\text{rm}}$  is the outdoor running mean temperature and  $\bar{T}_{\text{in}}$  is the daily indoor air temperature.

$T_j [^\circ\text{C}]$	10	12	14	15	16	18	20
$P(\mathcal{D}^{T_{\text{out}}^{\text{rm}} \geq T_j})$ [%]	100	84	52	46	37	21	10
$T_k [^\circ\text{C}]$	15	17	19	20	21	23	25
$P(\mathcal{D}^{\bar{T}_{\text{in}} \geq T_k})$ [%]	100	92	63	48	41	22	7

310

From Table 2 it is concluded that daily indoor air temperatures *lower* than 19°C occurred for 37% of the academic year, a result derived from the probability  $P(\mathcal{D}^{\bar{T}_{\text{in}} \geq 19^\circ\text{C}})$ . As expected, this result is similar to the 39.5% associated with the probability that a classroom is thermally uncomfortable, and reminds that thermal discomfort in the classrooms is significant. However, if this result is compared with the percentage of the academic year with cold weather conditions—outdoor running mean temperature lower than 16°C—, then it is concluded that the classroom envelope actually managed to filter the low outdoor temperatures. Indeed, from Table 2 it is concluded that outdoor running mean temperatures *lower* than 16°C occurred for 63% of the academic year, a probability

much larger than that for thermal discomfort in the classrooms.

320 Figure 5 also shows that in the region  $\mathcal{D}^{T_{\text{out}}^{\text{rm}} < 16^\circ\text{C}}$  indoor-outdoor temperature differences can be significant, i.e., as high as 8 K. Such differences between indoor and outdoor temperatures occur when classrooms are used by classes with large size and/or for sunny winter days; but only if ventilation rates are kept at a minimum.

Results from Figure 5 suggest, therefore, that for cold weather conditions classroom windows  
325 remain closed, in an attempt to preserve comfortable indoor environments and avoid draughts. This behaviour was expected and agrees with previous results by Wargocki and Wyon (2007b).

But, if windows are kept closed and ventilation rates are at a minimum, indoor CO<sub>2</sub> concentrations should increase. Indeed, returning to the analysis of the CO<sub>2</sub> box-whisker plots in Figure 4, it is concluded that CO<sub>2</sub> concentrations exceed the 1500 ppm daily limit of Building Bulletin 101  
330 (Education Funding Agency, 2014) and, since 3<sup>rd</sup> quartiles are as high as 1600 ppm, this occurs for a considerable number of school days. Such high CO<sub>2</sub> concentrations occur when ventilation rates are lower than 4 l/s per person (EN ISO 15251, 2007) and are a sign that indoor air quality in classrooms is poor.

## 6 Classroom ventilation

335 To determine daily mean ventilation rates in classrooms, records of class size and grade and of instantaneous indoor CO<sub>2</sub> concentration were used. Figure 6 presents a sample of instantaneous CO<sub>2</sub> concentrations,  $C$ , for classroom b between 6 AM November 19 and 6 AM November 21 of 2012. The periods for which the classroom was used are highlighted.



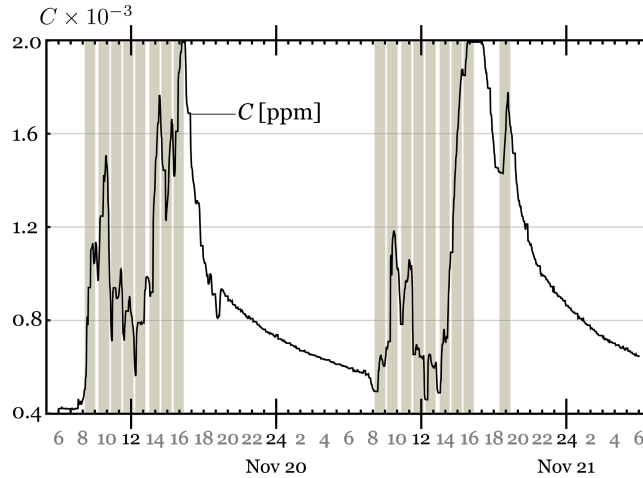


Fig. 6: Instantaneous indoor CO<sub>2</sub> concentration ( $C$ ) in classroom b between 6 AM November 19 and 6 AM November 21 of 2012 (Monday through Wednesday). Highlighting represents lessons that took place in the studied classroom.

340 Inspecting the CO<sub>2</sub> concentrations two distinct patterns emerge, one for daytime, showing sharp changes in CO<sub>2</sub> concentrations, and another for night-time, showing an exponential decrease in CO<sub>2</sub> concentration. In regard to the sharp changes in CO<sub>2</sub> concentration, these are caused by the intermittent use of the classroom, with changes in class and teachers present, therefore, with changes in the amount of CO<sub>2</sub> released and in the pattern of use of classroom windows and door. As regards the  
345 slow night-time exponential decay in CO<sub>2</sub> concentration—revealing the classroom’s air tightness—, this is justified because the classroom was unoccupied between 6.30 PM and 8 AM the following day<sup>3</sup>, and during this period windows and door were closed.

For CO<sub>2</sub> concentration curves that decay exponentially EN ISO 12569 (2000) and ASTM E741-00 (2006) provide methods to determine ventilation rates based exclusively on the shape of the  
350 exponential curve. However, with sharp changes in CO<sub>2</sub> concentration a method that considers these changes is required to determine the ventilation rate.

## 6.1 Method to determine daily mean ventilation rate

To determine classroom daily mean ventilation rate (with data from 8.10 AM to 6.30 PM, start and finish of the teaching activities, respectively), the following solution of the decay equation was used

<sup>3</sup>On November 20 an evening lesson took place at classroom b from 6.30 PM to 7.30 PM, approximately.

355 (Jones, 1994, p.462),

$$c = \frac{n\dot{M}}{acr \cdot V} + \left( c_0 - \frac{n\dot{M}}{acr \cdot V} \right) \exp(-acr \cdot t) . \quad (3)$$

This equation is derived for a single zone of volume  $V$  and assumes constant air density, equal supply and extract flow rates and well-mixed conditions. It provides the relative concentration of  $\text{CO}_2$ ,  $c = C - C_{\text{out}}$ , at instant  $t$ , for a class of size  $n$ , a rate of  $\text{CO}_2$  production per person of  $\dot{M}$  and  
 360 an air change rate  $acr$ . It also considers the initial classroom relative  $\text{CO}_2$  concentration defined as  $c_0 = C_0 - C_{\text{out}}$  ( $C_{\text{out}}$  is the outdoor  $\text{CO}_2$  concentration).

When Equation (3) is used to describe the concentration of  $\text{CO}_2$  during a specific lesson,  $c_0$ ,  $n$ ,  $\dot{M}$  and  $V$  are known constants, however, air change rate  $acr$  varies. To use Equation (3) it is assumed that this variability in  $acr$  is the result of random deviations from a *lesson* mean value  $\overline{acr}$ .  
 365 Thus, a lesson mean relative  $\text{CO}_2$  concentration,  $\bar{c}$ , can be determined from

$$\bar{c} = \frac{\int_{t_0}^{t_f} c \, dt}{t_f - t_0} , \quad (4)$$

with  $t_0$  and  $t_f$  the initial and final instants of the lesson.

Because  $t_f$  is far greater than  $t_0$ , Equation (4) becomes

$$\bar{c} = n\dot{M}/(\overline{acr} \cdot V) . \quad (5)$$

370 Defining  $Q = \overline{acr} \cdot V/n$  as the lesson ventilation rate, with units of  $\text{m}^3/\text{s}$  per person, Equation (5) can be written as,

$$Q = \dot{M}/\bar{c} , \quad (6)$$

which describes, for each lesson, the ventilation rate as a function of  $\text{CO}_2$  metabolic production and

indoor mean relative CO<sub>2</sub> concentration.

375 A result identical to Equation (6) is presented in EN ISO 16814 (2008) and BS PD CR 1752 (1998) when the ventilation efficiency is set to 1.

To use Equation (6), mean relative CO<sub>2</sub> concentrations per lesson,  $\bar{c}$ , can be obtained from the monitoring data. Values of  $\dot{M}$  for each lesson can be determined from expressions provided in Coley and Beisteiner (2002), using information about the grade and size of the class attending the lesson.

380 To determine daily mean ventilation rates (per lesson and per person),  $\bar{Q}$ , values of  $Q$  for lessons taking place between 8.10 AM and 6.30 PM were averaged.

## 6.2 Results and preliminary discussion

Using the method described in the previous section and data gathered between mid-September 2012 and mid-June 2014, Figure 7 presents box-whisker plots for daily mean ventilation rate in classrooms

385 a, b, c and d<sup>4</sup>.

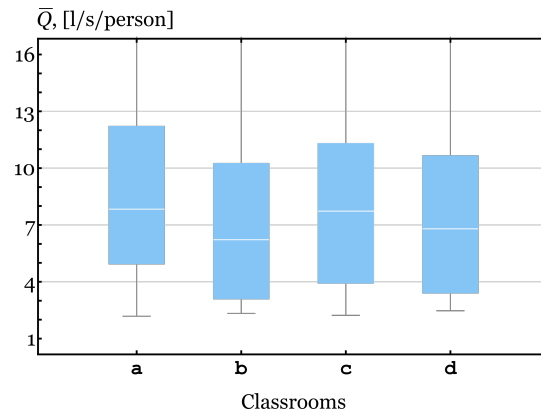


Fig. 7: Box-whisker plots for daily mean ventilation rate ( $\bar{Q}$ ) in classrooms a, b, c and d.

Figure 7 shows that medians vary between 6 and 8 l/s per person. The interquartile range typically varies between 3 and 12 l/s per person, with a significant number of occurrences below 4 l/s per person, especially in classrooms b and d. These results agree with the large CO<sub>2</sub> concentrations

390 in Figure 4.

<sup>4</sup>Actually, Figure 7 provides a detail of the box-whisker plots, because upper whiskers are truncated at 16 l/s per person to allow a clearer analysis of the region of interest between 4 and 10 l/s per person.

Using results of daily mean ventilation rate together with the corresponding daily mean outdoor and indoor air temperatures, it is possible to determine the conditional probability  $P^\dagger$  that ventilation in classrooms is higher than a certain value  $Q_i$ , given specific combinations  $(T_j, T_k)$  of outdoor running mean temperature and daily indoor air temperature, i.e.,

$$P^\dagger = P(\bar{Q} \geq Q_i \mid T_{\text{out}}^{\text{rm}} = T_j, \bar{T}_{\text{in}} = T_k) . \quad (7)$$

Figure 8 uses contour lines—black lines labelled from 0.1 to 1.0—to present results of conditional probabilities for different values of ventilation rate  $Q_i$ , considering different combinations  $(T_j, T_k)$ . Three cases were considered for  $Q_i$ : 4, 7 and 10 l/s per person, depicted in graphs a), b) and c), respectively. As explained previously in Section 5.2, 25 combinations  $(T_j, T_k)$  were used for each one of these three cases.

To better understand the link between ventilation rates and the probability of finding specific outdoor-indoor temperature pairs in the studied classrooms, ventilation contour lines—black lines labelled from 0.1 to 1.0—overlay the contour areas shown in Figure 5, representing the joint likelihood  $P^*$  for different temperature pairs. Moreover, EN ISO 15251 (2007) threshold for thermal comfort (category III)—dashed white line—is also represented, allowing an easier inspection of the link between ventilation rates and indoor thermal comfort.

To help interpret Figure 8, consider outdoor-indoor temperature pairs (18°C, 22°C) and (12°C, 18°C), typical of warm and cold weather conditions, respectively. For the pair (18°C, 22°C)—18°C outdoor running mean temperature and 22°C daily indoor air temperature—, using graph a) it is concluded there is approximately 95% probability that  $\bar{Q} \geq 4$  l/s/person. From graph b) the probability that  $\bar{Q} \geq 7$  l/s/person is 80% and from graph c) the probability that  $\bar{Q} \geq 10$  l/s/person is approximately 55%. This means that for this temperature pair the conditional probabilities of daily mean ventilation rates  $\bar{Q}$  falling into different intervals  $\mathcal{I}_{\bar{Q}}$  are as shown below, in Table 3. Reproducing the analysis for the outdoor-indoor temperature pair (12°C, 18°C) leads to conditional

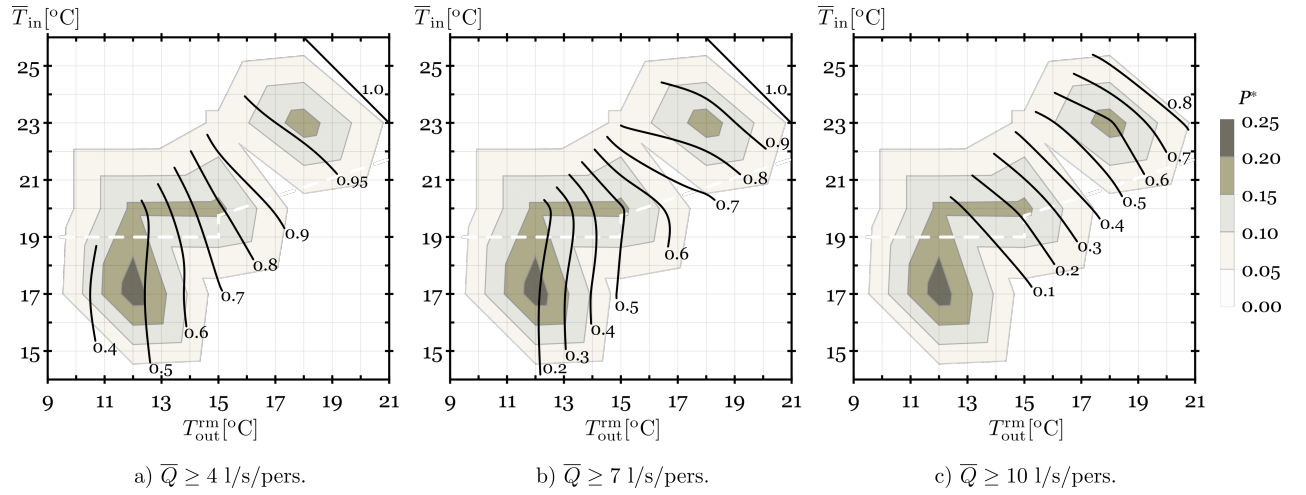


Fig. 8: Contour lines for conditional probabilities  $P^\dagger$  between 0.1 and 1.0, that the daily ventilation rate  $\bar{Q}$  is larger or equal to 4, 7 or 10 l/s per person—graphs a), b) or c), respectively—, given different combinations of outdoor running mean temperature ( $T_{\text{out}}^{\text{rm}}$ ) and daily indoor air temperature ( $\bar{T}_{\text{in}}$ ). Contour lines overlay contour areas of joint probability  $P^*$  shown in Figure 5. The lower limit thermal comfort line of EN ISO 15251 (2007), category III, is also represented (dashed white line).

probabilities also presented in Table 3.

Table 3: Conditional probability that daily ventilation rates  $\bar{Q}$  fall into interval  $\mathcal{I}_{\bar{Q}}$ , given that  $(T_{\text{out}}^{\text{rm}}, \bar{T}_{\text{in}})$  is equal to  $(18^\circ\text{C}, 22^\circ\text{C})$  and to  $(12^\circ\text{C}, 18^\circ\text{C})$ .  $T_{\text{out}}^{\text{rm}}$  is the outdoor running mean temperature and  $\bar{T}_{\text{in}}$  is the daily indoor air temperature.

$P(\bar{Q} \in \mathcal{I}_{\bar{Q}} \mid T_{\text{out}}^{\text{rm}} = T_j, \bar{T}_{\text{in}} = T_k) \text{ [%]}$				
$(T_j, T_k) \setminus \mathcal{I}_{\bar{Q}}$	$[0, 4[$	$[4, 7[$	$[7, 10[$	$[10, \infty[$
<b>(18,22)</b>	5	15	25	55
<b>(12,18)</b>	53	31	12	4

Table 3 shows that for warm weather the probability of having ventilation rates lower than 4  
420 l/s/person is only 5%, whereas the probability of having ventilation rates larger than 10 l/s/person  
is 55%. In contrast, for cold weather the probability of ventilation rates lower than 4 l/s/person  
increases to 53% and ventilation rates larger than 10 l/s/person decrease to 4%. These results provide  
a way to quantify the effect of manual window-airing of classrooms, confirming its effectiveness with  
warm weather, but making clear there is a problem with manual opening of windows during cold

425 weather.

Contour lines in Figure 8 express yet another interesting feature. The fact that contour lines become vertical as outdoor temperatures drop means that ventilation rates are a function of outdoor and indoor temperatures for warm weather, but depend on outdoor temperature only for cold weather. Because students and teaching staff were free to open/ close classroom windows and since corri-  
 430 dor/ stairwell windows were typically closed, this result supports that with cold weather students and teaching staff progressively refrain from opening windows (a suggestion already made in Section 5.2), and this behaviour is independent of warm or cold indoor environments. In Section 7.2.3 a justification is provided for this behaviour.

Moving to the simultaneous analysis of “ventilation” contour lines and contour areas for  $P^*$  in  
 435 Figure 8, differences in contour areas to the right of the ventilation contour lines convey important information about the likelihood of ventilation rates. Let  $\mathcal{D}^{cl+, (g)}$  be the set of outdoor-indoor temperature pairs falling to the right of contour line  $cl$  in graph  $g$ , with  $cl \in \{0.1, \dots, 1.0\}$  and  $g$  one of the three graphs a), b) or c) in Figure 8.

For example, the set to the right of the straight contour line 1.0 in graph a) is,

$$440 \quad \mathcal{D}^{1.0+, (a)} = \{(T_{\text{out}}^{\text{rm}}, \bar{T}_{\text{in}}) \in \mathbb{R}^2 : T_{\text{out}}^{\text{rm}} \geq 18 \wedge \bar{T}_{\text{in}} \geq \max(44 - T_{\text{out}}^{\text{rm}}, 23)\}. \quad (8)$$

Using a linear approximation it is also possible to define the set of outdoor-indoor temperature pairs to the right of contour line 0.95 in graph a):

$$\mathcal{D}^{0.95+, (a)} \approx \{(T_{\text{out}}^{\text{rm}}, \bar{T}_{\text{in}}) \in \mathbb{R}^2 : T_{\text{out}}^{\text{rm}} \geq 16 \wedge \bar{T}_{\text{in}} \geq \max(37.083 - 0.833T_{\text{out}}^{\text{rm}}, 21)\}. \quad (9)$$

Joint probabilities  $P(\mathcal{D}^{cl+, (g)})$  can be evaluated with the data used to build Figure 5. These  
 445 probabilities are presented in Table 4 for the contour lines  $cl$  in the graphs  $g$ ) of Figure 8.

Table 4: Probabilities  $P(\mathcal{D}^{cl+, (g)})$  that temperature pairs  $(T_{\text{out}}^{\text{rm}}, \bar{T}_{\text{in}})$  fall in the region  $\mathcal{D}^{cl+, (g)}$  defined to the right of contour line  $cl$  in graph  $g$ —graph a), b) or c) in Figure 8.  $T_{\text{out}}^{\text{rm}}$  is the outdoor running mean temperature and  $\bar{T}_{\text{in}}$  is the daily indoor air temperature.

$g) \setminus cl$	$P(\mathcal{D}^{cl+, (g)})$ [%]					
	0.2	0.4	0.6	0.8	0.95	1.0
<b>a)</b>	—	99	58	46	24	11
<b>b)</b>	83	52	42	27	—	11
<b>c)</b>	50	36	23	13	—	—

Table 4 shows outdoor-indoor temperature pairs fall to the right of contour line 1.0, in graph a), with probability  $P(\mathcal{D}^{1.0+, (a)}) = 11\%$ . Because contour lines in graph a) of Figure 8 are drawn  
450 for daily ventilation rates  $\bar{Q} \geq 4$  l/s/person, using data for two full academic years, it is concluded that, from the total number of school days in the academic year, ventilation rates exceed 4 l/s/person (with 100% probability) 11% of the time.

The probability that daily ventilation rates exceed 4 l/s/person 95% of the time is associated with the region to the right of contour line 0.95 in graph a), and is expressed as  $P(\mathcal{D}^{0.95+, (a)})$ . For  
455 the studied classrooms, Table 4 shows this probability is 24%; this means that, from the total number of school days in the academic year, ventilation rates exceed 4 l/s/person (with 95% probability) 24% of the time.

Because contour line 0.95 in graph a) is somewhat similar to contour line 0.8 in graph b) and to contour line 0.6 in graph c), results in Table 4 also show that for the set of outdoor-indoor temperature  
460 pairs falling in  $\mathcal{D}^{0.95+, (a)}$ , ventilation rates exceed 10 l/s/person approximately 60% of the time, lay between 7 and 10 l/s/person approximately 20% of the time, lay between 4 and 7 l/s/person approximately 15% of the time and are lower than 4 l/s/person less than 5% of the time.

In the next section, these results are used to discuss *when* is manual window-airing of classrooms appropriate.

### 7.1 When is ventilation with manual opening of windows appropriate?

To achieve the goal of knowing when ventilation with manual opening of windows is appropriate, first it is necessary to define *appropriate*. From the perspective of students' performance and health, the larger the fresh air flow rate the better, however, Section 6.2 shows there are outdoor conditions  
 470 for which natural ventilation with window opening is unable to ensure large ventilation rates. From Figure 8 it is concluded that during the academic year ventilation rates exceeding 10 l/s/person are those less likely to occur and, consequently, the higher the threshold for classrooms ventilation, the harder it is to design with natural ventilation. If this threshold is lowered and ventilation rates of 7 l/s/person or 4 l/s/person are considered appropriate, it becomes much easier to endorse classroom  
 475 ventilation with opening of windows.

According to Santamouris et al. (2008), naturally ventilated schools are associated with lower symptom prevalence, even if ventilation rates are lower than those observed in mechanically ventilated schools; moreover, Mumovic et al. (2009) states that classroom ventilation rates as low as 3 l/s/person allow daily mean CO<sub>2</sub> concentrations lower than 1500 ppm—the limit set in Building  
 480 Bulletin 101 (Education Funding Agency, 2014). Mumovic et al. uses this result to justify the use of natural ventilation in classrooms, since window-airing is typically capable of providing ventilation rates of 3 l/s/person.

In this paper, EN ISO 15251 (2007) is used to define appropriate ventilation. Category III of this standard is chosen and, for classrooms, this means a threshold ventilation rate of  $\overline{Q} \geq 4$  l/s/person.  
 485 According to Annex G of EN ISO 15251, deviations from this threshold are accepted if they occur less than 5% of the time; in other words, if the threshold is met 95% of the time. Using Figure 8, contour line 0.95 in graph a) provides precisely the lower limit to appropriate ventilation, as specified in EN ISO 15251 (2007), and, outdoor-indoor temperature pairs to the right of this contour line fall into region  $\mathcal{D}^{0.95+, (a)}$ , with ventilation rates lower than 4 l/s/person less than 5% of the academic



490 year.

Set  $\mathcal{D}^{0.95+, (a)}$  has been defined previously in Equation (9), and using this equation it is possible to specify the conditions for which appropriate manual window-airing of classrooms occur, namely:

- With warm weather, when  $T_{\text{out}}^{\text{rm}} \geq 19^\circ\text{C}$ .

For this case, thermal comfort is also adequate, given that classrooms' indoor temperatures  
495 are hardly ever below the dashed white line in Figure 8.

- With intermediate weather, when  $16 \leq T_{\text{out}}^{\text{rm}} < 19^\circ\text{C}$ , depending on daily indoor air temperatures.

From Equation (9) it is concluded that the slope of the 0.95 contour line is  $-\Delta\bar{T}_{\text{in}}/\Delta T_{\text{out}}^{\text{rm}} = -0.833$ , therefore, if a 1 K decrease in running mean temperature is accompanied by (at least)  
500 a 0.833 K increase in daily indoor temperature, ventilation with window opening remains appropriate. This is true for outdoor running mean temperatures as low as  $16^\circ\text{C}$ , and for this case the daily indoor air temperature should be  $23.5^\circ\text{C}$  or higher. For intermediate weather conditions, thermal comfort is also most of the time appropriate.

Inappropriate classroom ventilation with manual opening of windows occurs with cold weather,  
505 when  $T_{\text{out}}^{\text{rm}} < 16^\circ\text{C}$ . For this case, there is also a significant decrease in thermal comfort.

As regards the probability of appropriate ventilation in the studied classrooms, Table 4 shows that outdoor-indoor temperature pairs belonging to set  $\mathcal{D}^{0.95+, (a)}$  represent approximately a quarter (24%) of the total possible pairs found along the academic year. This result is evidence of the energy saving potential of natural ventilation.

510 Figure 8 can be used to investigate alternative definitions of appropriate ventilation, and, because classroom windows were opened and closed in harmony with students' and teachers' preferences, contour lines in Figure 8 are typical for free-running classroom ventilated with manual opening of windows.

The external validity of the results presented in Table 4 (and in Figure 5) is not as general  
515 as the contour lines presented in Figure 8; however, Table 4 should remain useful when studying

free-running classrooms of Southern European schools.

## **7.2 Review of classrooms' ventilation strategy**

### **7.2.1 Warm weather conditions**

As discussed in the previous section, for warm weather conditions—outdoor running mean temper-  
520 atures exceeding 19°C—, manual opening of windows allows appropriate ventilation and thermal  
comfort in classrooms (with no records of overheating).

Several factors contributed to these results: appropriate class size, large building thermal mass,  
moderately sized exterior windows, appropriate sun shielding and effective classroom ventilation.  
Table 1 and Figure 1 provide information that broadly explains how these factors were used in the  
525 studied classrooms. Turning specifically to the ventilation strategy, as mentioned in Section 2.1,  
single-sided, cross and stack ventilation are all available at the studied classrooms; however, the  
fact that corridor and stairwell windows remained mostly closed made single-sided ventilation the  
main classroom ventilation mode. Considering the results in Section 6.2, it becomes obvious that for  
warm weather single-sided ventilation allows adequate classroom ventilation. Yet, the question of  
530 the benefit of the other two ventilation modes remains; in particular, the benefit of cross ventilation  
with hot weather.

Indeed, although no overheating was found in this study, this does not mean overheating cannot  
occur for hot years or for classes with size larger than normal. Moreover, regular lessons finish  
in mid-June, but classrooms continue to be used in the hot month of July to hold national exams  
535 and meetings. To prevent overheating with hot weather, cross ventilation could prove useful, and  
contribute to the effective night-time ventilation of classrooms.

To use cross ventilation, upper window parts should be left open in classrooms and corridors;  
however, one meaningful barrier to corridor windows opening is bird ingress. In fact, unlike class-  
rooms, corridors (facing north) have no roller blinds (fabric) which can be used to prevent bird  
540 ingress; therefore, barriers to bird ingress are needed in corridor upper window parts. If these barri-

ers are installed, the following instructions are suggested for the effective night-time ventilation of classrooms:

- Instructions for school janitors (with hot weather):
  - Open corridor upper window parts and leave them open during night-time.
- 545 – At the end of the school day, open classrooms' upper window parts.

The large number of upper window parts in corridors (seven) and classrooms (three per classroom) and the additional use of roller blinds in classrooms should provide the means necessary for the appropriate control of classrooms' air change rate.

A final comment is made on the design of classroom ventilation systems for warm (and hot) weather conditions. Based on this study, sensible passive design of classrooms and reliance on students and staff use of windows are enough to ensure appropriate ventilation and thermal comfort in classrooms. The studied school implements a redundant ventilation strategy that rests on manual window-airing and uses single-sided, cross and stack ventilation. However, given the stochastic nature of window-airing and the contrasting “deterministic” nature of many standards, designers may wish to specify an alternative to manual window-airing<sup>5</sup>. In this case, the alternative system should be conceived as an (additional) redundant ventilation system to be kept in standby, needed only if manual window-airing fails.

### 7.2.2 Intermediate weather conditions

With intermediate weather conditions—outdoor running mean temperatures between 16 and 19°C—the risk of draughts increases and although appropriate ventilation is possible with manual window-airing this depends on the specific combination of outdoor and indoor air temperatures.

A feature of the current study that is relevant to the analysis of intermediate weather conditions is that students and teaching staff received no training on the use of windows or blinds and had no

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<sup>5</sup>In the last section it was concluded that manual window-airing did not ensure appropriate classroom ventilation for cold (and partly for intermediate) weather conditions. It is therefore likely that designers will actually be needing an alternative ventilation system.

specific awareness towards the consequences (to health and productivity) of poor indoor air quality. Geelen et al. (2008) shows that with training and information larger classroom ventilation rates become acceptable. A strategy suggested in Geelen et al., Hellwig et al. (2009) and Wargocki and da Silva (2015) that should be successful for intermediate weather conditions is promoting ventilation during lesson break time (in this study, every 50 minutes for a 10 minutes period). To support “lesson break time ventilation”, cross ventilation between classroom and corridors windows is helpful, therefore, some corridor upper window parts should remain open. Additionally, with intermediate weather conditions stack ventilation could also be of benefit and this would mean leaving the stairwell window open. Because during night-time outdoor air temperatures drop substantially, corridor and stairwell windows should be closed during the night.

To promote classroom ventilation during lesson break time, and extend the use of manual window-airing, the following instructions are proposed:

- Instructions for school janitors:

- During daytime, while lessons take place, open upper corridor windows to allow cross ventilation.

- During daytime, while lessons take place, open upper stairwell windows to promote stack ventilation.

- Instructions for teaching staff (who may wish to delegate to students):

- During lesson break time ensure classrooms windows remain open.

Because with intermediate weather conditions manual window-airing is inappropriate for certain combinations of outdoor-indoor temperature pairs, it is important that an alternative ventilation strategy exists. In the studied school, this alternative is the mechanical DCV system and for intermediate weather conditions this system should be kept in standby.

As briefly described in Section 2.1, the mechanical DCV system did not work between 2012 and 2014; allegedly, because of the energy cost associated with its use and a chronicle shortage of

school funding; but perhaps more importantly, because insufficient information about the adverse  
590 effects of indoor air quality in classrooms was provided.

An important lesson for future school refurbishments is, therefore, that refurbishment programmes should be used not only to provide the material means required to ensure appropriate classroom indoor environment, but also as an opportunity to communicate the importance of appropriate classrooms ventilation to school stakeholders, and, especially, to school administrators.

595 For the studied school, the control of the mechanical DCV system collects real time information on CO<sub>2</sub> concentration and air temperature in each classrooms; an important contribution to better classroom indoor environment would be achieved if this information was made available to school stakeholders.

### 7.2.3 Cold weather conditions

600 In Section 5.2 it was concluded that classrooms could be thermally comfortable despite low outdoor temperatures. Figure 5 presents evidence of this, since the contour area above the dashed line is significant when outdoor running mean temperatures are lower than 16°C. However, when ventilation contour lines in Figure 8 are examined, it becomes obvious that for cold weather classrooms' thermal comfort is not matched by appropriate classrooms' ventilation. Below 16°C the likelihood of  
605 ventilation rates lower than 4 l/s/person typically exceeds 10%, and for outdoor temperatures below 11°C this likelihood reaches 60%.

This result was expected because as outdoor temperature drops, students and teaching staff gradually refrain from opening windows. The problem is that with cold weather a closer control of the intake of fresh air is required (Etheridge, 2012, p.395), however, since window opening areas  
610 are large, the ability to exert control (the "authority") over this intake is severely limited. Given that manual window-airing relies on window opening and, with cold weather, windows were kept closed, it is concluded that for cold weather an alternative ventilation strategy is needed.

As mentioned in the previous section, the studied school has a mechanical DCV system in-

stalled and, during cold weather, this system should replace manual window-airing. The fact that  
615 this mechanical system is needed only for cold (and for part of the intermediate) weather conditions,  
proves the energy savings potential of manual window-airing.

### 7.3 Limitations

Before presenting the conclusions, it is important to mention that the analysis made in this study  
is limited by the use of just two drivers of ventilation in classrooms, namely, outdoor and indoor  
620 air temperatures. Although literature on window opening patterns (Haldi and Robinson, 2008; Fabi  
et al., 2012) shows these are among the most important determinants of window opening—therefore,  
of ventilation—, Figure 3 shows that the analysis of classroom ventilation could have benefited from  
considering the effect of solar energy, precipitation and relative humidity.

Furthermore, the present study assumes that ventilation is driven by indoor air temperature,  
625 not the opposite. This is another limitation because, for naturally ventilated classrooms (and warm  
or intermediate weather conditions), indoor air temperature and ventilation are closely intertwined  
and influence one another, not only “passively” but especially as a consequence of students’ and  
teachers’ interaction with the built environment.

Finally, it is worth emphasising that the results presented are for students and teaching staff with  
630 no training on how to use windows or blinds and with no specific awareness of the consequences to  
productivity and health of poor indoor air quality.

## 8 Conclusions

Data from a two-year-long experimental study of four free-running classrooms of a Portuguese pub-  
lic secondary school were gathered. Using this data and a definition of appropriate ventilation based  
635 on EN ISO 15251 (2007), outdoor-indoor temperature pairs were found for which classroom venti-  
lation is appropriate. It is concluded that:

- Manual window-airing is appropriate when outdoor running mean temperature exceeds 19°C.

Deviations from this value are allowed if, for each 1 K decrease in outdoor running mean temperature there is a 0.833 K increase in daily indoor air temperature. As outdoor running mean temperatures decrease below 19°C, the likelihood of ventilation rates lower than 4 l/s/person increases, impairing classroom's indoor air quality.

- For outdoor running mean temperatures below 16°C, ventilation with manual window opening is inappropriate, regardless of the indoor temperature. For this case, designers should consider alternatives to ventilation with manual opening of windows.

Conclusions specific to the studied classrooms and weather conditions more common in Southern European countries are:

- Passive design alone can ensure appropriate ventilation and thermal comfort if outdoor running mean temperatures exceed 16°C.
- If more information is provided to school stakeholders, classroom ventilation rates with manual opening of windows should increase, and for intermediate weather conditions classrooms' indoor air quality should also increase.
- Appropriate ventilation and thermal comfort is achieved for approximately a quarter (24%) of the academic year using manual window-airing. This result confirms the energy saving potential of natural ventilation with opening of windows.

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